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Exploring the Universe... One Electron at a Time

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Exploring the Universe... One Electron at a Time

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Physics Department, Utah State University*



Supported by the NASA Space Environments & Effects Program and various other sources





The James Webb Space Telescope will be a giant leap forward in our quest to understand the Universe and our origins. The Webb will examine every phase of cosmic history: from the first luminous glows after the Big Bang to the formation of galaxies, stars, and planets to the evolution of our own solar system. The science goals for the Webb can be grouped into four themes:

IR Space Telescopes

- **SDL's Wide-field Infrared Survey Explorer**
- **Herschel Space Observatory**
- **Spitzer Space Telescope**
- **James Webb Space Telescope**



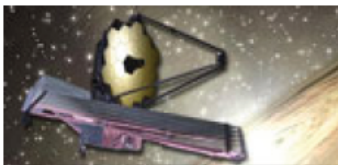
The End of the Dark Ages: First Light and Reionization seeks to identify the first bright objects that formed in the early Universe, and follow the ionization history.



Assembly of Galaxies will determine how galaxies and dark matter, including gas, stars, metals, physical structures (like spiral arms) and active nuclei evolved to the present day.



The Birth of Stars and Protoplanetary Systems focuses on the birth and early development of stars and the formation of planets.



Planetary Systems and the Origins of Life studies the physical and chemical properties of solar systems (including our own) and where the building blocks of life may be present.

What Is Different About JWST?

Extremely Faint Objects

Large sensitive optics

Large Open Structure

Size and weight constraints

Minimal shielding

Large fluxes

Observations in IR

Penetration through intergalactic dust clouds

Optimized for (0.6 –28 μm)

Very Low Temperature

Passive cooling

Virtually all insulators go to infinite resistance—perfect charge integrators

Large Sunshield

Large areas

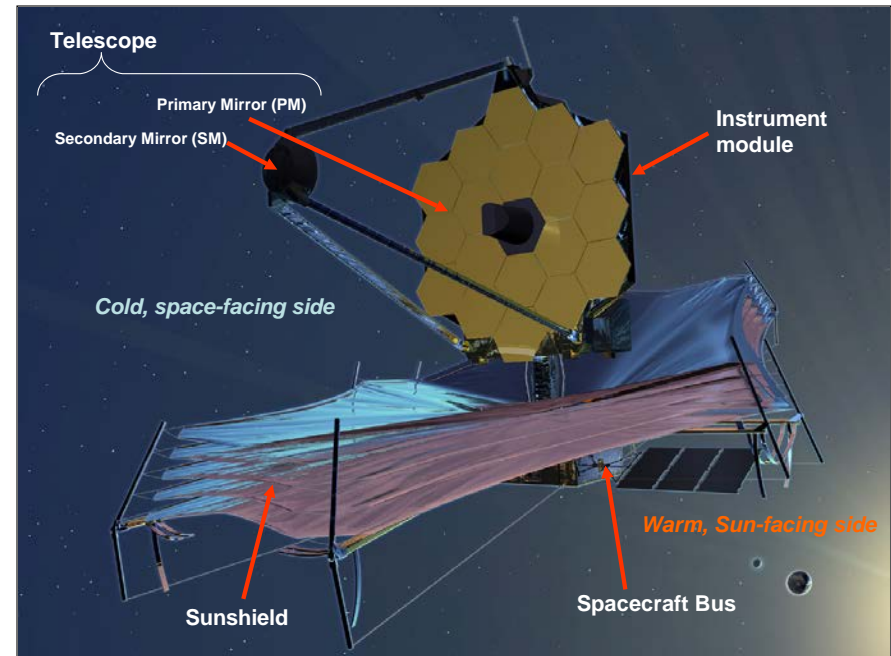
Constant eclipse with no photoemission

Stable, Low Light Environment

Orbit at L2

Large solar activity variations

In and out of magnetotail



Long Mission Lifetime (10-20 yr)

No repairs

Very long integration times

Complex, Sensitive Hardware

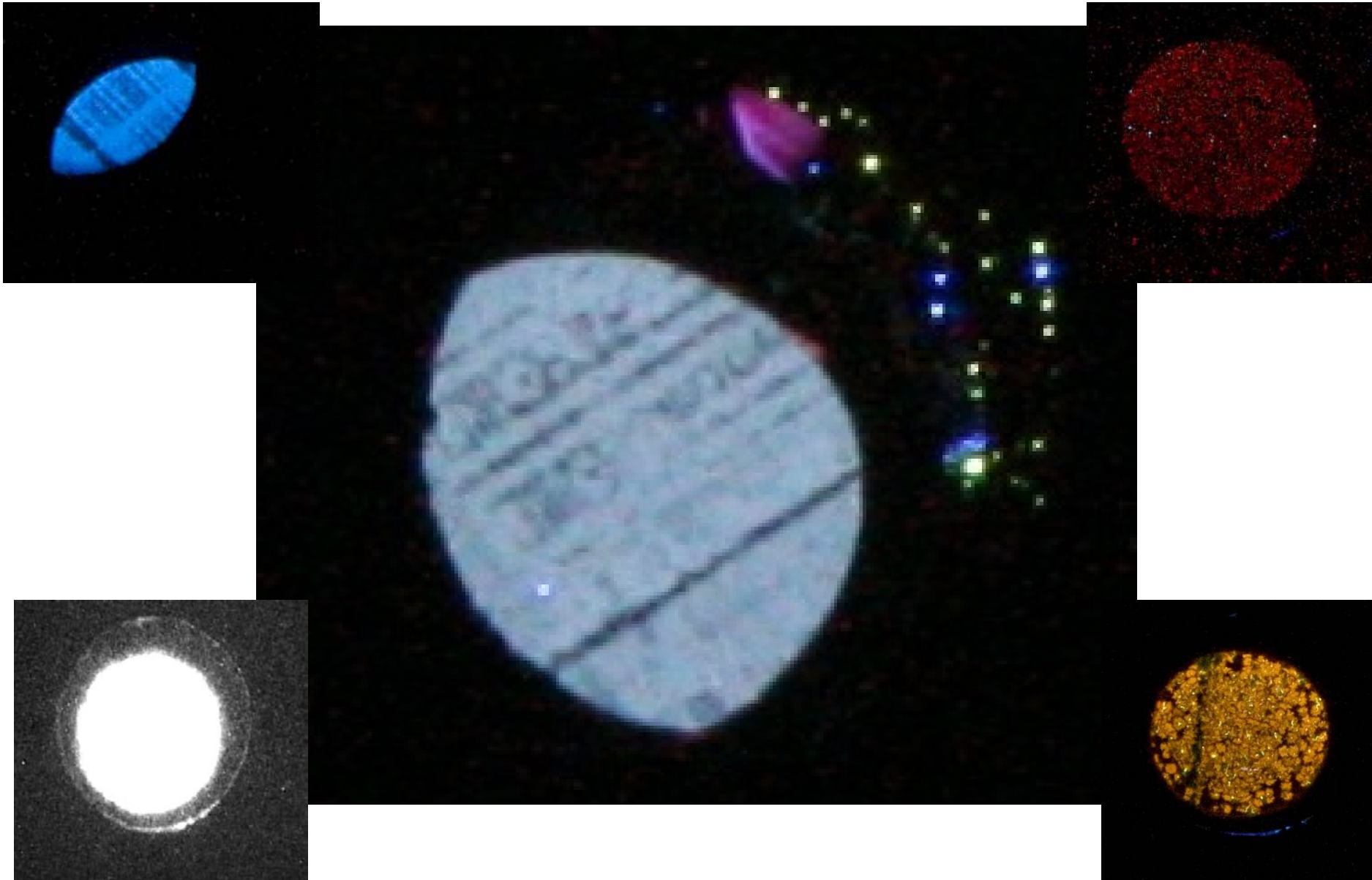
Large sensitive optics

Complex, cold electronics

Paradigm Shift in Design Methods

To big for conventional ground tests

Images from JWST



NASA's concern for spacecraft charging is caused by plasma environment electron, ion, and photon-induced currents.
Charging can cause performance degradation or complete failure.

Majority of all spacecraft failures and anomalies due to the space environment result from plasma-induced charging

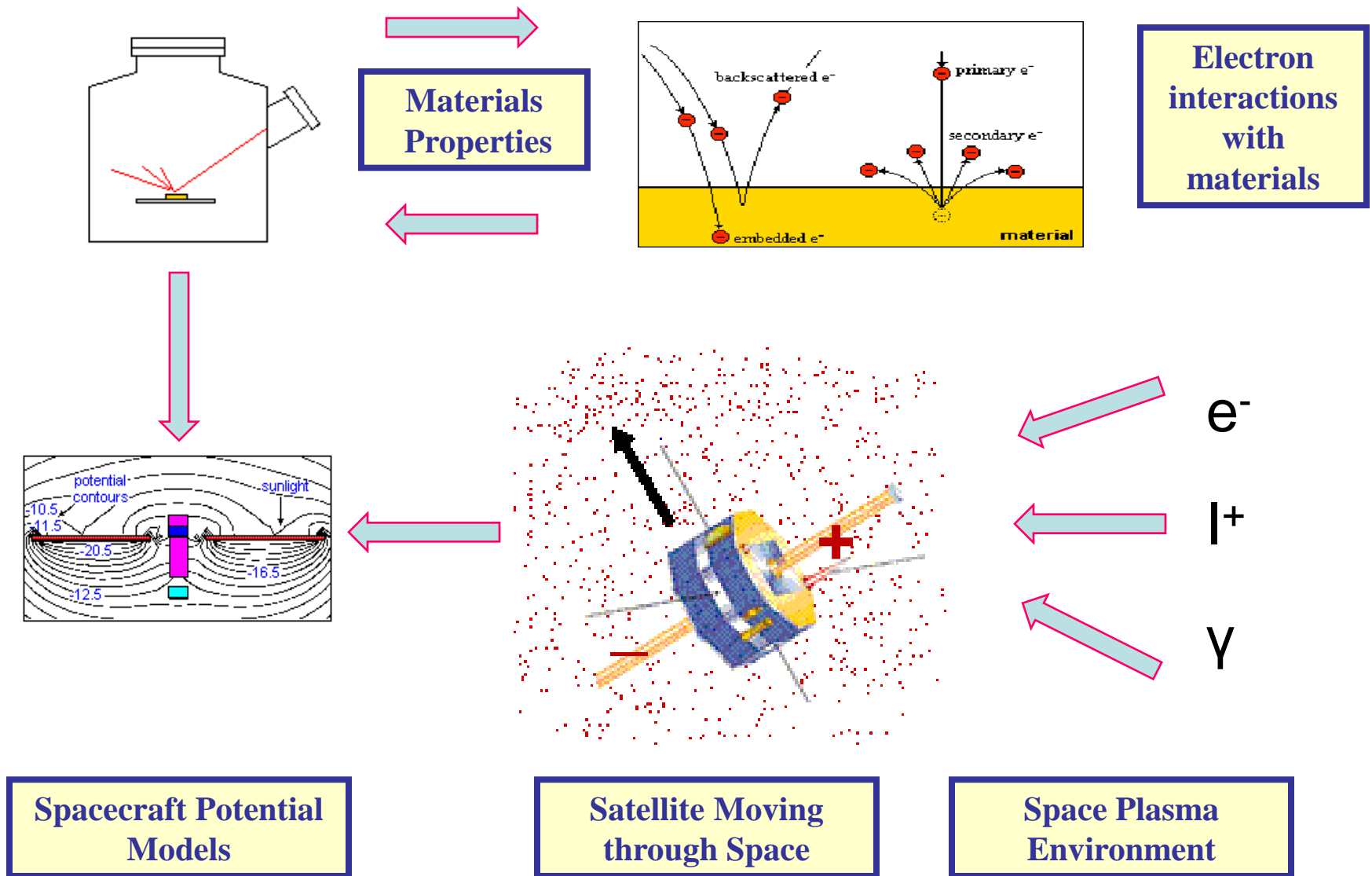
- *Single event interrupts of electronics*
- *Arching*
- *Sputtering*
- *Enhanced contamination*
- *Shifts in spacecraft potentials*
- *Current losses*



Solar panel damaged by localized charging event

National Aeronautics and Space Administration
Lewis Research Center

A Simplified Approach to Space Environment Interactions Modeling...



