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DESERT BIOME US/IBP ANALYSIS OF ECOSYSTEMS

MODELS

RM 73-51

Data Processing

Data Processing Methodologies

US/IBP Analysis of Ecosystems

Desert Biome

Data Processing Methodologies

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September 1973

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Preface

The intention of this report is to meaningfully relate computer data processing to the research of the ecologist working within the Desert Biome. To the "computer shy" ecologist it points out the advantages of automated data processing in his research. To the computer-using ecologist it suggests alternative modes of analysis and increased efficiency.

The computer analysis programs used by the Desert Biome Data Processing Group (DBDPG) represent a substantial resource both in program logic and personnel skilled in interpretation. As a package, they provide maximum information by means of analysis from many directions. These programs were acquired or written by the DBDPG as the need or anticipated need arose.

Programmers Alma Olsen and Kim Marshall deserve credit for programs written by the DBDPG. Brien Norton, Assistant to the Directorate, and programmer Verne King guided the formulation of the Data Set Abstract Search System. James MacMahon suggested that the species diversity program (SPECDIV) be written and provided the formulation of diversity indices.

Requests for analyses within the Desert Biome should be directed to:

Charles Romesburg, Coordinator Data Processing Desert Biome Utah State University Logan, Utah 84322 (752-4100, Ext.: 7619)

For the interested reader outside the Desert Biome, requests will be honored for additional information pertaining to these programs as well as for acquisition of particular programs.

> Charles Romesburg, Coordinator Data Processing

September, 1973

1.0 Introduction

This report maintains that better ecological research results when the investigator records data in computer readable form (punched cards or magnetic tape). Research projects usually generate large amounts of data and the only reasonable mode of analyses is via the computer. This process leads to greater efficiency and increases the possibility of insight since data sets once established can be subject to alternative forms of analysis using existing programs.

For example, a project measuring plant cover generates a "raw" data set containing the cover dimensions by species, plot, and date. A computer program can then calculate percent cover from the individual cover dimensions to create a "reduced" data set. Both the raw and reduced data sets, stored on magnetic tape, can be analyzed from a number of different directions using computer analysis programs.

Section 2.0 describes procedures in establishing computer readable data sets. Kinds of data analyses (programs) a researcher may request are covered in Section 3.0. The identification of data sets stored in the Desert Biome data bank relevant to an investigator's needs may be made through the Data Set Abstract Search System, described in Section 4.0.

The DBDPG is to be regarded as a resource useful for consulting, execution of analyses, interpretation of computer printouts, and locating specific data stored in the data bank. Since DBDPG personnel are trained primarily in computer programming and analytical methods, the process of defining data sets, deciding on appropriate analyses, validating hypotheses, and, in general, finding insights, are the responsibilities of the the ecologist.

1.0.-1

2.0 Establishment of Computer Readable Data Sets

Getting raw data into computer readable form usually seems to the uninitiated more effort than the expected rewards are worth and, indeed, the process is more structured and hence more time consuming than entering data into field notebooks. After going through the process one or more times, however, it becomes much less of a chore. The steps needed to establish computer readable data sets are described in detail in the Desert Biome Report: <u>Data Bank</u> and <u>Data Processing</u>. The main steps, necessary to understand the relation to data set analysis, follow.

Data is entered into the data bank by recording data on a Data Set Coding Form, an example of which appears in Figure 1. Each row represents a punched card while the column headings identify where different information is to be placed within the punched card. These forms are completed by the DBDPG from information supplied by the investigator on a Data Set Description Form (not shown).

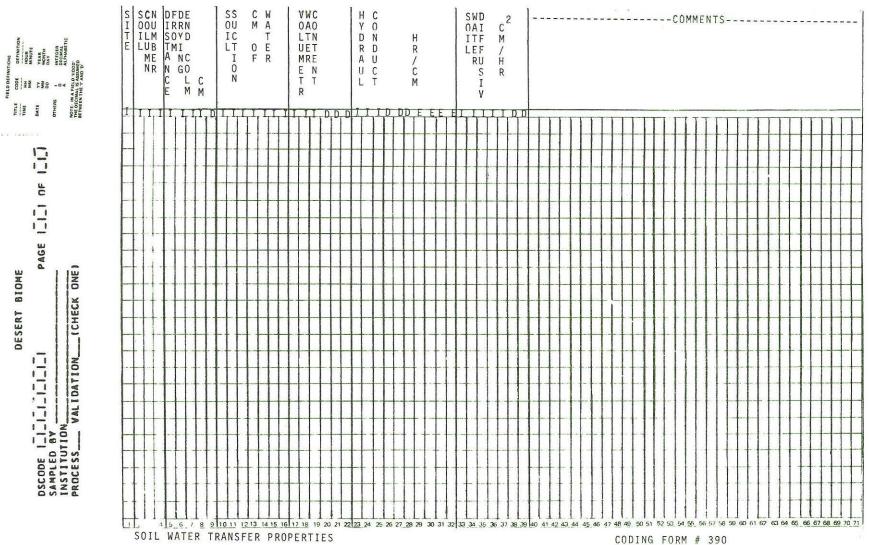
From the Data Set Coding Form with data transcribed, cards are punched. These cards are then entered as a data set into the data bank with the card images stored on magnetic tape. The data set created is referenced by a unique seven character Data Set Code (DSCode), and all requests for data set analyses necessarily include references to the DSCode.

A computer printout of the stored magnetic tape card images processed for readability is called a Data Set Listing. The Data Set Coding Form appearing in Figure 1, with data entered, generates the example Data Set Listing shown in Figure 2 (only the first page of the listing is shown.

Additional information describing experimental procedures used to generate a DSCode is provided by a Data Set Abstract. In the DBDPG all abstracts are stored on magnetic tape apart from the DSCodes they describe. Figure 3 shows the Data Set Abstract corresponding to the data set listing appearing in Figure 2.

2.0.-1

Example of a Data Set Coding Form (Reduced)



2.0.-2

Example of a Data Set Listing

٨	31151					-	73-00-30		
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			SS C		нс	SHD	CONSCIENCE COMMENTS		
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		SUYU	10	LTN	DN	111 2			
ł	LUR			UET		LEF C			
		ANL		MRE		KU M			
	NR	i Gu		E. N	UC /	s /			
		CLL		TT	LI H				
		F MM		R	R	V R			_
63								SEQU	ENCE
I	111		IIIIII	IIIUDU	IIIOODEEEE	IIIIIDD			
1	2	2.5	79.	0.205	1.4998-02	25.21	SUNUITA SANDY LUAM LESS THAN *	STOI	5
1		2.5			8.021E-03			STUI	10
1		2.5	107.		4.227E-03			STOI	15
1		2.5			2.387E-03			ST01	20
1		2.5			1.611E-03			ST01	25
1	2	2.5	138.	0.186	1.220E-03			ST01	30
1		2.5			9.446E=04			ST01	35
1	2	2.5	157.	0.183	5.844E-04			STOI	40
1		2.5		0.167	1.930E-04			STOL	45
1	2	2.5						5101	50
1	2	2.5	431.	0.150	4.470E-05			STOI	55
1	2	2.5			3.002E-05			STOL	60
1	2	2.5	750.		1.756E=05			STOI	65
1	2	2.5		0.133	9.910E=06	0.56	2	ST01	70
1	2	2.5		0.131	8.212E-06	0.61		STOI	75
1	2	2.5		0.129	6.836E-06			ST01	80
1	2	2.5		0.120	4.972E=06	0.61		ST01	85
1	2	2.5		0.122	2.697E=06	0.46		STU1	90
1	2	2.5		0.110	6.492E-07			STOI	95
1	2	2.5	10500.	0.094	2.035E=07			ST01	100
1	2	2.5		0.086	1.337E=07			STOL	105
1	2	2.3	33000.	0.070	8.497E-08			ST01	110
1	2	2.5		0.073	6.464E-08			STO1	115
1	2	5.0			3.090E=02			ST01	120
1	2	5.0	68.	0.211	1.756E=02	27.00		ST01	125
1	2	5.0	15.	0.201	9.954E-03	17.96		STOI	130
1	2	5.0	62.		5.994E=03	12.50		ST01	135
1		5.0		0.201	4.142E-03	9.90		ST01	140
1		5.0						STOI	145
1		5.0			2.4248-03			ST01	150
1	2	5.0	104.	0.195				STOI	155
1		5.0						ST01	160
1	2	5.0						STOI	165
1	2	5.0			1.135E-04	1.27		STOI	170
1	2	5.0						ST01	175
1	2				5.010E-05			STOI	180
1	2				3.986E-05			ST01	185
1	2	5.0			3.212E-05			ST01	190
1		5.0			2.627E-05			STOI	195
1	2	5.0	138.		1.778E-05			ST01	200
				*****			~ * * * * * * * * * * * * * * * * * * *		
0	000	00000	1111111	111222	222222333	33333333	444444444455555555556666666666677		
1	234	56701	0123456	789012	3456789012	3456789	01234567890123456789012345678901		
650									
S	UIL	NATER	IRANSFER	PROPER	TIES		CODING FORM #390		
							B		

Example of a Data Set Abstract

DSCUDES AND CONLIG FORM NUMHERS AS ISTUI A 340 PHUJELT TAILE EVALUATION OF CRITICAL SOLL PROPERTIES NEEDED TO PREDICT SULEWATER. FLIM WHILK DESINT CUNDITIONS ABSTRACT FILLE SUIL WATER TRAUSFER PROPERTIES INVESTIGATORS. DR. L. H. STULTY (PRINCIPAL INVESTIGATOR, DEPT. OF SOIL SCIENCE, UNTVERSITY OF CALIFORNIA, RIVERSIDE, CALIFORNIA 92502 TELEPHONE 714-707-5112) DR. J. LETET (PRINCIPAL INVESTIGATOR = 714-787-5114) G. H. MEHUYS (RESEARCH ASSISTANT - 714-787-5113) GEOGRAPHIC INFURMATION PULK VALLEY SANTA HILA PARMALTERS SUTE SUCTION COM OF WATER), VULUMETRIC WATER CUNTENT (CM**3/CM**3). HYDRAULIC CUNDICTIVITY (HR/CM), SUIL-WATER DIFFUSIVITY (HR/CM**2) I ME OF SAMPLING OI MAR 72 (SUIL SAMPLES TAKEN IN MARCH, MAY, AND NUVEMBER 1972) EXPERIMENTAL METHUUS TRANSIENT OUTILUW METHOD FOR EVALUATION OF HYDRAULIC CONDUCTIVITY AND SUIL "MATER UIFFUSIVITY (WEEKS, ET AL., 1967). SOIL SUCTION MEASURED WITH IENSIUMEIERS AND PSYCHRUMETERS. FXPERIMENTAL UFSTGN RUTH UNUISTURBED AND LOUSE SAMPLES WERE TAKEN AT THE SANTA RITA. TUCSUN SITE. UNDISTURBED CURES WERE 10 CM IN DIAMFTER BY 30 CM LUNG. LUDSE SAMPLES WERE TAKEN TO THE 1.20 M DEPTH AT EVERY 30 CM INTERVAL. BELANSE OF THE EXTREME STUNINESS OF THE RUCH VALLEY. NEVADA SOIL. UNLY LUDSE SAMPLES WERE CULLECTED. TO A DEPTH OF 50 CM. LUCATIONS FUR SAMPLING WERE CHOSEN AT RAMDUM WITHIN EACH VALIUATION SITE. SUIL CILLMNS 10 CM I.D. BY 30 CM LONG, EITHER RECONSTITUTED IN THE LAB FROM LUUSE SAMPLES OR TAKEN IN SITU, WERE USED THROUGHOUT. CITATIUNS WEEKS, L. V., AND S. J. PICHARDS. 1967. SULL WATER PROPERTIES CUMPUTED FRUM TRANSIENT FLOW DATA. SOIL SCE. SUC. AMER. PROC. 31-121=725. SUPPORTING POCUMENTS PROPUSAL 1971: 5.6.0.=1 RESEARCH MEMUKANDUM 73-43

In general, data set processing requires that either a specific program be written or the use of a pre-written "canned" program. This report emphasizes the latter for the reason that there is much less to be said about specific programs. In fact, specific programs constitute a substantial portion of the programming within the DBDPG.

The questions of what data to collect and how the data should be analyzed are interrelated, a choice for one constraining the possible choices for the other. The intention in presenting computer analysis techniques is not to suggest that data should be collected in such a way that these techniques can be used but to suggest new directions of approach of which the investigator might be unaware.

Each technique is minimally described by what it will accomplish. Where it is felt understanding will benefit, an example is given accompanied by an illustration of the computer output. Each program is given a name followed by a reference to its origin.

Section 3.1 covers the process of submitting requests for analysis. Section 3.2 presents data display techniques where statistical inference to a larger parent population is infeasible. Programs for commonly occurring problems in making statistical inferences are given in Section 3.3. Other programs available for standard statistical analysis, numerical analysis, and optimization problems are listed in Section 3.4. 3.1 Data Set Processing Request

Because geographic location often prohibits direct contact between investigator and the DBDPG it is important that written instructions for analysis be complete. There are several general rules which lead to good communication. The request should include text explaining what should be calculated and the DSCodes from which the raw data are obtained. Within each DSCode the data fields applying to the calculation should be specified by column numbers. The request should procede in clear, step-wise fashion and if possible, the calculations should include a numerical example. Figure 4 is regarded as a clearly presented request for a specific program analysis.