JWST

Sunshield
Space
Passive Cooling
Light Flux
Charge Flux
Low Flux
Mission Lifetime
(~20 yr)

USU Lab

Small Test Samples (~1 cm²)
UHV Chamber (~10⁻⁹ Torr)
Cryo Cooling (>30 K)
No Light (dark room & chamber)
Monoenergetic e-Beam (20eV to 30keV)
Low Flux (<0.05 nA/cm² to >500 nA/cm²)
Fountain of Youth and Pot of Au
➔ Accelerated Testing

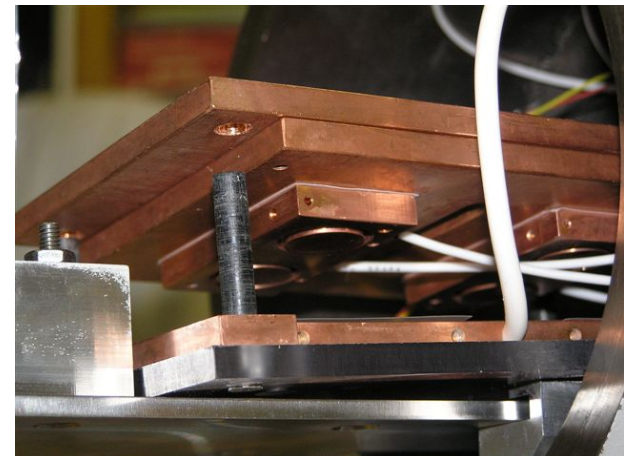
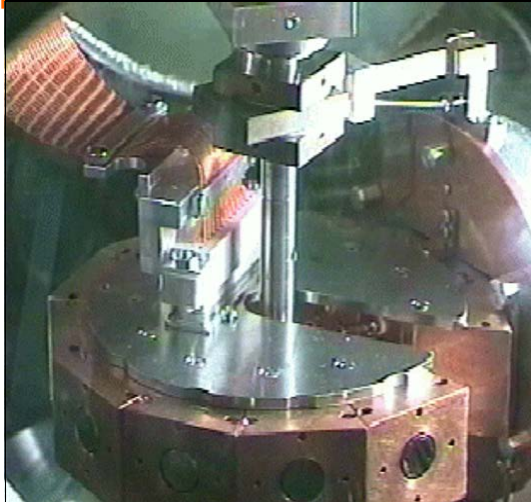
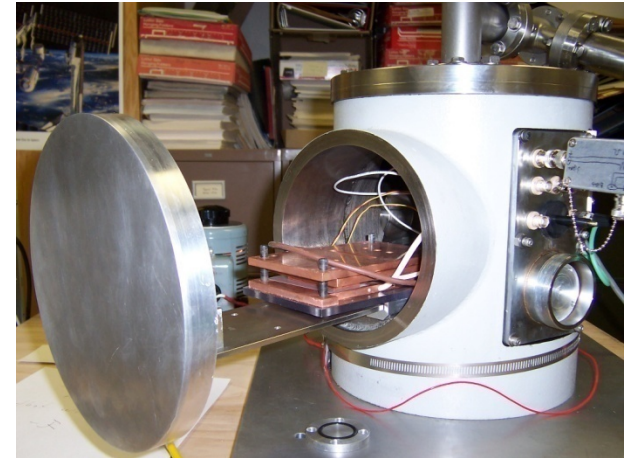
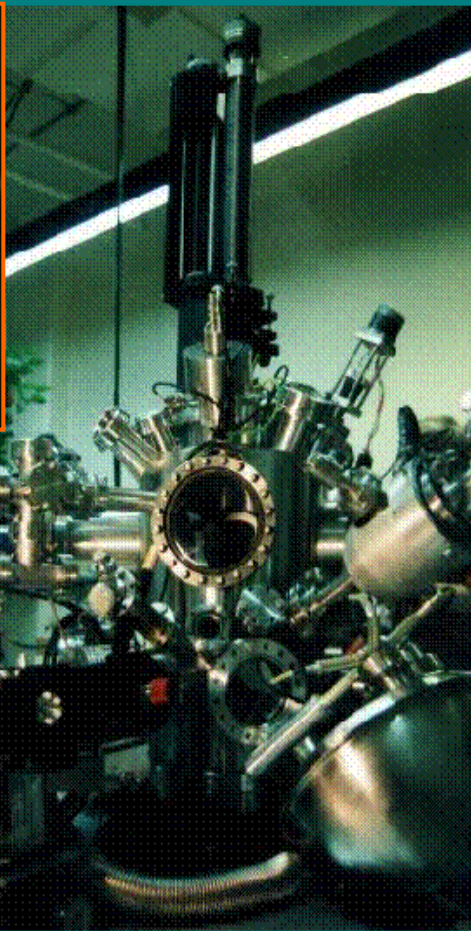
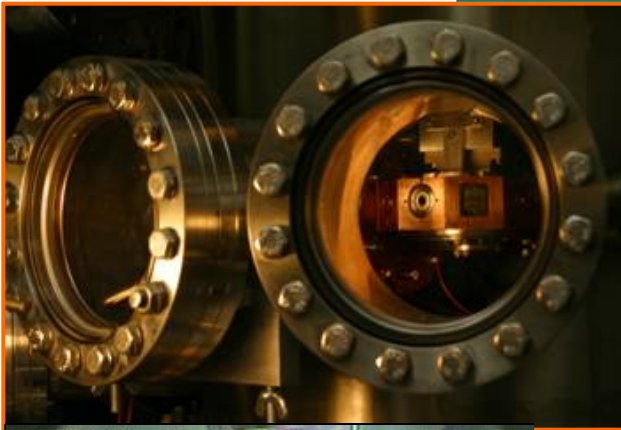
Materials Physics Group Measurement Capabilities

Electron Emission
Ion Yield

Photoyield
Luminescence

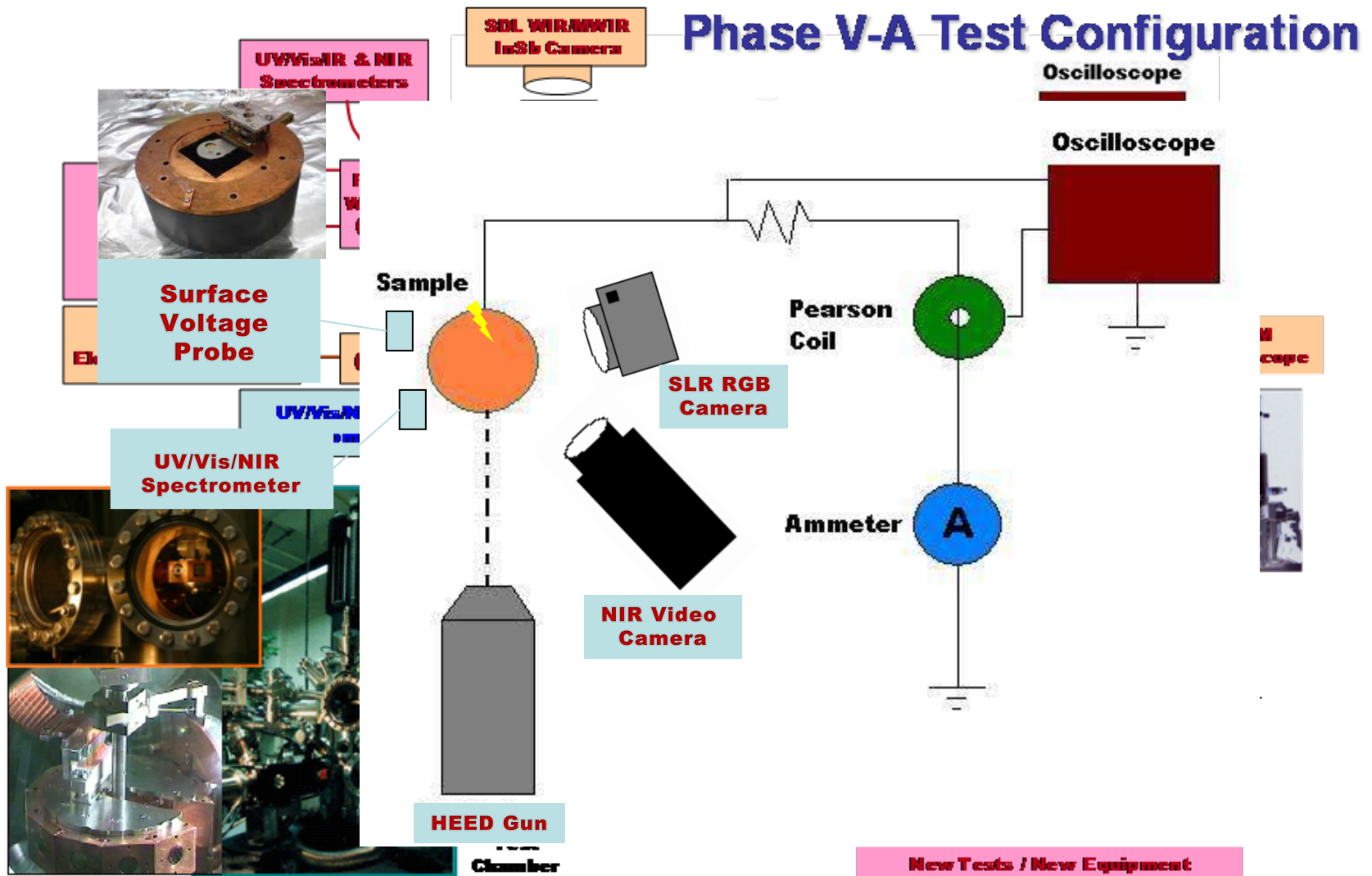
Conductivity
Electrostatic Discharge

Radiation Induced Cond.
Radiation Damage



Dependence on: Press., Temp., Charge, E-field, Dose, Dose Rate

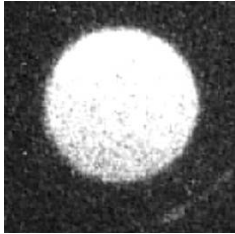
USU Arc/Glow Test Configuration



Sample cooled with I-N₂ to 100-135 K.
Chamber walls at ambient.

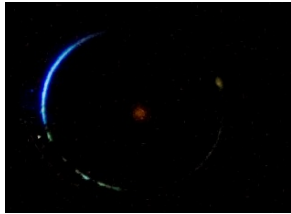
Diversity of Emission Phenomena in Time Domain

Ball Black Kapton Runs 131 and 131A	22 keV 135 K	110 or 4100 uW/cm ² 5 or 188 nA/cm ²
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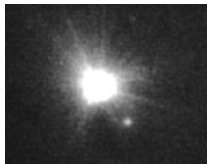
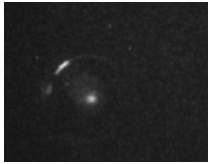
Surface Glow

Relatively low intensity
Always present over full surface when e-beam on
May decay slowly with time



Edge Glow

Similar to Surface Glow, but present only at sample edge



1

2

"Flare"

2-20x glow intensity
Abrupt onset
2-10 min decay time

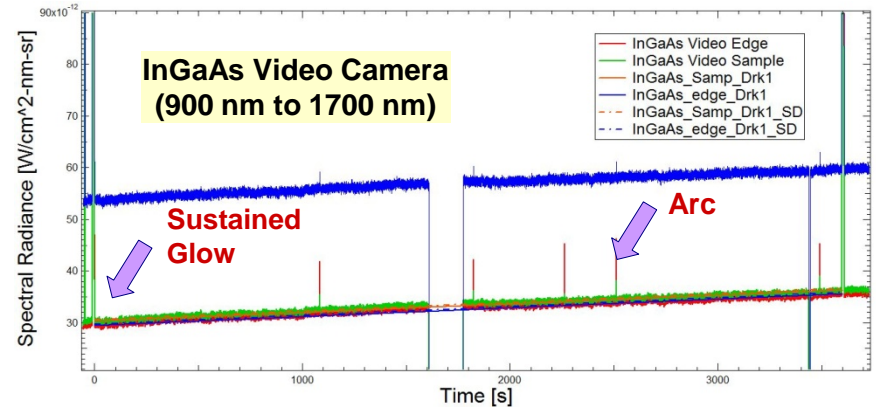
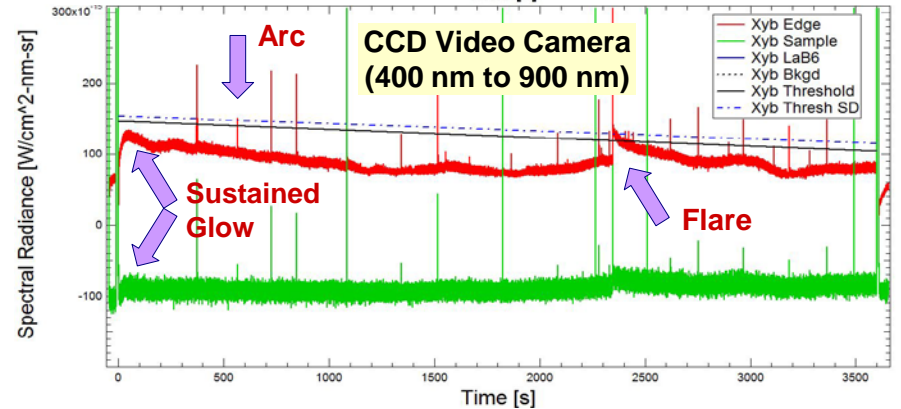
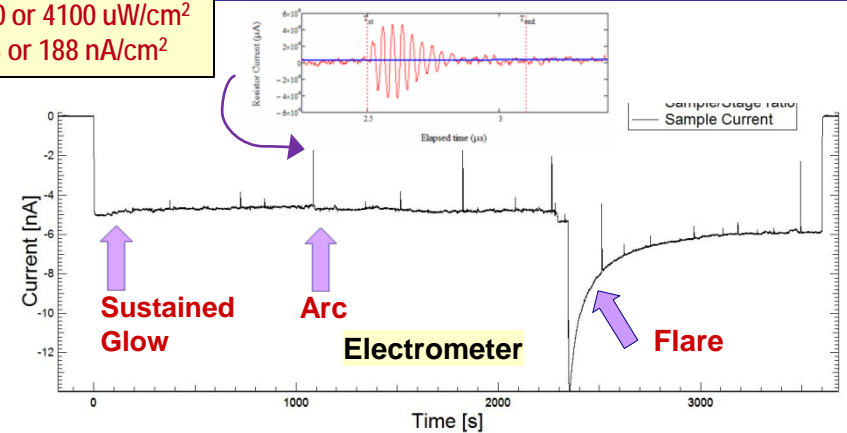


3

4

Arc

Relatively very high intensity
10-1000X glow intensity
Very rapid <1 us to 1 s



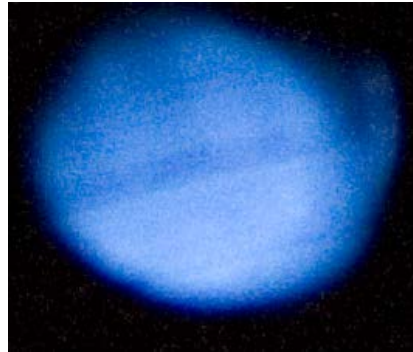
Glow Increases with Increasing Flux, Energy and Power

e^- Energy

M55J

~110 $\mu\text{W}/\text{cm}^2$
~5 nA/cm^2
22 keV
135 K

Run 122



M55J

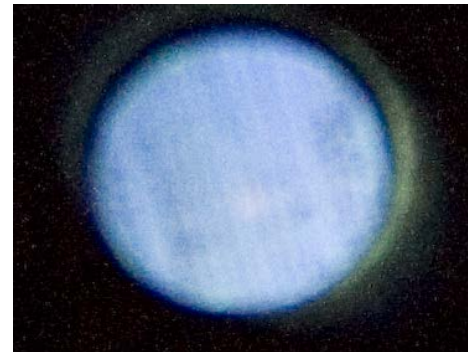
~4100 $\mu\text{W}/\text{cm}^2$
~188 nA/cm^2
22 keV
135 K