Example of Written Request for Program Analysis

TO: Charles Romesburg Desert Biome Utah State University Logan, Utah 84322

FROM: Russell P. Balda Department of Biology Northern Arizona University Flagstaff, Arizona

Please find enclosed a total of 14 coding forms, #17. The first 9 are for trapping period 9, the last 5 for period 10. Data will always be analyzed by trapping period so it is important to keep the periods separate.

Before we spend any more time preparing our raw data and transposing it to the coding forms, we would like to analyze this data to make certain we are doing everything correctly. Please note that we used two spaces from the TAXON CODE fields (24 & 25, 36 & 37, 48 & 49, 60 & 61) for a new entry, i.e. frequency. Also note that the plant data for some mammal capture-sites will not fit on one card but will need to be placed on two cards. Where a second card is necessary, the first 18 fields are left blank, meaning the information in the remaining fields goes with the capture-site immediately above. This is necessary because the number of plant species per capture-site is highly variable.

I hope your staff will be able to put these data on cards, write the appropriate program, and then run the analysis for us. The biggest problem will be my written directions, but I will try to be as clear as possible. We would like the data to be analyzed in a number of different ways.

- Part A: For each capture-site, we would like a print-out showing relative density, relative frequency, relative dominance, the sum of the above three, percent dominance for the herbaceous plants, and percent dominance for the overstory. This analysis is to be done as follows for the capture-site of each mammal. On all plant species with a taxon code number from 0001 to 0299 do the following:
 - 1. Relative density = $\frac{\text{Total density of each species}}{\text{Total density of all species}} \times 100$

For example, on sheet one the first capture-site has five plant species with densities of 7,1,1,3,1. The total density is 13. Thus, the relative density of each plant species is as follows:

Plant	Species	0046		7/13	Х	100	=	53.84
n	п	0021	-	1/13	х	100	=	7.69
		0013	-	1/13	х	100	=	7.69
		0047	-	3/13	х	100	=	23.07
		0041	-	1/13	х	100	=	7.69

2. Relative dominance = <u>Total dominance of a species</u> X 100 Total dominance of all species

All measurements in the dominance categories for plants with taxon codes between 0001 and 0299 are in hundredths of feet so the decimal is always 0.00. For example, again using the first capture-site on sheet one, the five plant species had dominances of 3.09 ft., 0.53 ft., 0.22 ft., 0.98 ft., 0.12 ft. This totals to 4.94 ft.

Plant	Species		0046	3	$\frac{3.09}{4.94}$	х	100	=	62.55	
н	п		0021	-	$\frac{0.53}{4.94}$	х	100	=	10.72	
n	н		0013	-	$\frac{0.22}{4.94}$	х	100	=	4.45	
u	н		0047	T	$\frac{0.98}{4.94}$	х	100	=	19.83	
u	ш	76	0041		<u>0.12</u> 4.94	х	100	=	2.42	

3. Relative Frequency = <u>Frequency of a species</u> X 100 Frequency of all species X 100

Using the data from the first capture-site on sheet one, the relative frequency is calculated as follows. The frequency values for the five plant species total to 12, therefore:

Plant Species	0046	-	7/12	Х	100	=	58.33
M	0021	-	1/12	х	100	=	8.33
	0013	-	1/12	Х	100	=	8.33
	0047	-	2/12	Х	100	=	16.66
	0041	-	1/12	Х	100	=	8.33

4. Importance Value is the sum of 1., 2., and 3., above; for example: For the five plant species at the capture-site used above.

5. Percent herbaceous dominance is calculated as follows:

% Herbaceous Dominance =
$$\frac{\text{Total dominance for all species}}{11.00}$$
 X 100

The total dominance figure is the same one used in A2. above. In the example it is 4.94 ft. The 11 is a constant; it is the maximum number of feet that could be covered with vegetation. Thus the percent herbaceous dominance for our example is:

$$\frac{4.94}{11.00}$$
 x 100 = 44.90%

6. Percent overstory dominance is to be calculated only for plants with taxon codes from 0300 to 0599. This is the only calculation in which these plants are used. Also, the dominance field for these plants is the only one with entries. For an example, check the second capture-site as the first one has no overstory plants present.

	Plant 0301 has 05.6 ft. Plant 0302 has 00.4 ft. Plant 0304 has 01.4 ft.	Note the placement of the decimal is 00.0
% overstory dominance =	The total is: 7.4 ft. <u>7.4</u> x 100 = 35.23%	

The 21 is the maximum number of feet that could be covered by these plants.

09 - PERBAI - CF10 - 1

The printout for Part A., as calculated above, should read something like the example given below for the first capture-site on Page 1. Each capture-site should be listed in succession as on the coding forms.

Plant Sp.	<u>R. Den</u> .	R. Dom.	<u>R.F.</u>	<u>I.V.</u>
0046	53.84	62.55	58.33	172.72
0021	7.69	10.72	8.33	26.74
0013	7.69	4.45	8.33	20.47
0047	23.07	19.83	16.66	59.56
0041	7.69	2.42	8.33	18.44

percent herbaceous dominance = 44.90% percent overstory dominance = 00.00%

Part B. For each species of mammal we need the above information totaled by habitat (1 or 2; not 3 in this data set) and sampling period. For example, we would like these data for all PERBAI during sampling period 9 in habitat 1. The calculations will be similar to those done above. 1. Relative Density = Total density of each plant species at all PERBAI X 100 Total density of all plant species at all PERBAI X 100

- 2. Relative Dominance = Total dominance of each plant species at all PERBAI X 100 Total dominance of all plant species at all PERBAI X 100
- 3. Relative Frequency = Total frequency of each species at all PERBAI X 100 Total frequency of all species at all PERBAI X 100
- 4. Importance Value is the same as done in Part A.; the sum of 1., 2., and 3., above.
- 5. Percent herbaceous dominance is calculated differently since, rather than use 11, we must multiply 11 by the number of capture-sites before dividing by the total dominance figure as calculated in B2. For example, if there are 10 PERBAI, then percent herbaceous dominance is:

Total dominance of all plant species at all PERBAI X 100

- 6. We also need to know additional information about the absolute dominance provided by each species per species of mammal. This is an additional calculation not performed in Part A. Simply use the total density of each plant species at all PERBAI as was done for Bl., and total dominance figures for each plant species as was done for B2., and simply print it out (see below).
- 7. Percent oversotry dominance is calculated as explained in A6, with the modifications discussed in B6. It is done only on plants with taxon codes from 0300 to 0599. However, rather than divide by 21, we must multiply 21 by the number of capture-sites, then divide by the total diminance figure of all these plants.
- 8. We need to know how much overstory was contributed by each different species of plant with a taxon code between 0300 and 0599. This is done by adding up the dominance figures for each species of plant separately and then dividing by 21 times the number of capture-sites. The total of these percentages for each species will sum to the figure obtained in B7.

The print out for Part B should read as follows for each species of mammal:

<u>Plant Sp.</u> ,	<u>R. Den. (B1)</u>	<u>R. Dom. (B2)</u>	<u>R.F. (B3)</u>	<u>I.V. (B4)</u>
	Percent herbaceous	dominance =%	(B5)	
<u>Plant Sp.</u> ,	<u>Total density of</u> (As descri	each plant species L bed in B6)	<u>Total Do</u>	minance
	Percent overstory	dominance =%	(B7)	
<u>Plant Sp.</u> ,			<u>% Oversto</u>	ry Dominance

09 - PERBAI - 1

The above analysis is to be done for each species of mammal by habitat type. For example, DIPMER occurs in both habitat 1., and 2. The analysis needs to be done separately for each habitat. Please note that habitat 3 was not represented in any of the data submitted this time. In the future, however, we will be submitting data with capture-sites in 3. When this occurs we will want analysis of all three habitats separately and then habitats 2 and 3 combined as if they were the same.

- Part C. For each habitat studied, (in this case 1 and 2), we need <u>exactly</u> the same data as was calculated in Part B. Everything is to be done exactly the same as for Part B, but this time the species of mammal can be ignored. In B5, B7, and B8, where number of capture-sites by species was multiplied by either 11 or 21, this time simply substitute number of capture-sites of all mammal species per habitat. Thus, the print out will be in two sections, one for habitat 1, and one for habitat 2. If the animals had been captured in habitat 3, then the print out for Part C would need to be in four sections: One section for habitat 1, one for habitat 2, one for habitat 3, and one for habitat 2 and 3 combined. The print out for each of these sections should follow the format shown for Part B except for the headings which should show sampling period and habitat. The four headings for the data included here would be:
 - 09 ALL species 1 09 - ALL species - 2 10 - ALL species - 1 10 - ALL species - 2

This is all the analysis we can envision for these data and all others to be sent at a later date on coding form #17. However, it would be wise to put these data on magnetic tape because we will most likely want other information as we proceed with the project.

I realize the problem of trying to write a compact program for these data based on my written instructions. When problems arise please call and we can work them out verbally, as I realize my shortcomings in trying to convey my instructions by mail.

If possible, after the cards are punched we would appreciate having the coding forms back for our reference. Thank you for your help and cooperation in this matter.

3.2 Non-inferential Data Analyses

3.2.1 Data Sorts and Summaries

Often it is desirable to rearrange and/or summarize raw data. By example, data are usually recorded on the Data Set Coding Form by sequential date of collection, site of observation, taxon, replicate, observer, etc. It may be more meaningful, however, to list the data by a different hierarchy, e.g., perhaps by taxon first followed by replicate, date, site, and observer. In addition, it may be useful to count the number of occurrences of each taxon, that is, summarize the raw data. Rearrangements and summaries of large data sets are all but impossible without using the computer.

The DBDPG has created a series of programs to accomplish this under the name SORT. The procedure is illustrated for a data set which is in part shown in Figure 5. These data were summarized by counting the occurrences of each taxon by size class, with the output appearing in Figure 6.

Portion of Data Set Used to Illustrate SORT Analysis

AJUMLU1									73-05-2	4
D	S	DATE	A	TAXON	S	N SG	DW			
S C	IT		0	CODE	IG	U IR MIZO	RE			
õ	E		R	T D	ED	BNEU	G			
D	-		.,	Ý Ū	U	EP	H			
E			L	P P E L	P	R	T			
	0 10 0		3 69 (۲ ۲ ۲ ۱۵۵۵۵۵۵۵۵				SEQUE	NCF	
	A	YYMMDU	A	IAAAAAAI	II	IIII	DDDDD			
A3UMLD1	A	700607	L	6BAETRI	02	0014	10-01-0	MLD1	5	
A3UMLU1		700607	L	6BAETRI	03	0005		MLD1	10	
A3UMLD1	A	700607	6	6BAETRI	04	0008		MLD1	15	
A3UMLD1		700607	L	6BAETRI	05	Construction of the		MLD1	20	
A3UMLD1		700607		SCHI	03			MLD1	25	
A3UMLD1 A3UMLD1		700607	L	5CHI	04	0003		MLD1	30	
A3UMLD1	A	700607		6HYDUCC1 6HYDUCC1	05	0002		MLD1 MLD1	35 40	
AJUMLDI	A	700607	L	6HYDDCC1	10	0013		MLD1	45	
A3UMLD1	A	700607		6PIS	03	0001		MLD1	50	
AJUMLDI	A	700607	L	GSIM	05	0001		MLD1	55	
AJUMLD1	B	700607	L	GARGVIV	04	0001		MLD1	60	
A3UMLD1	B	700607	6	GARGVIV	12	0001		MLD1	65	
A3UMLD1	B	700607	6	GARGVIV	15	0002		MLD1	70	
AJUMLDI	B	700607	6	6BAETRI	02	0016		MLD1	75	
A3UMLD1 A3UMLD1	8	700607	6	6BAETRI	03	0002		MLD1	80	
A3UMLD1	8	700607	L	6BAETRI 6BAETRI	04	0006		MLD1 MLD1	85 90	
AJUMLDI	B	700607	L	6BAETRI	06	-		MLD1	95	
A3UMLD1	B	700607	L	SCHI	02	0004		MLD1	100	
AJUMLDI	B	700607	6	SCHI	03			MLD1	105	
A3UMLD1	B	700607	6	SCHI		0010		MLD1	110	
A3UMLD1	8	700607	L	SCHI	05	0004		MLD1	115	
A3UMLD1	B	700607	6	5CHI	06	0001		MLD1	120	
A3UMLD1	B	700607	6	5EMP	03	0001		MLD1	125	
A3UMLD1 A3UMLD1	8	700607	L	6HYAAZT	04	0002		MLD1	130	
AJUMLD1	8	70060? 700607	5	6HYAAZT 6HYD 2	06	0002		MLD1 MLD1	135	
AJUMLDI	B	700607	L	6HYDOCC1	05	0001		MLD1	145	
A3UMLD1	8	700607		6HYDDCC1	06	0003		MLD1	150	
A3UMLU1	8	700607	L	6HYDUCC1	08	0001		MLD1	155	
A3UMLD1	8	700607	L	6HYDOCC1	09	0001		MLD1	160	
A3UMLD1	8	700607	L	6HYDOCC1	10	0012		MLD1	165	
A3UMLU1	8	700607	L	6PIS	03	0001		MLD1	170	
A3UMLD1						0001		MLD1	175	
A3UMLD1 A3UMLD1						0002		MLD1 MLD1	180	
A3UMLD1						0015		MLD1	185	
A3UMLD1						0002		MLDI	195	
AJUMLD1	C	700607	L	5CHI		0001		MLD1	200	
0000000	0	011111	1	11112222	22	2222	33333			
1234567	0	901234	5	67890123	45	6789	01234			
				CODING F						