Run 122A

M55J

~35 $\mu\text{W}/\text{cm}^2$
~5 nA/cm^2
7 keV
128 K

Run 121



M55J

~1300 $\mu\text{W}/\text{cm}^2$
~188 nA/cm^2
7 keV
128 K

Run 121A

e^- Flux

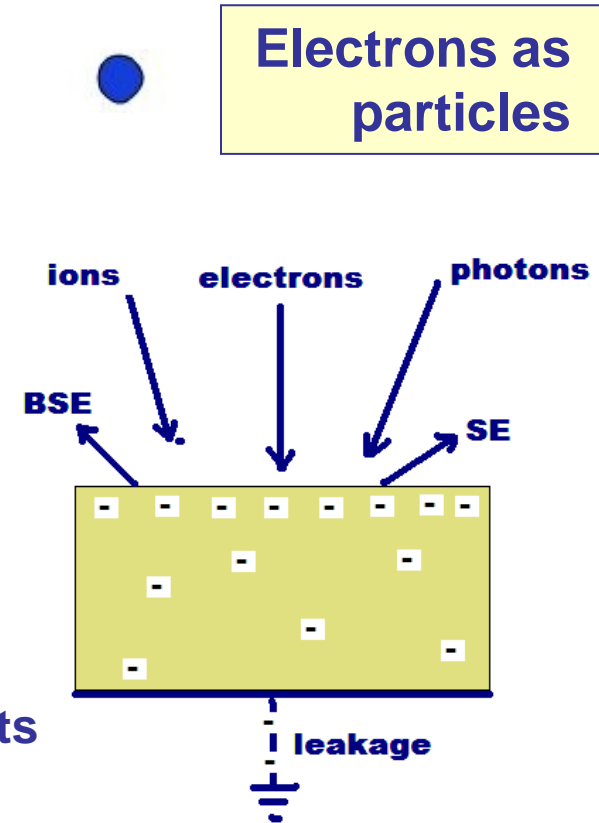
- Surface Glow, Edge Glow, and Arcing Frequency are all found to increase with increasing incident electron flux and energy.

A Simple Analogy for Corpuscular Charge Storage:



How full is the bucket?

- Problem complicated by:
- The incident electron and ion fluxes acts to fill the bucket.
 - Two types of charge
 - Charge interactions
 - Electron (ion, and photon) emission determines how much charge splashes out of the bucket as it is being filled.
 - Charge distributions
 - Different incident species
 - Materials properties of charge repository
 - Conductivity of insulating materials determines:
 - Conductors—Grates
 - Semiconductors—Sieves
 - Leaky insulators—Leaky buckets
 - Good insulators—Good buckets
 - Extreme insulators—Frozen buckets
 - Time scale for charge transport and dissipation.



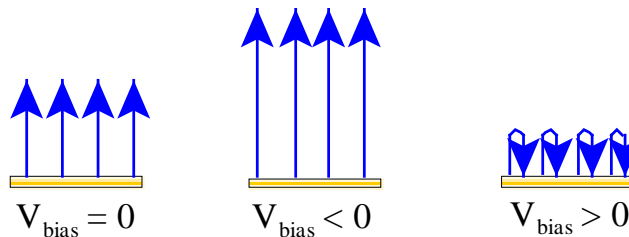
Three Critical Processes in Charging

Range

Electron Yield

Conduction

Depth electrons penetrate
is energy dependent

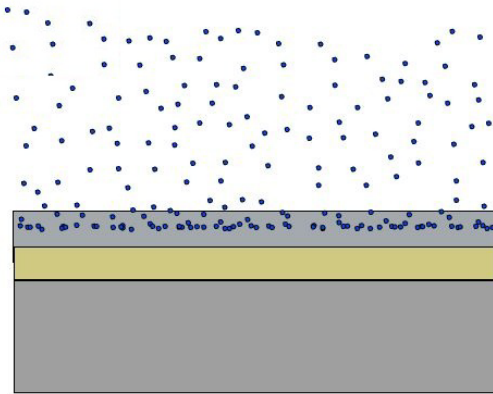


Conductivity determines
deposited charge layer
movement

It's all about where the electrons are-- $n_e(z)$

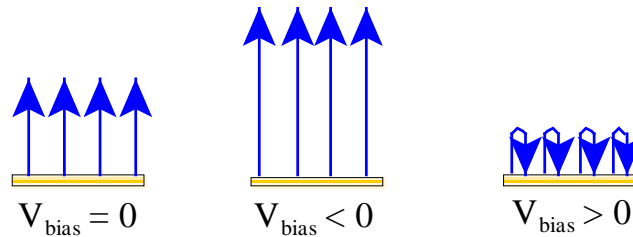
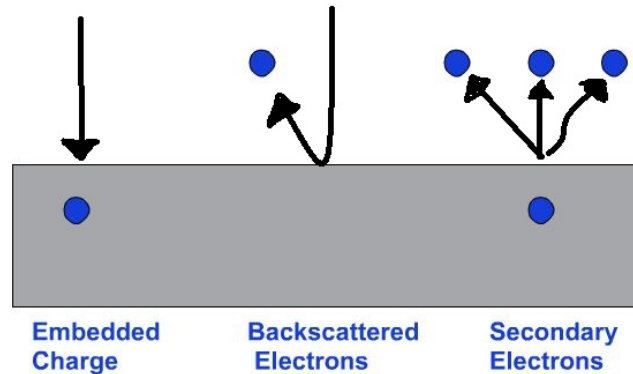
Three Critical Processes in Charging

Range

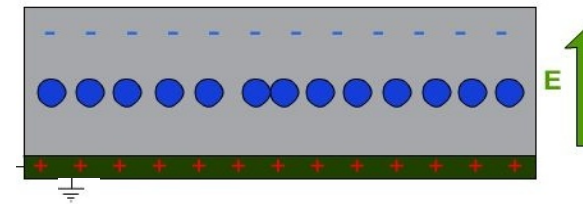


Depth electrons penetrate is energy dependent

Electron Yield



Conduction



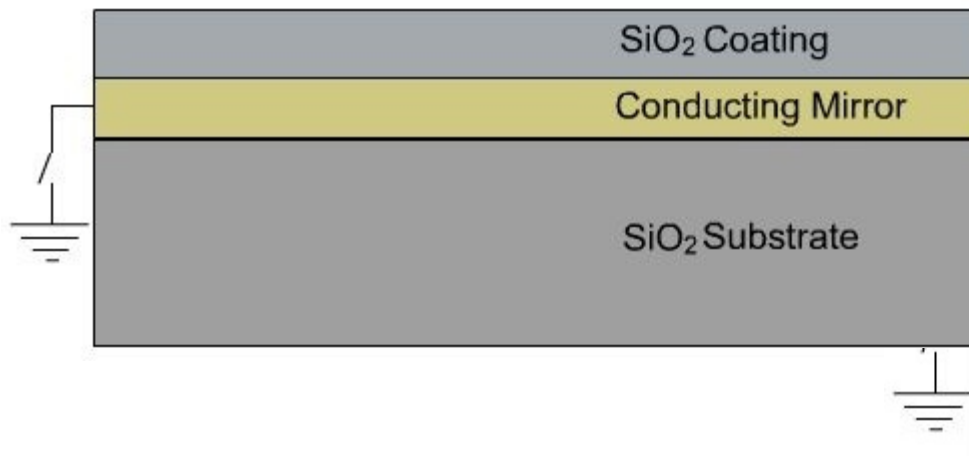
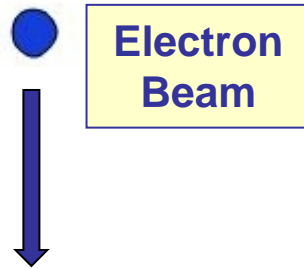
Conductivity determines deposited charge layer movement

It's all about where the electrons are-- $n_e(z)$

Charging of a Dielectric Coated Optical Mirror

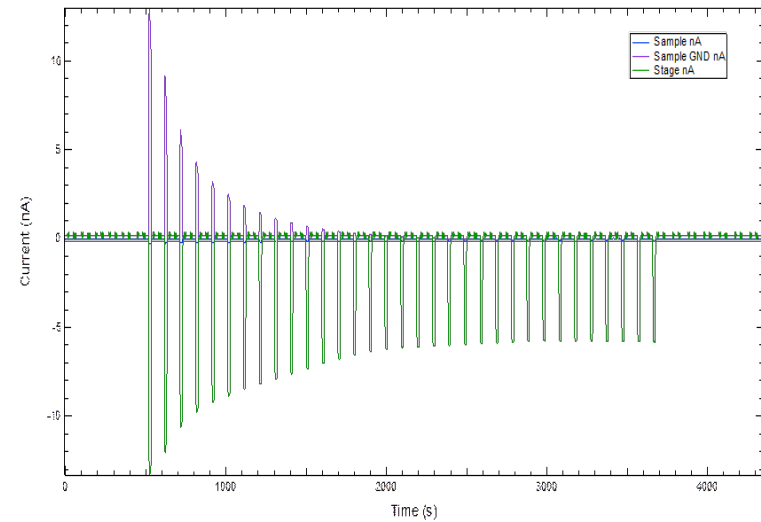
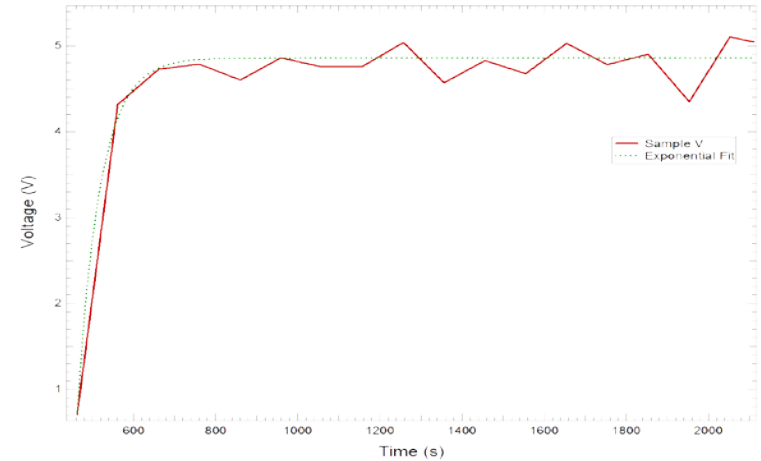
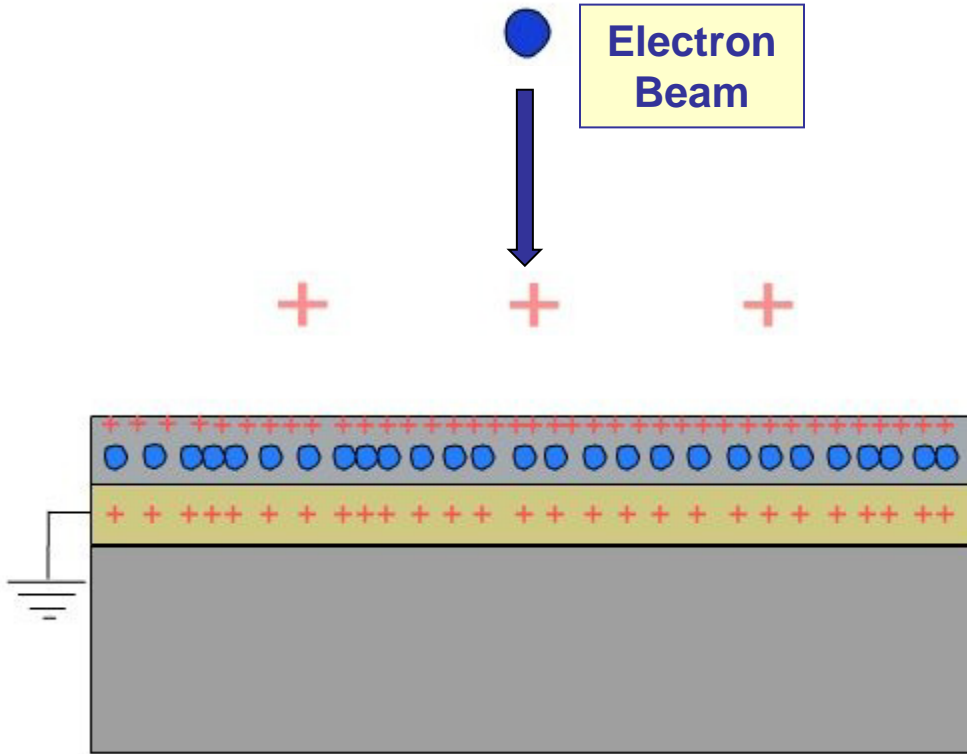
Charging Scenarios

- Low Energy
 - Grounded
 - Ungrounded
- High Energy
 - Grounded
 - Ungrounded



1 cm Mirror sample

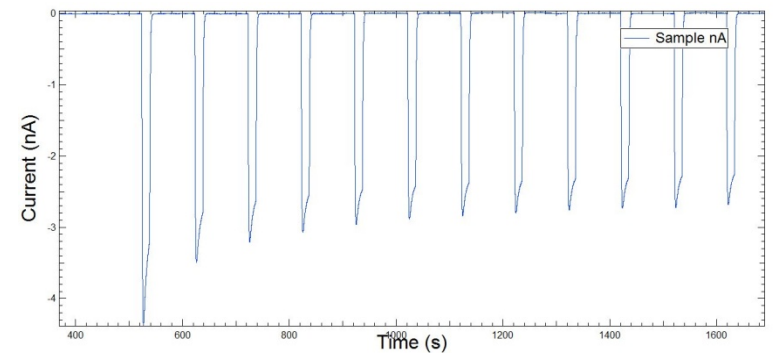
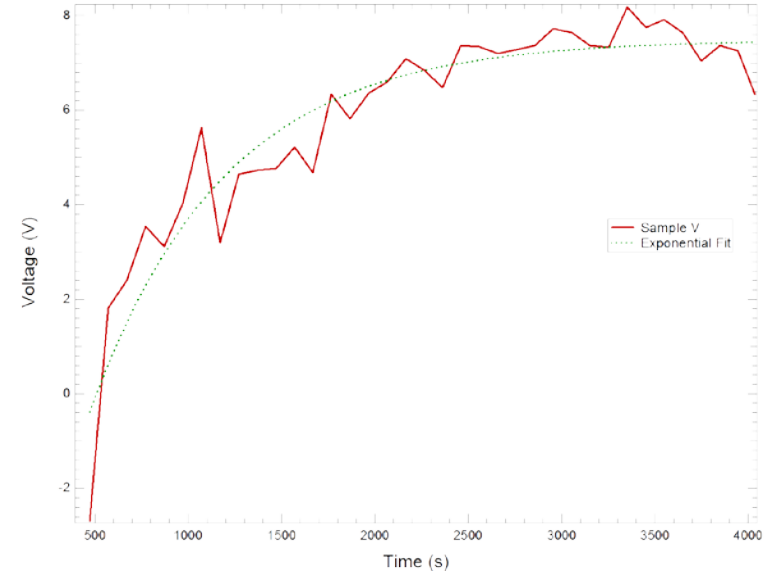
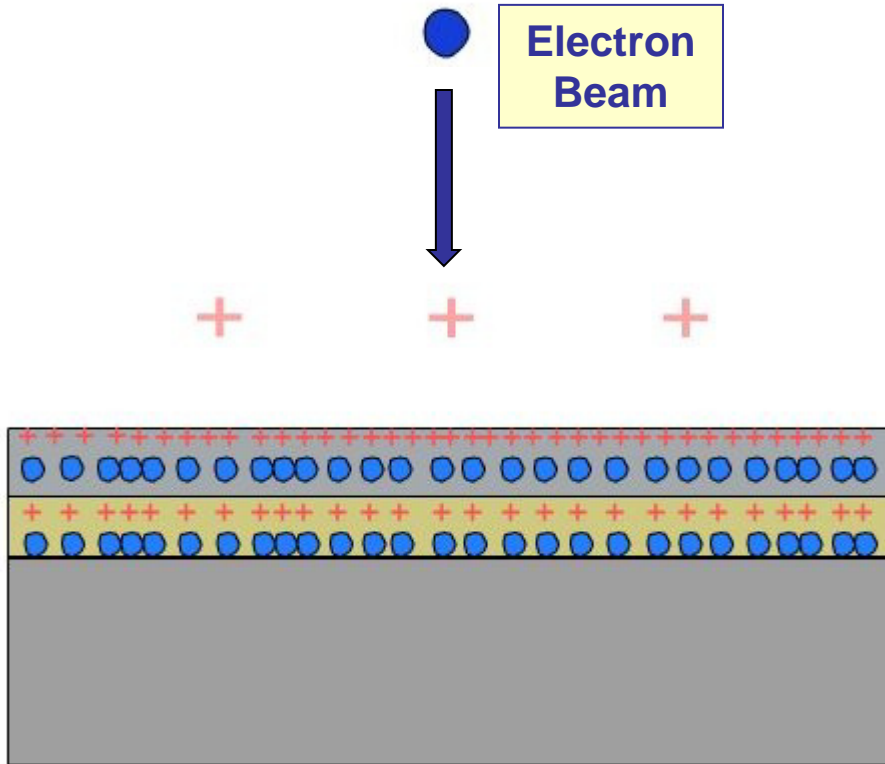
Low Energy - Grounded



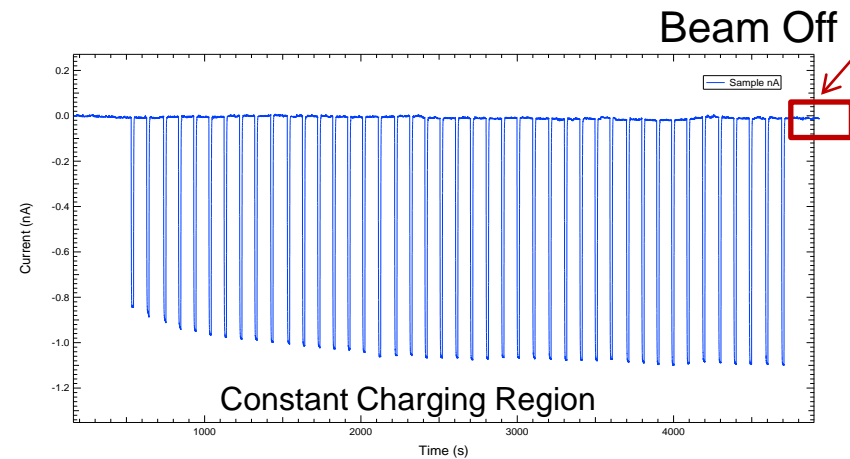
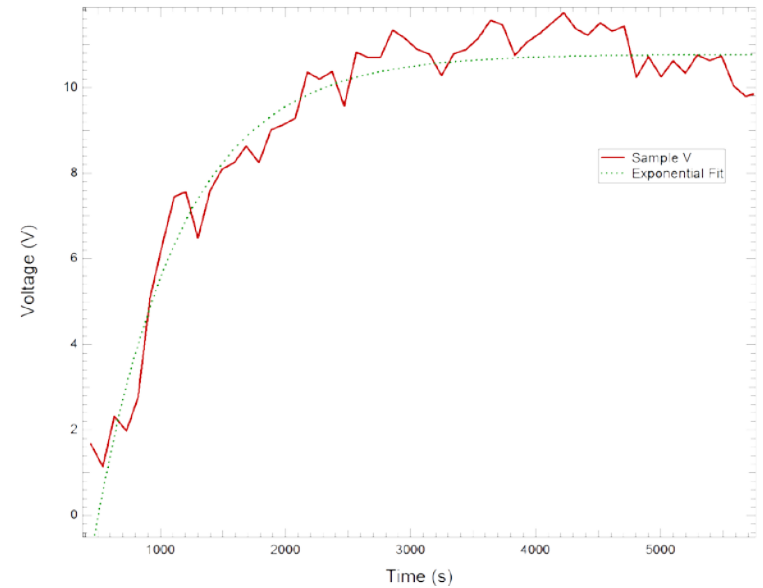
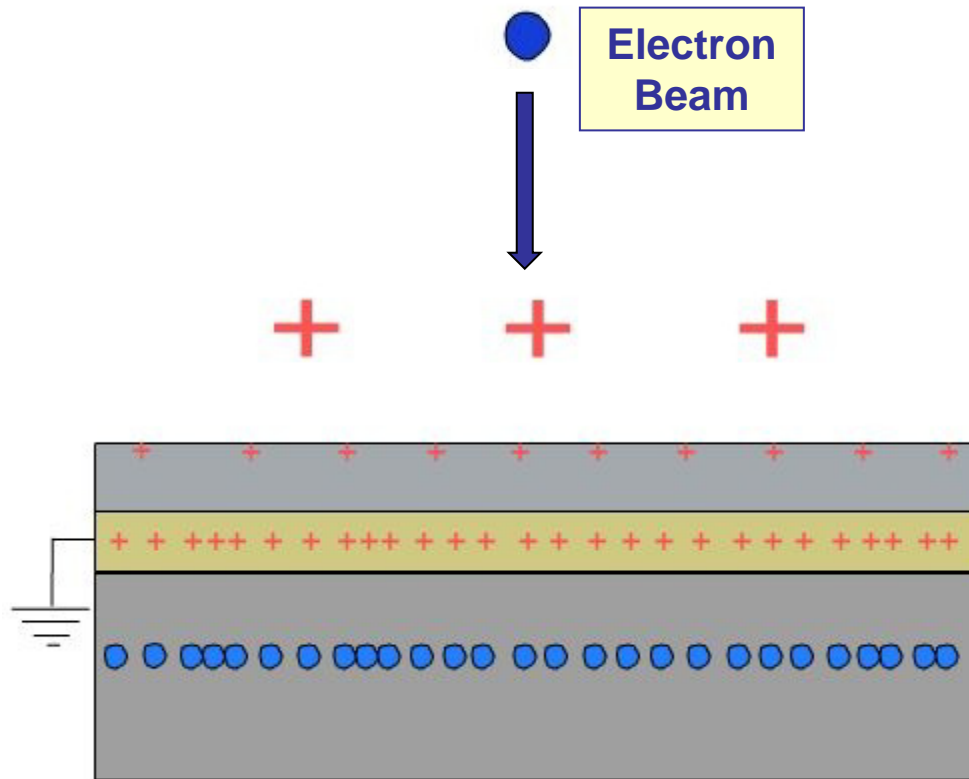
$$V_s(t) = V_o \left[1 - e^{-t\sigma_{DC}/\epsilon_o\epsilon_r} \right]$$

$$V_o = \frac{\bar{J}_0 [1 - Y(E_b)]}{\sigma_{DC}} R(E_b) \frac{[D - R(E_b)]}{D}$$

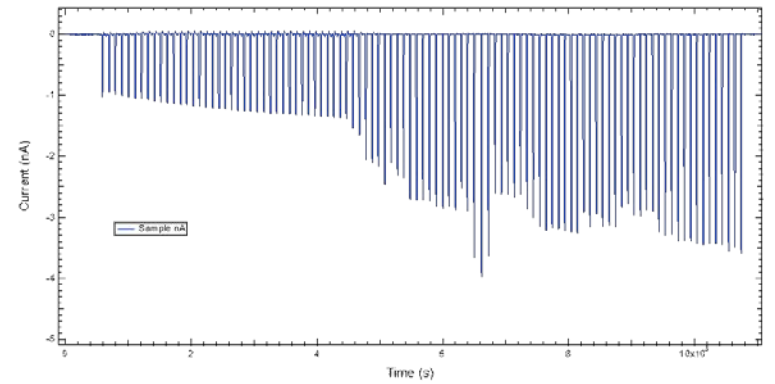
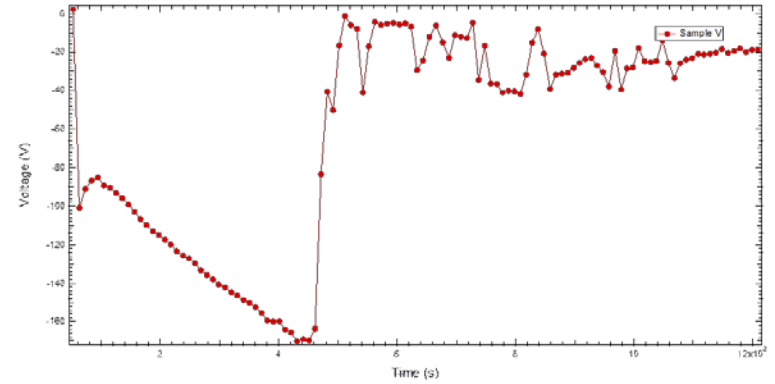
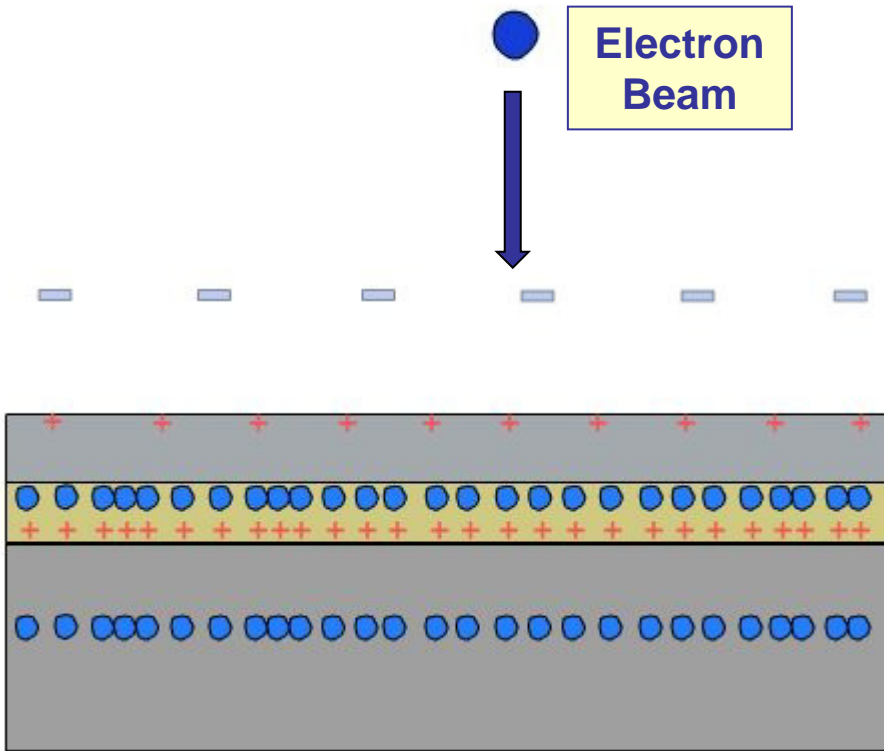
Low Energy - Ungrounded