Example Output from SORT Analysis

TAXON	SIZE	NUMBER
ARGVIV	04	1
ARGVIV	12	1
ARGVIV	15	2
BAETRI	02	41
BAETRI	03	66
BAETRI	04	52
BAETRI	05	28
BAETRI	06	4
CER	07	7
CER CER	09	1
CHI	02	13
CHI	03	72
CHI CHI	04	70
CHI	05	25
CHI	06	3
DUBGTU	04	1
DUBGTU	05	1
DUBGTU	06	1
DYT	03	1
EMP	03	1
HELELO		1
HYAAZT	01	3 7
HYAAZT	02	7
HYAAZT		3
HYAAZT		4
HYAAZT		2
HYAAZT		2
HYD	203	5
HYDOCC		3
HYDOCC	106	3
HYDOCC	108	1
HYDOCC	109	5
HYDOCC	110	25
LIMFRI	12	3 2
OPTDIV		2
PIS	01	3 20
PIS PIS PIS	02	20
PIS	03	5 1
PIS	04	
SIM	04	1
SIM	05	3

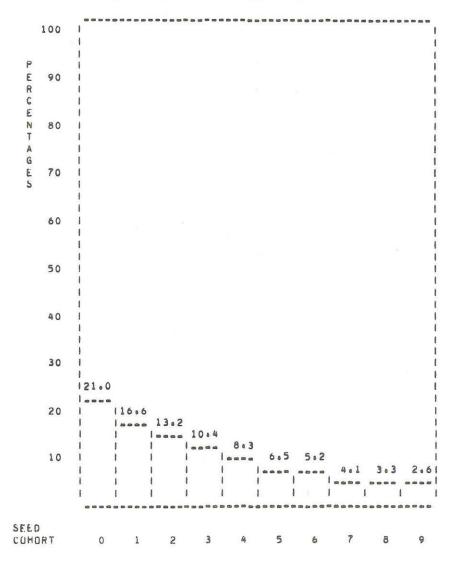
3.2.2 Visual Data Display Analyses

Two of the most used modes of visual data display in science are histograms and "curve drawing". Two programs have been written by the DBDPG to automate this display process. Histograms are presented by program HIST which computes the frequency of occurrence for attributes of interest by class interval (Figure 7). Program GRAPH plots points for x-y variables and although automated point connection is not provided this is easily accomplished by hand (Figure 8). For both programs, scaling of axes is optionally input by the user or computed automatically.

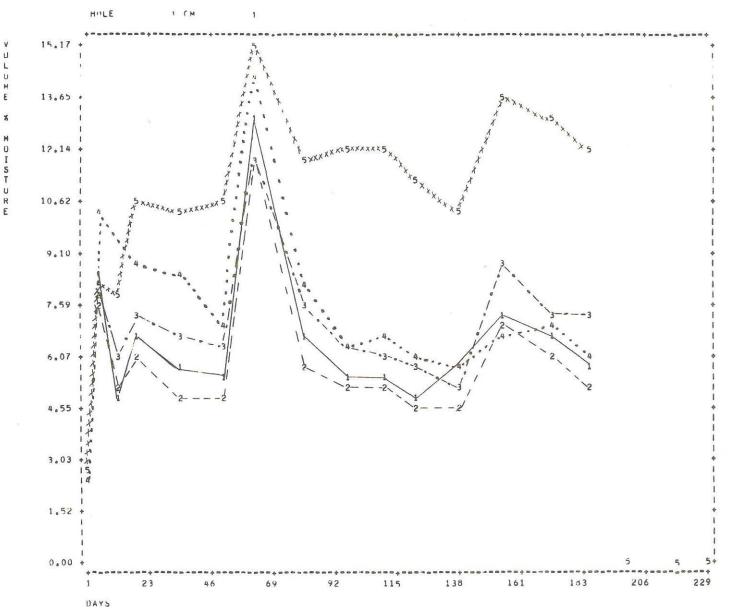
Example Histogram Drawn by HIST Program

THIS IS THE INITIAL AGE DISTRIBUTION:

PERCENT OF TOTAL SEED IN EACH SEED COHORT



3.2.2.-2



Example Plot of Points From GRAPH Program (Reduced)

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ULUME

* M Figure 8

3.2.2.-3

3.2.3 Classification and Ordination Analyses

Classification and ordination techniques are useful for revealing fundamental underlying structure of multivariate data. The data basic to each consists of measurements made for a set of attributes across a set of "individuals". An individual is the entity the measurement is made on while an attribute is what is measured. The problem is to understand the relation among individuals given the attribute measurements for each. Most frequently attention is directed to finding those individuals which are either alike or dissimilar.

The data are usually arranged in matrix form with individuals being the columns and attributes the rows. A given column-row entry is a datum giving information on the attribute for the individual. For example, individuals might be taxa and attributes measurements on taxa such as biomass, size, etc., or individuals could be sites with attributes specified by measurements on taxa found there. In the first case interest might be focused on distinguishing among taxon and in the second case among sites.

Classification is concerned with the ordering of individuals into groups on the basis of their attribute relationship. Ordination techniques are used to reduce the dimensionality of the attribute space by replacing the attribute set with a new and smaller set; often the reduced space allows for relations among individuals to be identified. In general, the basic data matrix can be subject to both classification and ordination treatment, and this is the usual procedure. The results of multivariate data analysis are seldom black and white but subtle shades of gray. It is usually beneficial to treat the problem from several directions and integrate the results to arrive at a conclusion. The multivariate programs used within the DBDPG are:

Classification	Program Name	Reference
Generalized Cluster Analysis	MINT	(Rohlf, 1971)
Minimum Dispersion Cluster Analysis	MDISP	(Goldstein and Grigal, 1972)
Minimum Information Cluster Analysis	MINFO	(Goldstein and Grigal, 1972)

Ordination

Principal Coordinate Analysis	MINT	(Rohlf, 1971)
Nonmetric Multidimensional Scaling	MDSCAL	(Kruskal, 1964)

Only the classification programs are discussed here and illustrated by example although a complete analysis would include running the ordination programs.

Brief descriptions of the classification programs follow:

MINFØ (Goldstein and Grigal, 1972)

This method considers each individual as a separate group at the start of the algorithm. During each clustering cycle, a pair of groups is joined which results in the minimum increase in mutual information, where information is in accordance with Shannon's (1949) definition. The clustered pair then becomes a new group (cluster) and the process continues until all individuals are contained within a single group.

MDISP (Godstein and Grigal, 1972)

This method is similar to MINFO except that clustering occurs for that pair of groups which provide the minimum increase in within-group dispersion.