High Energy - Grounded

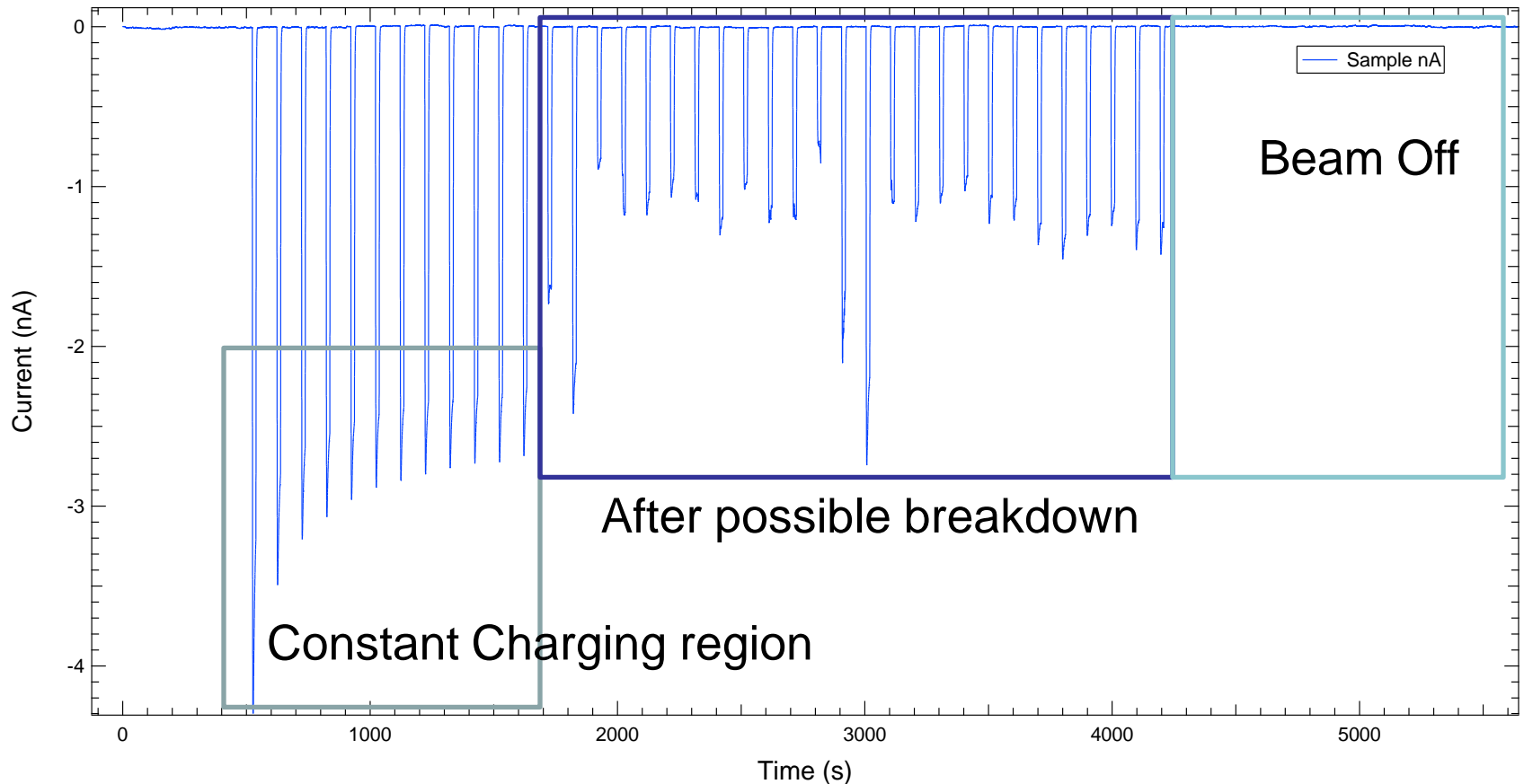


High Energy - Ungrounded

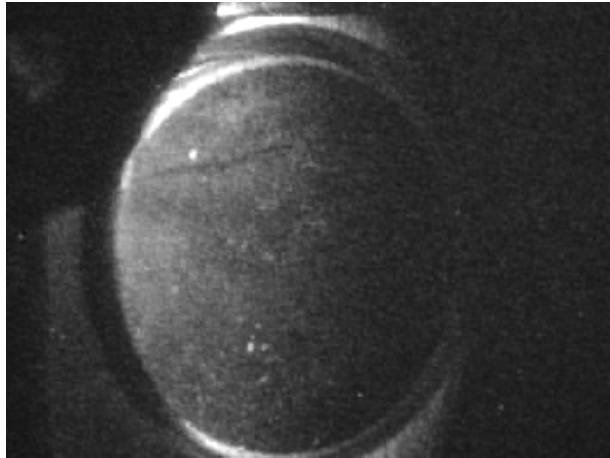


High negative net potentials led to breakdown and arcing

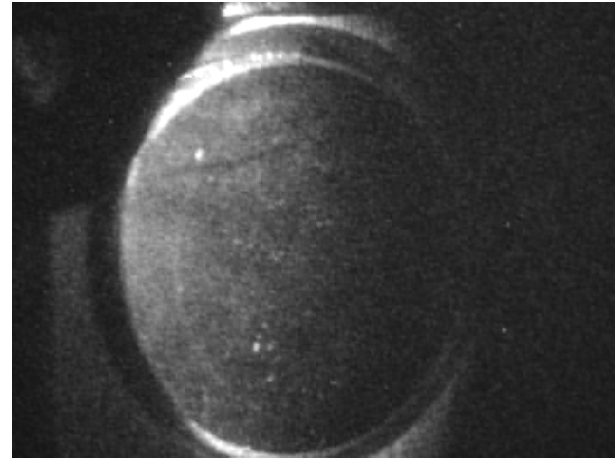
Ungrounded POM Mirror Test Results



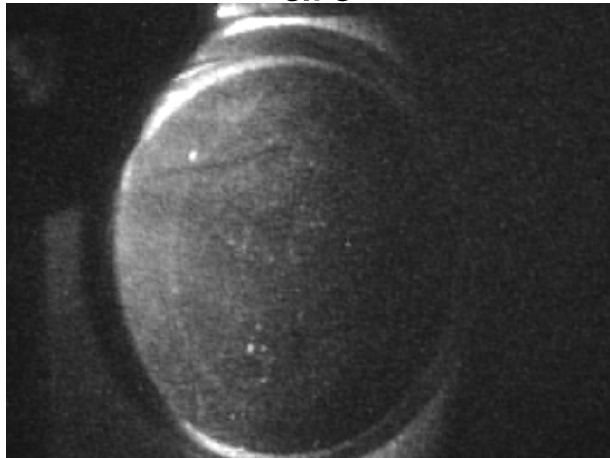
Example of POM Arc-Video



Frame before
arc



Frame of
arc



Frame after
arc



Difference between frame
before and frame of arc

Complete set of dynamic transport equations

$$J = q_e n_e(z, t) \mu_e F(z, t) + q_e D \frac{dn_{tot}(z, t)}{dz} \quad \{\text{Sum of electron drift and diffusion current densities } J_i\}$$

$$\frac{\partial}{\partial z} F(z, t) = q_e n_{tot} / \epsilon_0 \epsilon_r \quad \{\text{1D Gauss's Law}\}$$

$$\frac{\partial n_{tot}(z, t)}{\partial t} - \mu_e \frac{\partial}{\partial z} [n_e(z, t) F(z, t)] - q_e D \frac{\partial^2 n_e(z, t)}{\partial z^2} = N_{ex} - \alpha_{er} n_e(z, t) n_{tot}(z, t) + \alpha_{et} n_e(t) [N_t(z) - n_t(z, t)]$$

{1D Continuity equation with drift, diffusion and source terms}

$$\frac{dn_h(z, t)}{dt} = N_{ex} - \alpha_{er} n_e(z, t) n_h(z, t)$$

{1D hole continuity equation with Generation and recombination terms}

$$\frac{dn_t(z, \epsilon, t)}{dt} = \alpha_{et} n_e(z, t) [N_t(z, \epsilon) - n_t(z, \epsilon, t)] - \alpha_{te} N_e \exp\left[-\frac{\epsilon}{kT}\right] n_t(z, \epsilon, t)$$

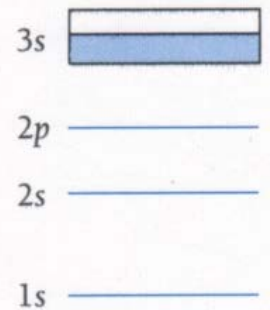
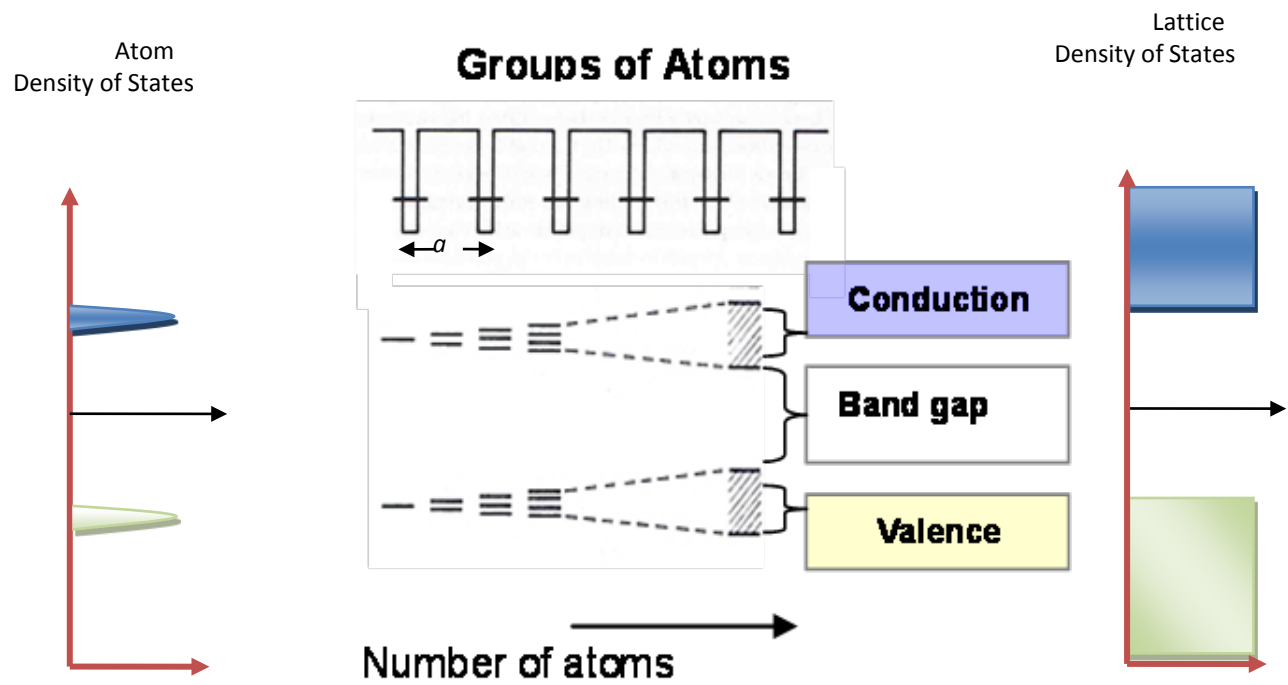
{1D trapping continuity equation for electrons}

A quantum mechanical model

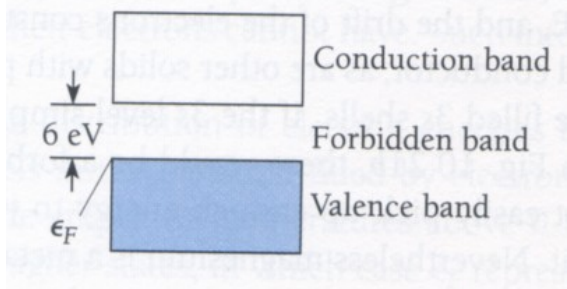
of the spatial and energy distribution of the electron states



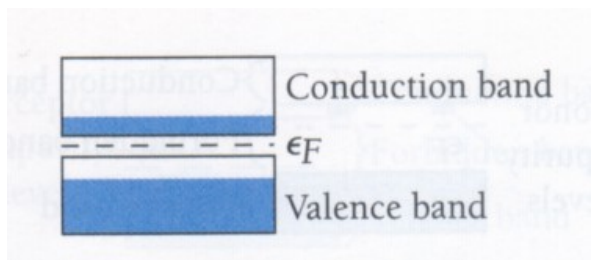
Band Theory of (Crystalline) Conductors, Insulators and Semiconductors



Conductor
Partially filled bands

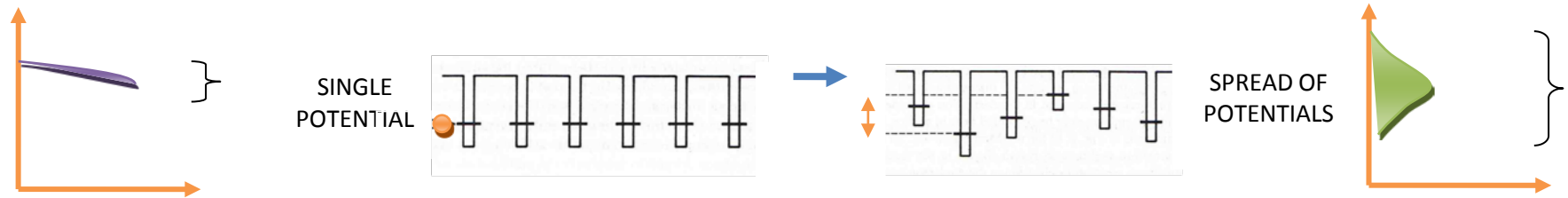


Insulator
Completely filled bands

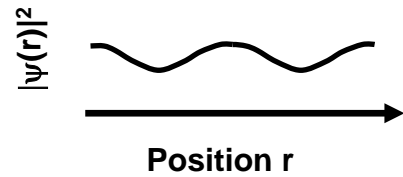


Semiconductor
Insulators at finite T

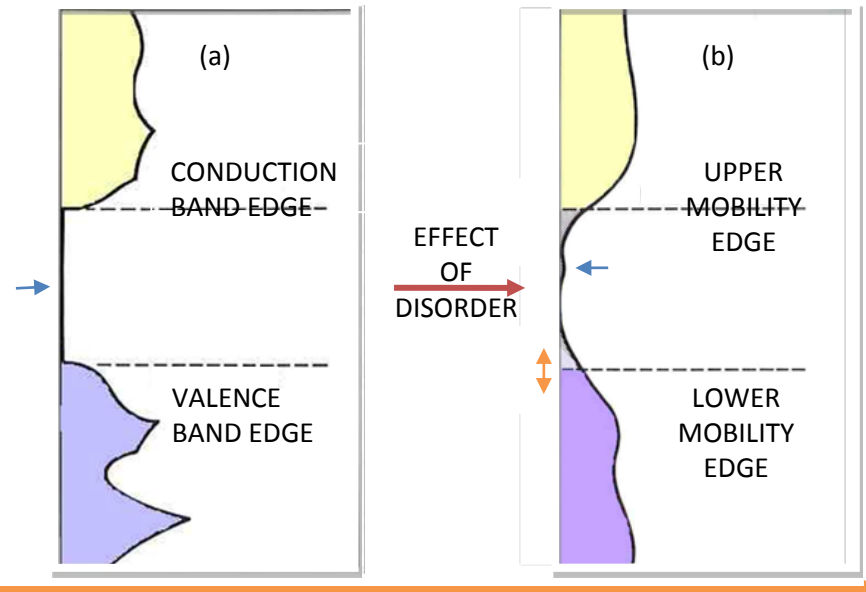
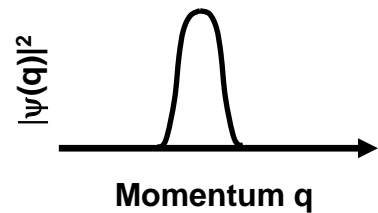
Disorder introduces localized states



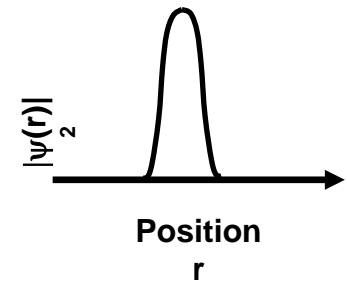
Delocalized in real space



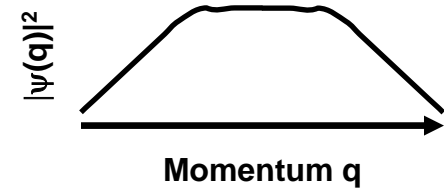
Localized in momentum space



Localized in real space



Delocalized in momentum space

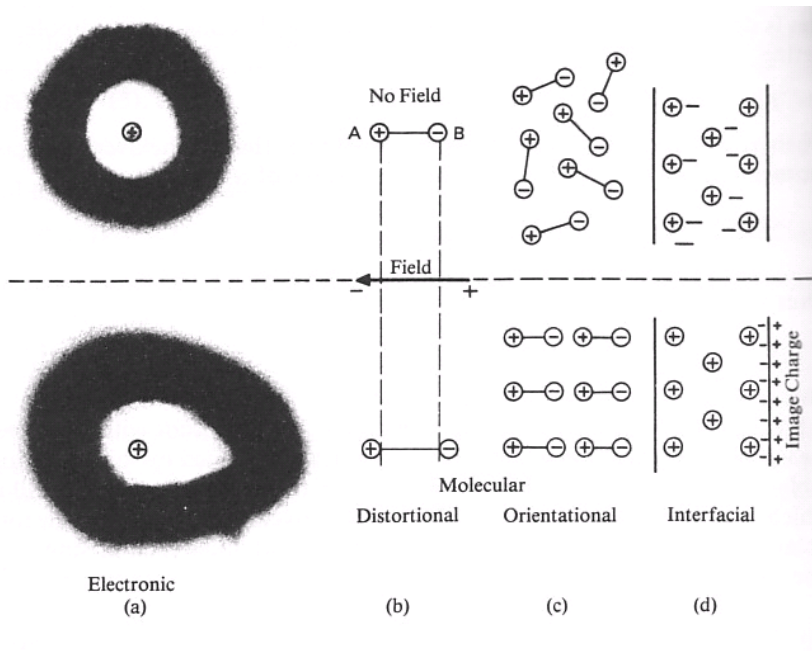


Conductivity in Highly Disordered Insulation Materials

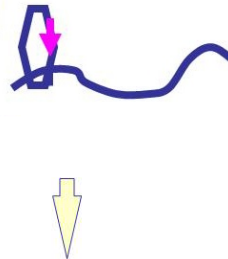
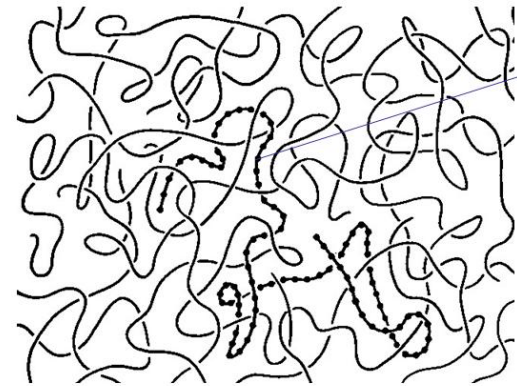
$$\sigma(t) = \sigma_{DC} + \sigma_{Polarization}(t) + \sigma_{Diffusion}(t) + \sigma_{Dispersion}(t) + \sigma_{Transit}(t) + \sigma_{RIC}(t)$$

Polarization

$$\sigma_{Pol}^0 e^{-t/\tau_{Pol}}$$



E



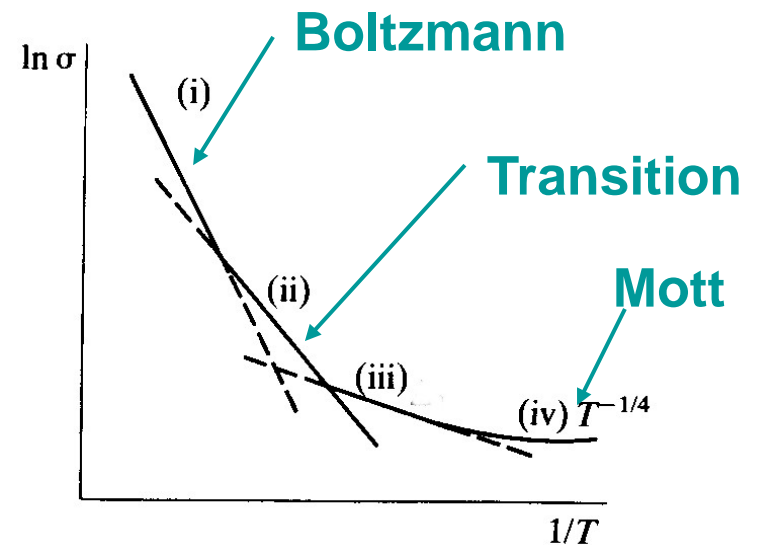
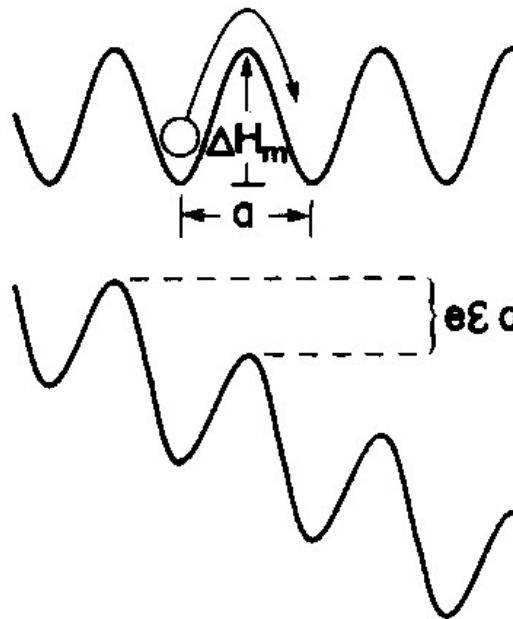
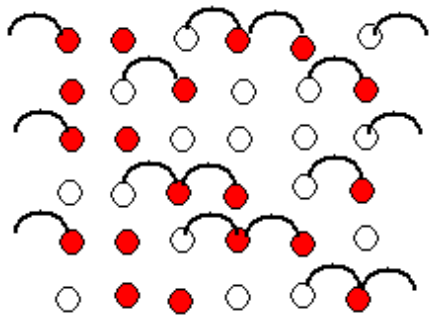
P

Drift and hopping conductivity

$$\sigma(t) = \sigma_{DC} + \sigma_{Polarization}(t) + \sigma_{Diffusion}(t) + \sigma_{Dispersion}(t) + \sigma_{Transit}(t) + \sigma_{RIC}(t)$$

$$\sigma_{Diff}^0 t^{-1}$$

$$\sigma_{hop}(E, T) = \left[\frac{2 \cdot n(T) \cdot v \cdot a \cdot e}{E} \right] \exp \left[\frac{-\Delta H}{k_B \cdot T} \right] \sinh \left[\frac{\varepsilon \cdot E \cdot a}{2 \cdot k_B \cdot T} \right]$$

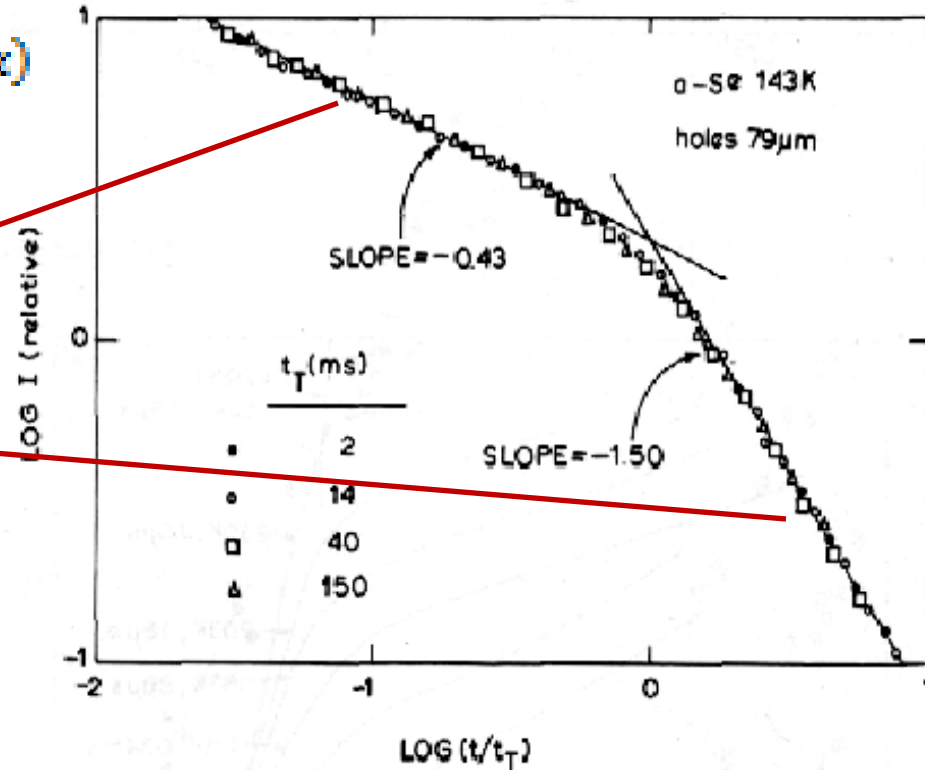
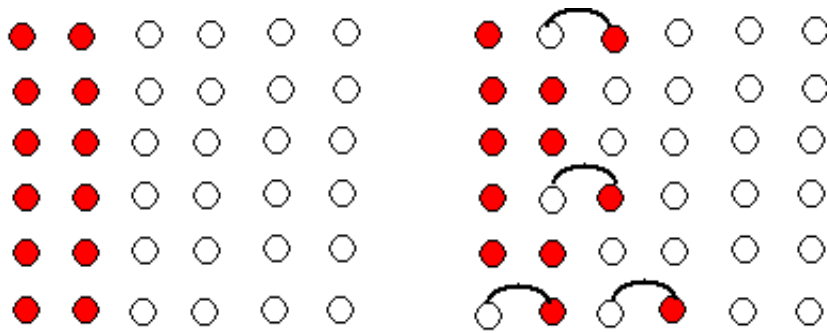


Dispersive transport

$$\sigma(t) = \sigma_{DC} + \sigma_{Polarization}(t) + \sigma_{Diffusion}(t) + \sigma_{Dispersion}(t) + \sigma_{Transit}(t) + \sigma_{RIC}(t)$$

$$\sigma_{Disp}^0 t^{-(1-\alpha)} + \sigma_{Trans}^0 t^{-(1+\alpha)}$$

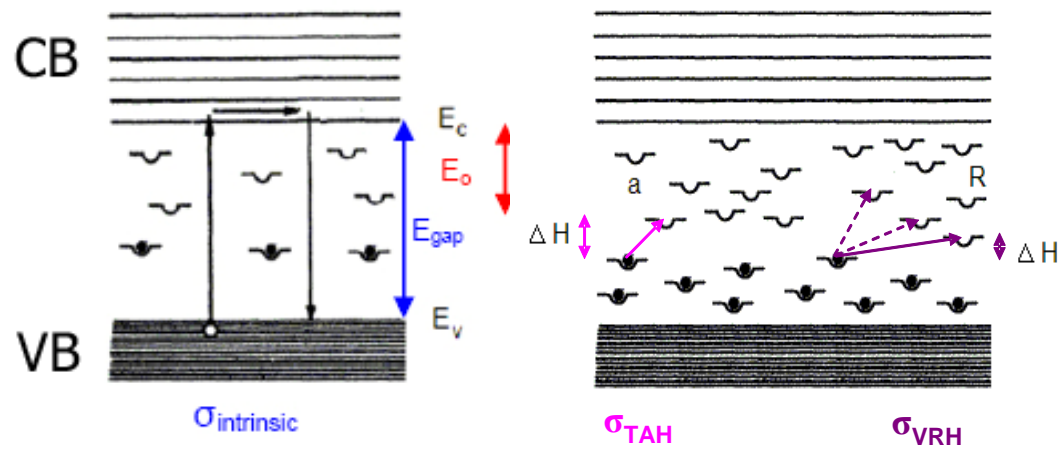
$$I(t) \sim \begin{cases} t^{-(1-\alpha)}, & t < t_T \\ t^{-(1+\alpha)}, & t > t_T \end{cases}$$