MINT (Rohlf, 1971)

This program has a number of user options for "similarity coefficients" and "clustering methods". Similarity coefficients define alikeness among individuals or groups while the various clutering methods represent choices for forming clusters, i.e., discriminating for alikeness. The options follow Sokal and Sneath (1963, 1973):

Similarity Coefficient (interval data)

- 1. correlation coefficient
- 2. average Euclidean distance
- 3. average Manhattan distance
- 4. variance-convariance matrix

Similarity Coefficient (qualitative data)

- 1. simple matching coefficient
- 2. Jaccard coefficient
- 3. Dice coefficient
- 4. Yule coefficient

Clustering Methods

- weighted pair-group method using arithmetic averages
- unweighted pair-group method using arithmetic averages
- 3. single linkage
- 4. complete linkage
- 5. centroid linkage
- 6. flexible linkage

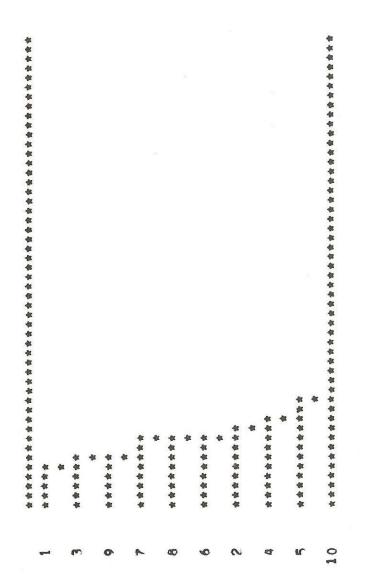
The provision for qualitative data is useful when it is desirable or of necessity to measure attributes on a present-absence basis.

An example problem using the MINT program with 10 individuals and 47 attributes illustrates cluster analysis. The individuals in this case are streams while the attributes are the presenceabsence (coded as 1 or 0, respectively) of aquatic invertebrate taxa. The data matrix is shown in Table 1. The simple matching coefficient of similarity and the single linkage method of clustering is employed. The output is shown in the form of a dendrogram, or tree diagram, in Figure 9. Horizontal lines in the tree represent nodes where diverse subgroups are joined. Heterogeneity is greatest at the bottom of the tree where each group is separate, consisting of one individual. At the top of the tree there is a single group containing all individuals. As one procedes from the bottom to the top of the tree individuals will group to form clusters. A measure of similarity of clustering groups is the distance from the bottom of the tree to the node of the clustering group. The smaller this distance the more alike are the members clustering. Thus, in Figure 9 individuals 1 and 3 cluster first and are considered more alike than, say, the cluster of group 1,3,9 with individual 7. Table 1

Data Set Used to Illustrate Cluster Analysis

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C	0.000	00.	00.	00.	000	000	000	000	• 00	• 00	000 .	• 00	000	000	000	000	000	000	000	000	000	000	000	000	000	• 00	000	• 00	• 00	• 00	• 00	• 00	• 00	• 00	• 00	000	• 00	.00	• 00	000	• 000	00.	000	• 00	0.	.0.
-	~~~~	ß	ধ	ŝ	\$	2	8	0	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	52	26	25	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	4 7

Dendrograms Drawn by MINT Program



3.2.4 Synagraphic Mapping

The data for synagraphic mapping consists of pairs of observations in the order of: a) quantity measured; b) location of measurement. From these data the measured values can be plotted on a map of the site from which they were obtained. Synagraphic mapping is a technique for projecting the continuous spatial distribution of the measurements based upon the sample measurements. Typical measurements as a function of location are plant cover, numbers, biomass, and microclimatological data.

These maps are produced by the SYMAP* program with the output appearing as a shaded map by contrast printing. The darkest areas denote highest measurement values while the least darkest denote the lowest. There are several user options for generating the continuous spatial surface by interpolation between values at given data points and for drawing surface contour lines.

Figure 10 illustrates the contour map option of SYMAP generated by recording at random locations a measured value, in this case, soil surface temperature. Temperature is recorded as falling into one of four discrete classes numbered from 1 to 4, e.g., 51 - 60 °F, 61 - 70 °F, etc., and the locations and values sampled are superimposed on Figure 10 according to this numbering scheme.

The synagraphic mapping program SYMAP was originally written by Howard T. Fisher at Northwestern Technological University in 1963. The version used here was purchased from the Harvard University Laboratory for Computer Graphics and then made compatible with the Burroughs BL 6700 computer.

Conformal Map Drawn by SYMAP Program (Reduced)

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3.2.4.-2

3.2.5 Species Diversity Programs

The SPECDIV program written by the DBDPG, takes as input a list of the number of individuals obtained by sampling for each of two or more species. From this the frequently discussed measures of species diversity are calculated.

The notation used is:

n = Number of individuals of the i'th species

N = Number of species in collection

I = Number of individuals in collection (I = $\sum_{i=1}^{N} n_i$)

Several symbols used to represent diversity:

D (subscripted) H H' (H-prime)

For each diversity index D the originator's name is parenthetically enclosed. The references from which they were obtained is given at the end of this section.

Species Diversity Measures

D1 (Origin unknown)

D1=N

That is, the number of species is a measure of diversity without regard to the number of individuals or their distribution.

D2 (Willis, 1922)	
	D2 = (Not implemented)
D3 (Gleason, 1922)	
	$D3 = N/log_e$ (I)
D4 (Preston, 1948)	
	D4 = (Not implemented)
<u>D5 (Margalef, 1957)</u>	
	$D5 = (N-1)/\log_e$ (I)
D6 (Mac Arthur, 1957)	N
	$D6 = -\sum_{i=1}^{N} \frac{n_i}{I} \log_e \frac{n_i}{I}$
D7 (Menhinick, 1964)	
	$D7 = \log_e N/\log_e I$
D8 (Menhinick, 1964)	
	$D8 = N/\sqrt{I}$
D9 (Monk, 1966)	
	D9 = N/I
D10 (Simpson, 1949)	N n, 2
	D10 = $\sum_{i=1}^{N} \left(\frac{n_i}{I} \right)^2$
D11 (McIntosh, 1967)	N
	$D11 = \sqrt{\sum_{i=1}^{N} n_i^2}$

It is possible to compute lower and upper bounds on D11. Denoting these by LB and UB:

LB =
$$I / \sqrt{N}$$

UB = $\sqrt{[I - (N-1)]^2 + (N-1)}$

Therefore, LB \leq D11 \leq UB

D12 (Margalef, 1957)

Margalef partitions the quantity of information into:

- 1) Count the number of species
- 2) Distribute the individuals according to species
- 3) Localize the species
- 4) Localize the species of equal frequency (hypothetical situation)
- 5) Localize the individuals (maximum information)

These are denoted by D(1), D(2), D(3), D(4), D(5) and are given by the following formulas:

D(1) = 1.443 log _e	I
D(2) = 1.443 log _e	(I-1)! (I-N)! (N-1)!
D(3) = 1.443 log _e	$\frac{1!}{n_1! n_2! \cdots n_N!}$
D(4) = 1.443 log _e	
D(5) = 1.443 log _e	I!

The constant 1.443 converts the information into "bits". The above express the total information. This is given on a per individual basis by dividing each index by the number of individuals I. These are denoted:

D(1)/I, D(2)/I, etc.

The interested user should consult Margalef's paper.

D13 (Fisher, 1943)

D13 is given by $\boldsymbol{\alpha}$ in the following transcendental equation:

$$N = \alpha \log_{\rho} \left(1 + \frac{1}{\alpha}\right)$$

D15 (Hurlbert, 1971)

$$D15 = \begin{bmatrix} I \\ I-1 \end{bmatrix} \begin{bmatrix} 1 & -\sum_{i=1}^{N} (\frac{n_i}{I})^2 \end{bmatrix}$$

H, HMAX, J (Pielou, 1969)

H is the uncertainty measure appropriate to a finite population.

$$H = \frac{1}{I} \log_{e} \frac{I!}{n_{1}! n_{2}! \cdots n_{N}!}$$

HMAX is the maximum diversity a collection of I individuals can have. It is calculated by assuming all individuals are equally distributed in number among all N species.

.

$$HMAX = \frac{1}{I} \log_{e} \frac{I!}{\left\{ \begin{bmatrix} I \\ N \end{bmatrix} ! \right\}^{N-r} \left\{ \left(\begin{bmatrix} I \\ N \end{bmatrix} + 1 \right)! \right\}^{r}}$$

where I = N $\left[I_{/N} \right] + r$

and [•] means the integer part of the argument

$$J = \frac{H}{HMAX}$$
 is a measure of eveness of distribution.

$$0 < J \leq 1.0$$

uneven even

HPRIME, HPRIMEMAX, JPRIME (Pielou, 1969)

The index HPRIME corresponds to the diversity of the population from which samples are obtained. It assumes knowledge of the true population proportion of the jth species, p_j . In practice p_j is often estimated by (n_j/I) . Use of the estimated value for p_j in the formula results, however, in a biased estimate of HPRIME (Pielou, 1969). HPRIME is included in SPECDIV because incorrect usage persists.

$$HPRIME = -\sum_{j=1}^{N} \left(\frac{n_{j}}{I}\right) \log_{e} \left(\frac{n_{j}}{I}\right)$$

$$HPRIMEMAX = \log_{e} (N)$$

$$JPRIME = \frac{HPRIME}{HPRIMEMAX}$$

The following references were used in the development of SPECDIV: Auclair and Goff, 1971 - D1, D2, D3, D4, D5, D6, D7, D8, D9, D10, D11 Margolef, 1957 - D12 Fisher, et. al., 1943 - D13 Hurlbert, 1971 - D15

An example problem used with SPECDIV is shown in Figure 11.

3.2.5.-6

Example Problem Using SPECDIV Program

Problem Input

N = 9

ⁿ 1	=	52	ⁿ 2	=	79	ⁿ 3	=	24
n ₄	П	84	ⁿ 5	=	63	ⁿ 6	П	76
n ₇	8	128	n ₈	=	97	n ₉		41

Problem Output