RIC and Luminescence

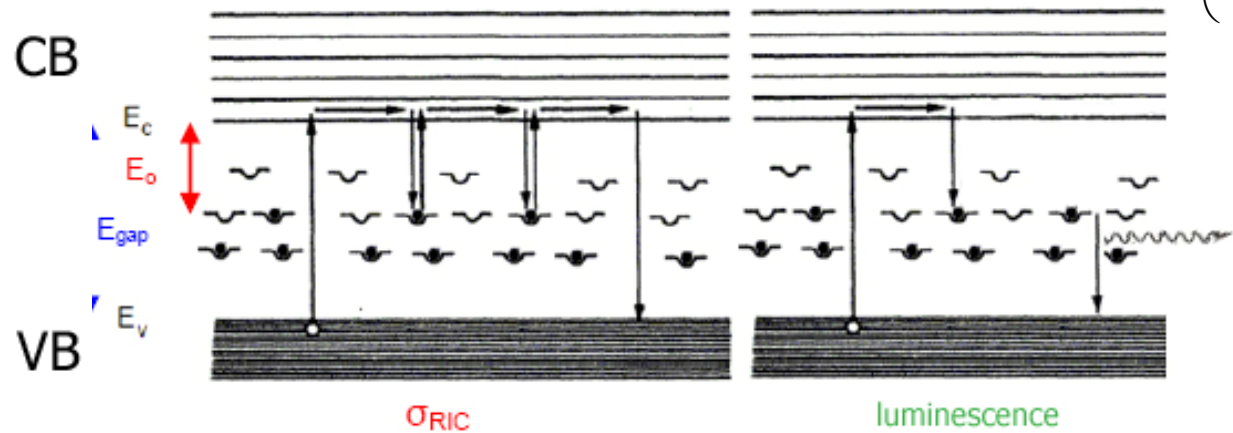
$$\sigma(t) = \sigma_{DC} + \sigma_{Polarization}(t) + \sigma_{Diffusion}(t) + \sigma_{Dispersion}(t) + \sigma_{Transit}(t) + \sigma_{RIC}(t)$$

- conduction electrons
- holes
- empty traps
- filled traps
- radiation filled traps

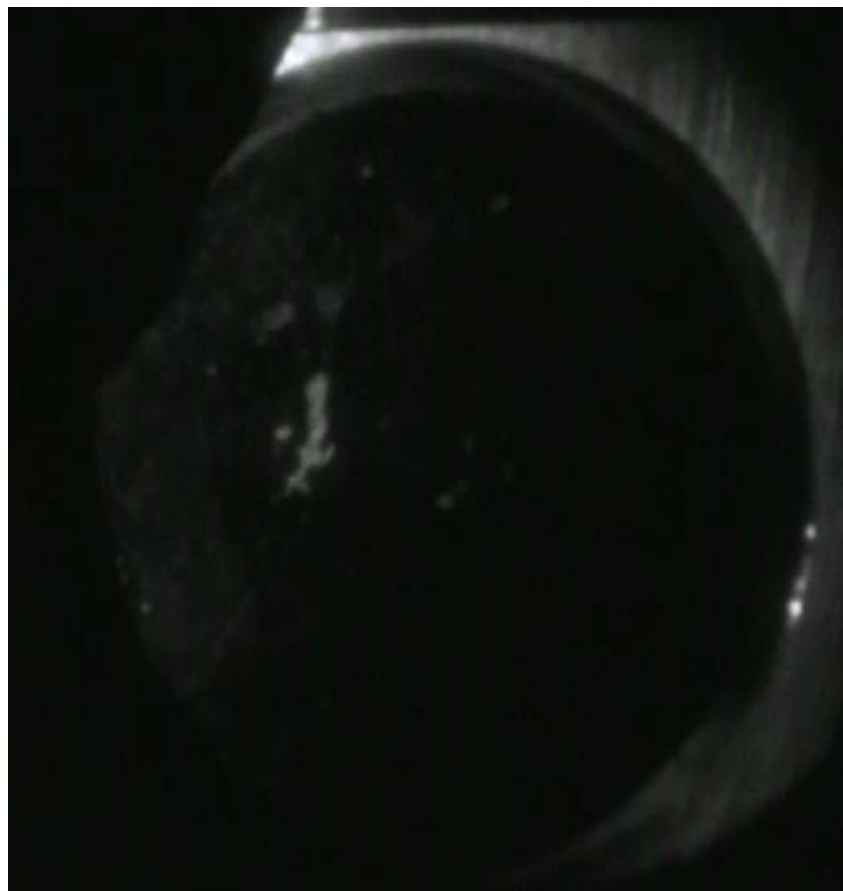


$$\sigma_{RIC}(\dot{D}) = k \cdot \left(\dot{D} \right)^\Delta$$

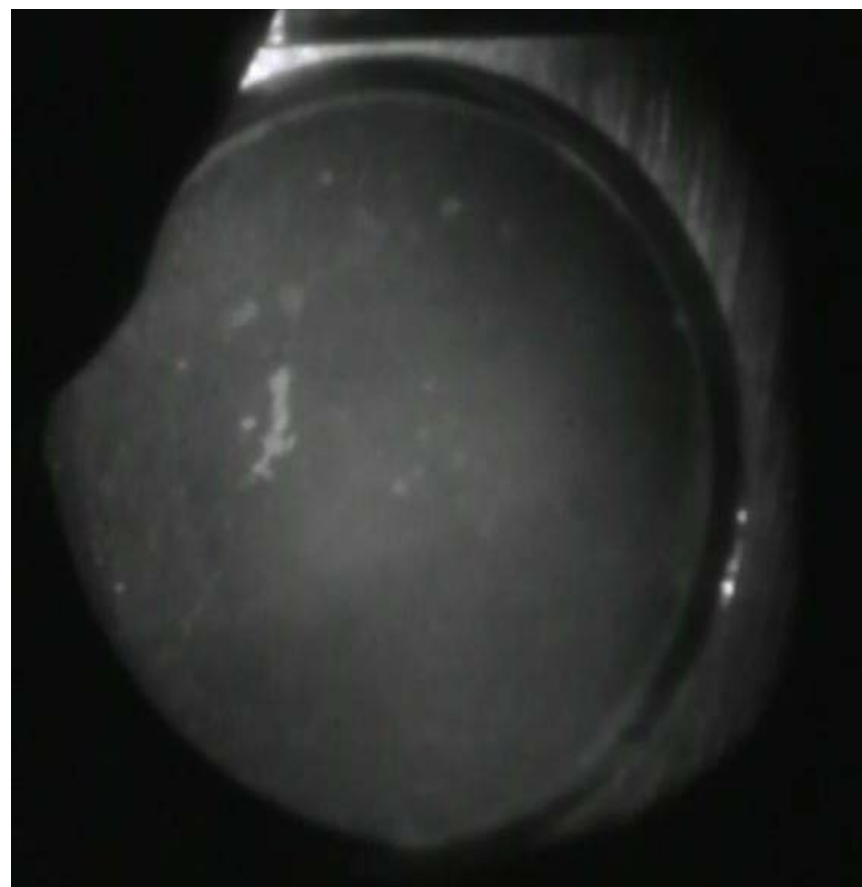
- conduction electrons
- holes
- empty traps
- filled traps
- radiation filled traps