```
11 = 9.00000E+00000
                                        D2(WILLIS, 1922)=
U3(GLEASON, 1922)= 1.391531+00000
                                        04(PRESTON, 1948)=
D5(MARGALEF, 1957)= 1.23692E+00000
                                        D7(MENHINICK, 1964)= 3.39723E=00001
U8(MENHINICK+1964)= 3.54050E=00001
                                        D9(MONK)1966) = 1.39752E=00002
013(FISHER, 1943) = 1.48091E=00000
D6(MACARTHUR: 1957)= 2.11004E+00000
                                        D10(SIMPSON, 1949)= 1.29711E=00001
D15(HURLBERT+19/1)= 8.71642E-00001
D11(MCINTUSH, 1907) = 2.319402+00002
LOWER BOUND 2.14667E+00002 UPPER BOUND 6.36006E+00002
D12(MARGALEF , 195/)
D(1)= 9.33289E+00000
                                        D(2)= 5.92950E+00001
D(3) = 1.92782E + J0003
                                        D(4) = 2.00819E + 00003
U(5)= 5.08708E+00003
D(1) / I = 1.44921E=00002
D(2) / I = 9.20/30E = 00002
D(3) / I = 2.99351E+00000
D(4) / I = 3.11031E+00000
0(5) / I = 7.89919E+00000
H = 2.07450E+00000
                                        HMAX = 2.16097E + 00000
J = 9.59988E = 00001
HPRIME = 2.11004E + 00000
                                        HPRIMEMAX = 2.19722E+00000
JPRIME = 9.60323E-00001
HPRIMELOG_{2} = 3.04415E+00000
                                        HPRIMEMAXLUG2 = 3.16993E+00000
JPRIMELOG2 = 9.60323E=00001
```

the second se

3.2.6 Random Field Plot Locater

Program RANDPLØ, written by the DBDPG, locates points within a geographical site for random quadrat placement. The site is stratified into subregions with the number of locations by subregion specified by the investigator. Random point locations are subsequently determined by RANDPLØ for sampling within each subregion. These are printed out in the form of a map superimposed upon an x-y coordinate system.

3.2.7 Special Programs

Often a standard data analysis is modified in some way by an investigator for his own needs. Some of the more important of these programs written by the DBDPG are:

Rodent Pouch and Stomach Content Summary Emlen Census Analysis Fish Life Table Analysis Rainfall Probability Analysis

With slight modification these programs can be used for data with similar analysis objectives.

3.3.1 Elementary Statistics

Elementary statistical measures are provided by the STATS program, written by the DBDPG. Given below are descriptive statistics and statistics useful for infering to a larger population on the basis of a sample.

Descriptive Statistics:

The following are printed or computed from the sample:

Number of observations Mean Variance Standard deviation Standard error Coefficient of variation

Population Statistics:

Based upon the t-statistic, both 90 and 95 percent confidence intervals on the true population means are given. For cases where the assumption of normality is suspect, 90 and 95 percent confidence intervals based upon the distribution-free Tchebycheff inequality are also computed.

STATS estimates requirements for future sampling based on the sample variance (normality is assumed). For α levels of 0.9 and 0.95 an estimated sample size is computed such that the sample mean differs from the population mean by not more than a given fraction R of the population standard deviation (the sample s.d. is used to estimate the population s.d.). Example STATS output is shown in Figure 12.

Example of STATS Program Output

STAKT 12 LENGTH 5 DECIMAL 10 NUMBER UP LAS. -120 MEAN 43.424 25 VARTANCE -0305.744 STANDARD DEVIAL ON 19.785 STANDARD ENKUR 7.052 -CULF. OF VARIALIUN = 183.710 THE 90 AND 95 X CONFLOENCE INTERVALS PASED ON THE T STATISTIC ALPHA LUNTE STU U UPPER DIUND 40 4 31.93 -55.020 95 1 24.607 -51.251 THE QU AND 95 9 CONFLUENCE INTERVALS DASED UT TCHERYCHEFF INEWPALLIY ALPHA LINER BUURD UPPER BUUND ¥0 ¥ 11.12+ -65.730 11.841 -74.901 95 2 ESTIMATED SAMPLE SIZE SUCH THAT WITH A GIVEN PRUBABILITY (EXPRESSED BY THE ALPHA LEVEL) THE SAMPLE MEAN DIFFERS FRUM THE PUPPLAITUN NEAN BY NUT MURE THAN A SIVEN FRACTION R OF THE OF THE HUPBLALLIN STANDARD DEVIATION. NURMALITY IS ASSUMED 90 4 MIN. HONLY. H 0.05 31.70 414 U.lu 405 0.15 260 Voel 146 0.25 101 0.30 95 / MIN. IDSER. K 5166 0.05 1 796 6.10 510 0.15 324 0.20 201 0.25 144 0.30

3.3.2 Multi-way Contingency Table Analysis

Count data are often analyzed in contingency table form using the Chi-Square test statistic. Classification of the observed sample in this case is limited to two criteria. For example, rodents trapped can be classified by age and sex, sex and trap type, age and species, etc. When the data are classified by more than two criteria a multi-way contingency table is created. In the above example the data could be used in a four-way table listing age, sex, trap type, and species criteria.

The proper G test statistic for multi-way contingency analysis is based on information theory as developed by Kullback (1959). (Sokal and Rohlf [1969] give a short discussion and an example illustrating application.) Interpretation of a multi-way analysis requires detailed theoretical understanding, and the user is advised to consult a knowledgeable statistician to ensure proper use.

The MULTITAB program was written by the DBDPG for multi-way contingency analysis. Contingency tables up to five-way can be handled. A series of hypothesis tests are possible; for example, a three-way table with criteria A,B,C will allow the following tests:

AXB	independence
AXC	independence
BXC	independence
AXBXC	independence
AXBXC	interaction

It should be noted that lack of independence among n criteria does not imply independence among (n-1)-way, (n-2)-way, etc. classifications.

Example Program Output:

The following hypothetical data represents classification of

rodents trapped according to 3 species, 2 trap types, 2 ages, and 2 sexes.

Trap Type	Age	Se	x
		<u>M</u>	F
Single Trap	J	14	11
	А	16	20
Double Trap	J	16	14
	А	9	14
Single Trap	J	19	15
	А	27	19
Double Trap	J	23	19
-	A	71	53
Single Trap	J	33	28
	Α	36	26
Double Trap	J	31	36
	A	38	43
	Single Trap Double Trap Single Trap	Single TrapJ ADouble TrapJ ASingle TrapJ ADouble TrapJ ASingle TrapJ ADouble TrapJ AJouble TrapJ 	MSingle TrapJA16Double TrapJA9Single TrapJA27Double TrapJA71Single TrapJA71Single TrapJA33A36Double TrapJJ31

A portion of the computer output is shown in Figure 13. Under "Source" is the criteria being tested, under "DF" is degrees of freedom, and under "G" is the value of the G statistic. The G value is to be compared with a critical chi-square value with appropriate degrees of freedom. A,B,C,D represent species, trap type, age, sex respectively. From the analysis, the hypothesis of four-way independence is rejected. At the three-way level AXBXC independence and AXB and AXC two-way independence are rejected. The four-way interaction term corresponds roughly in meaning to that given by a four-way Analysis of Variance; it is not rejected.

Example of MULTITAB Program Output

ANALYSIS OF INFORMATION

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SI	oui	RC	E		OF	1/2*G	G
A	X	8			20	8.346229	16.69246
A	X	C			2.	8.736795	17.47359
A	X	D			2.	1.502270	3.004540
B	X	C			1 .	1.819460	3.638921
8	X	D			1 .	0.4215662	0.8431325
C	X	D			2 .	•6129518E=02	•1225904E-01
A	X	B	X	C	2.	3.471880	6.943760
A	X	8	X	D	20	0.8186774	1.637355
A	X	·C	X	D	20	0.9424595	1.884919
8	X	C	X	D	2 .	•2773704E=01	•5547407E=01
11	NTI	ER	AC	TION	2.	0.1208342	0.2416684
FI	oul	R	WA'	1	180	26.21404	52.42808

3.4 General Statistical Numerical Analysis and Optimization Programs

The DBDPG maintains a library of programs useful in statistical, numerical analysis, and optimization problems. At present, over 150 programs have been acquired, and their use and interpretation is understood by DBDPG personnel. This resource is drawn upon as needed in order to analyze given problems from diverse directions. Statistical routines are useful in analyzing data, numerical analysis is useful for both data and modelling activities, and optimization programs exist for the projected trend of Desert Biome research in desert resource management decision models. A partial list of these programs follows.

Statistical

ANOVA

Regression and Correlation Analysis Least Squares Fit by Orthogonal Polynomials Curve Fitting with Constraints Factor Analysis Principal Components Analysis Discriminant Function Analysis Probability Similarity Index Normal Random Deviates

Numerical Analysis

Numerical Integration Polynomial Equation Roots Complex and Real Exponential Integral Matrix Operations Interpolation by Aitken Numerical Differentiation Fibonacci Search

Optimization

Linear Programming Goal Programming Quadratic Programming General Non-Linear Programming Critical Path Scheduling Integer Programming Least Cost Network Flow Minimal Spanning Tree 4.0 Data Set Abstract Search System

At the end of 1972 the Desert Biome Data Bank contained over 450 data sets. As individual data sets become less manageable with increased size so, too, do collections of data sets. The DBDPG views the data set collection as one large data set under the management control of a computer information retrieval system. This system, named the Data Set Abstract Search System, provides information, in the form of data set abstracts (illustrative abstract shown in Figure 3), describing data covered.

Within the Desert Biome, data sets are available to investigators for use in modelling, ecosystem comparison and syntheses, etc. The retrieval program selects a set of DSCodes of interest to the user. This is accomplished by specifying as input one or more "profile elements," selected from a Data Set Search Profile List, which are relevant to the user's interest. Each DSCode is associated with a "relevant profile element" set. The retrieval program selects DSCodes by comparing the set of "profile elements" specified by the user with the "relevant profile set" of each DSCode (retrieval programs of this principle are commonly known as Keyword Systems).

To use the program the user selects appropriate numbers representing elements from the Profile sheet. For an abstract to qualify for retrieval the "relevant profile set" must contain all of the selected elements. For example, if the user specifies "shrubs" and "Curlew Valley" only abstracts for data sets applying to shrubs in Curlew Valley will be retrieved.

Instructions for abstract retrieval requests should be requested from:

Steve Black Central Office Assistant Desert Biome Utah State University Logan, Utah 84322

When the user receives these instructions, the request form should be filled out and returned to the same address.

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