Electron-Induced Luminescence of SiO₂ Mirror

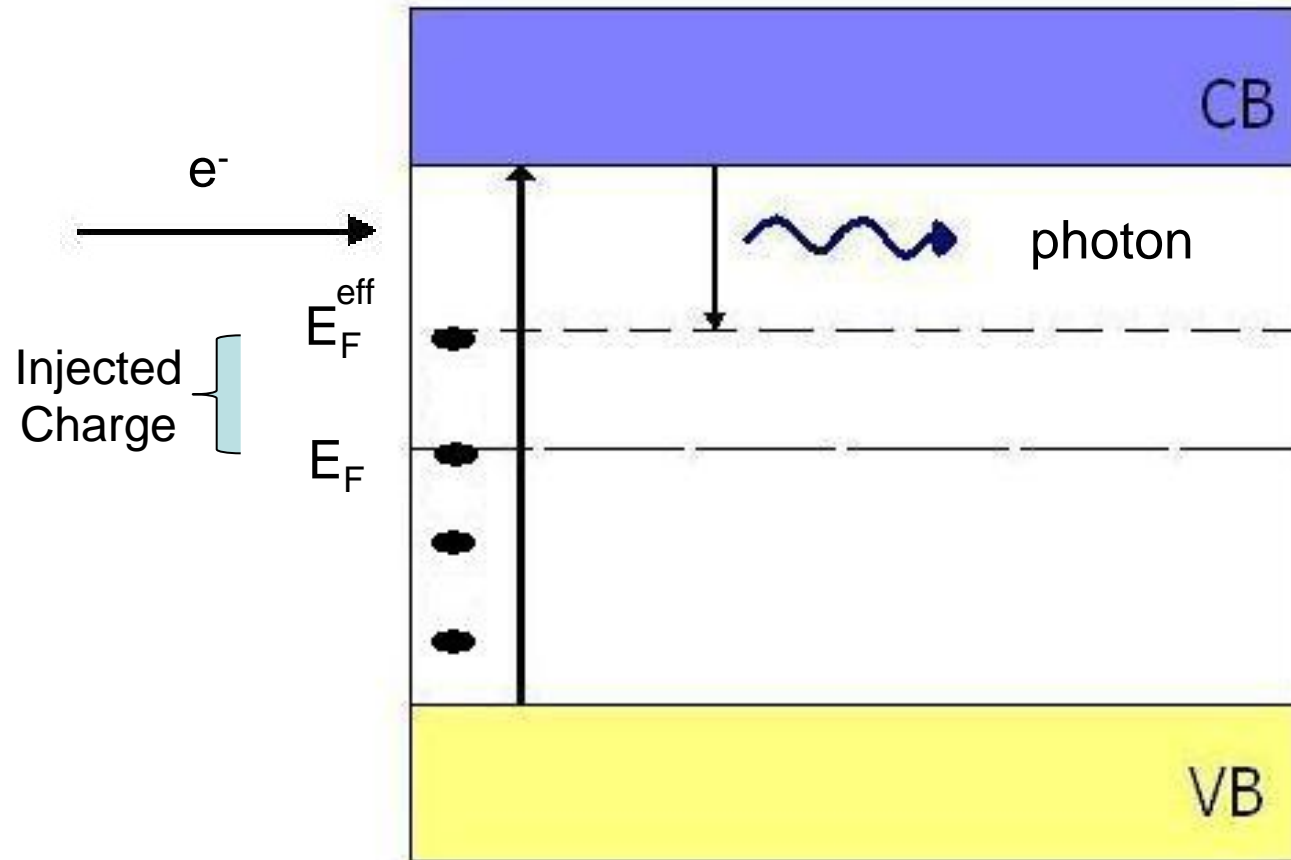


Beam off

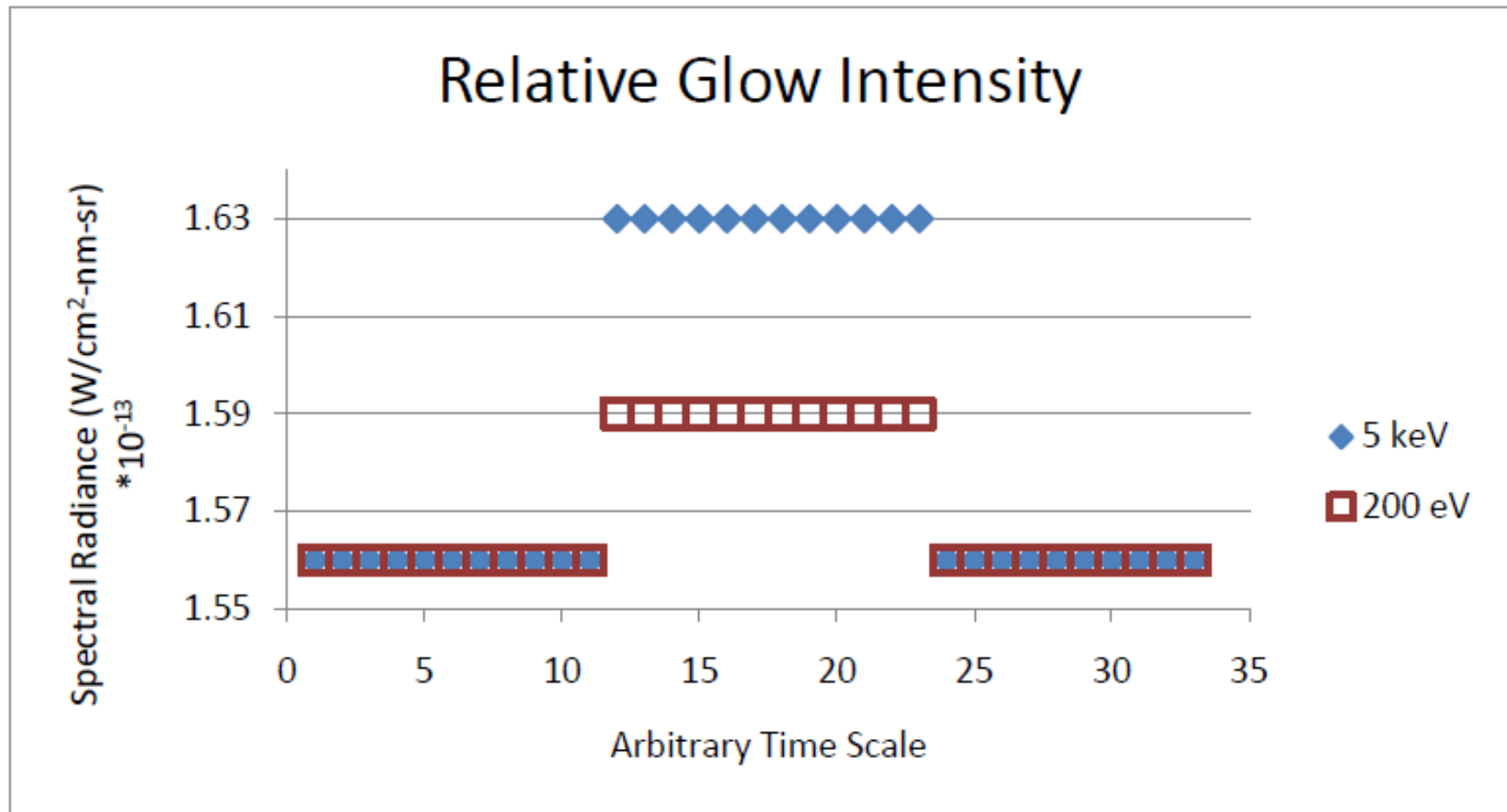


Beam on

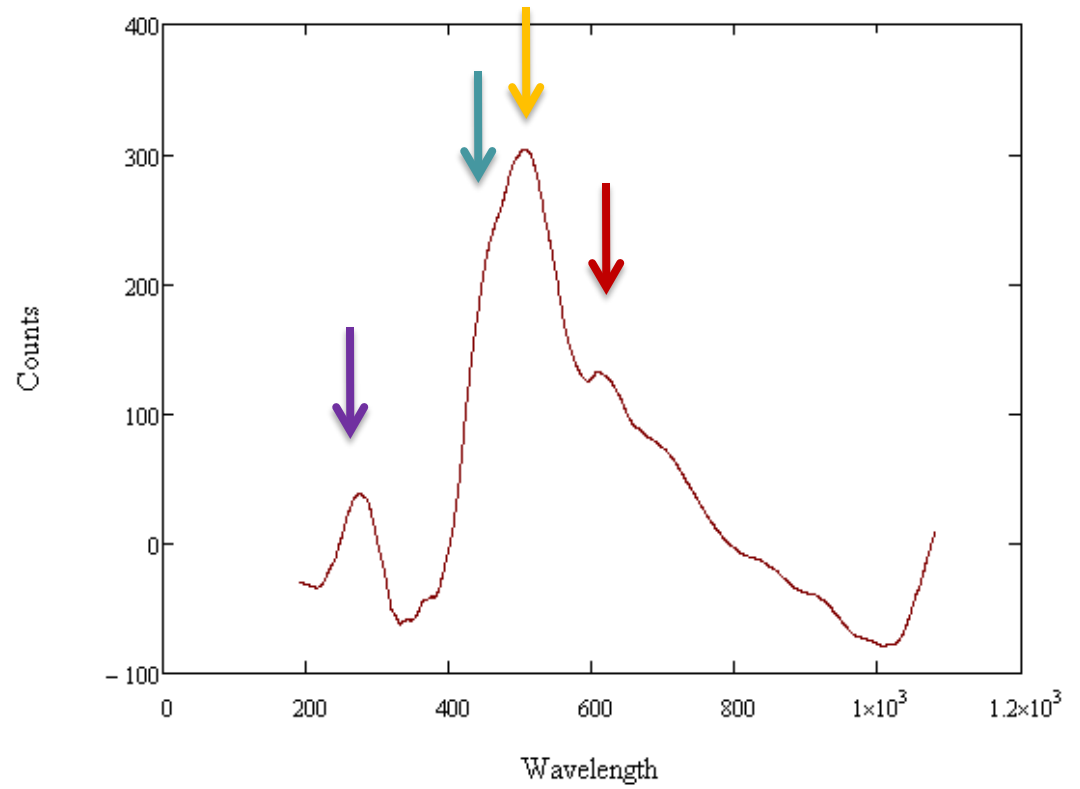
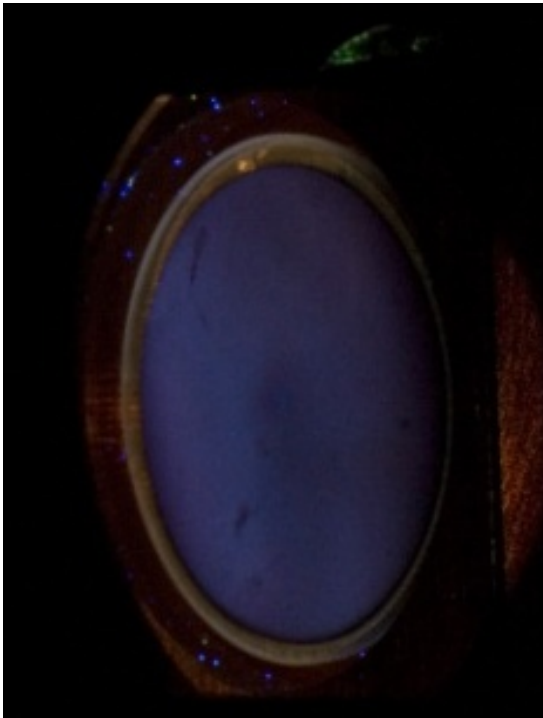
Luminescence: Excitation and Relaxation



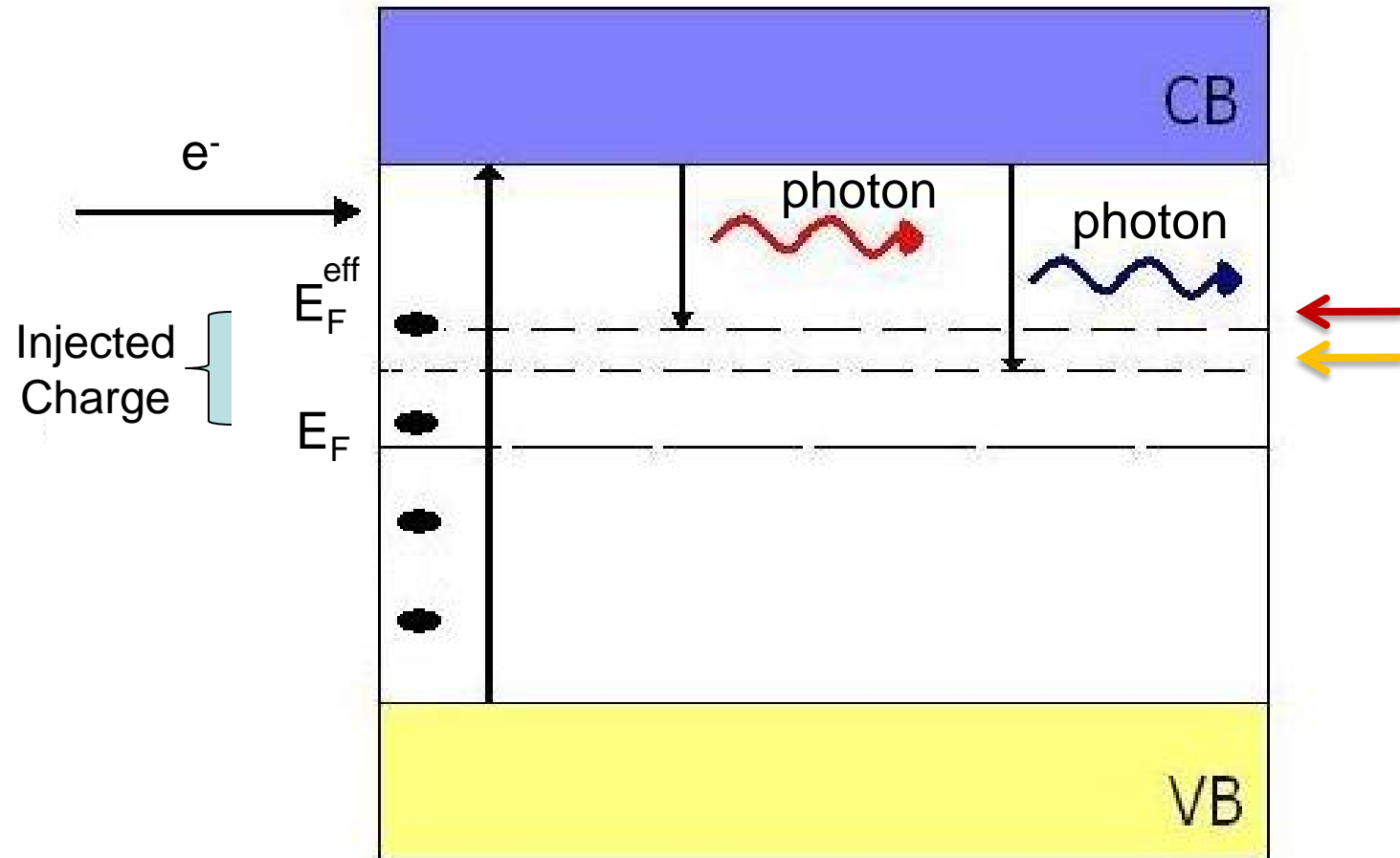
Luminescence: Effect of Beam Energy



Multi-Photon Luminescence

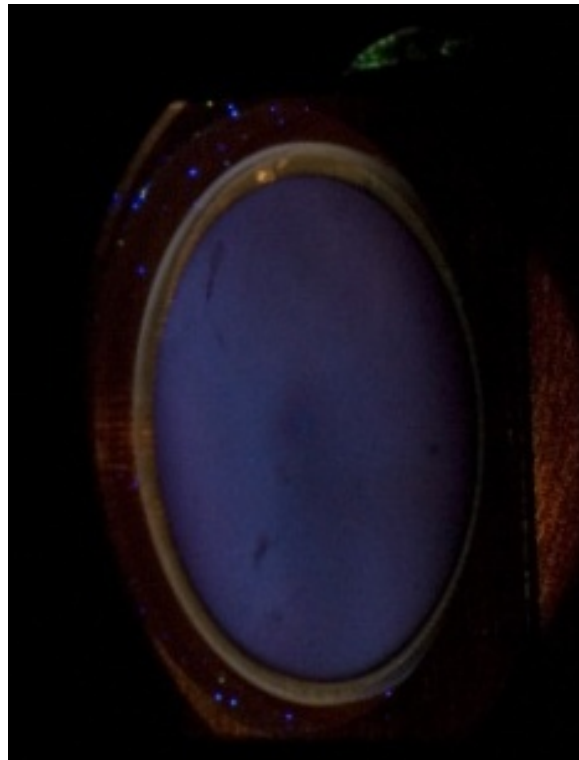


Multi-Photon Relaxation





Luminescence: Temperature Dependence



-4 C

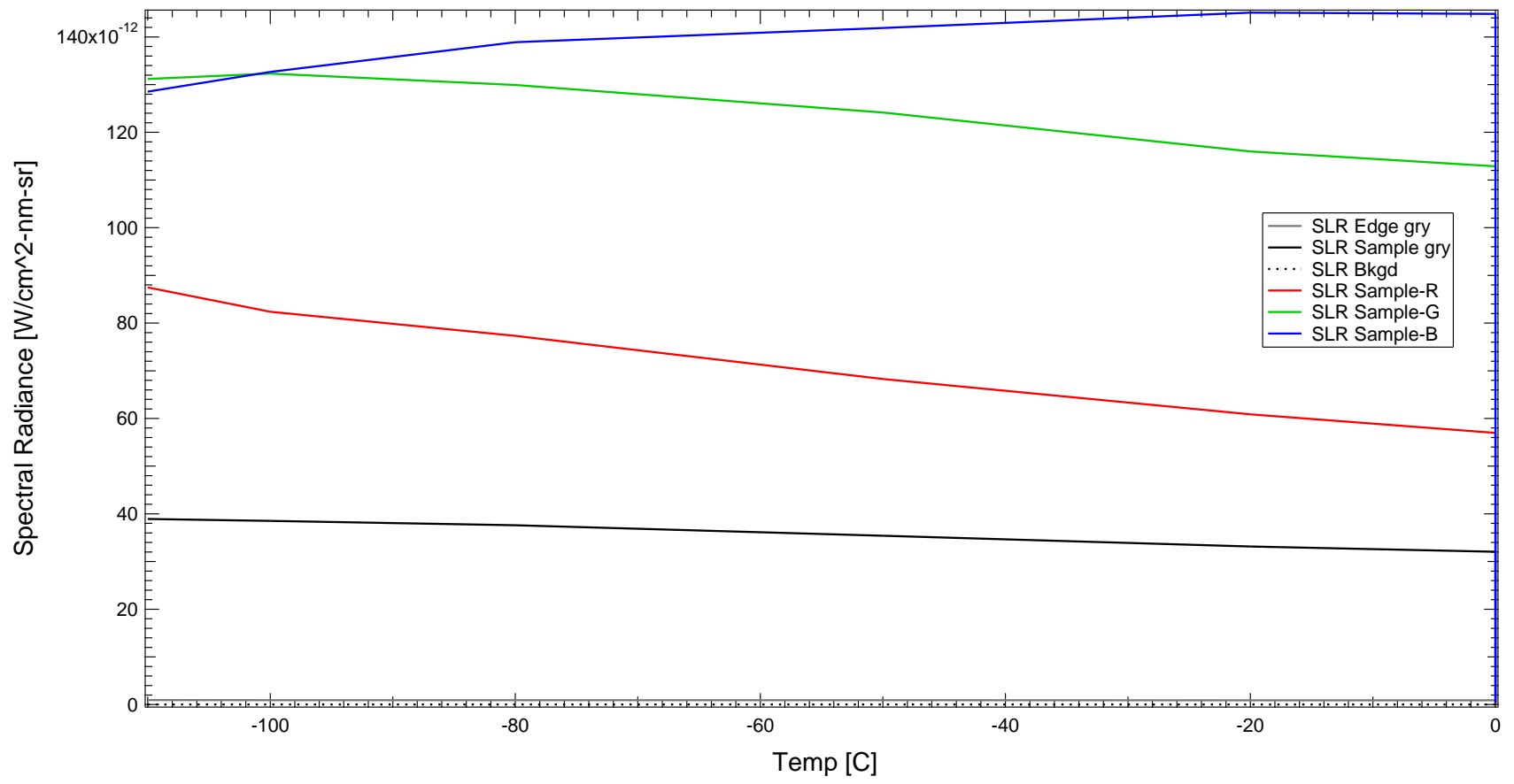


-80 C



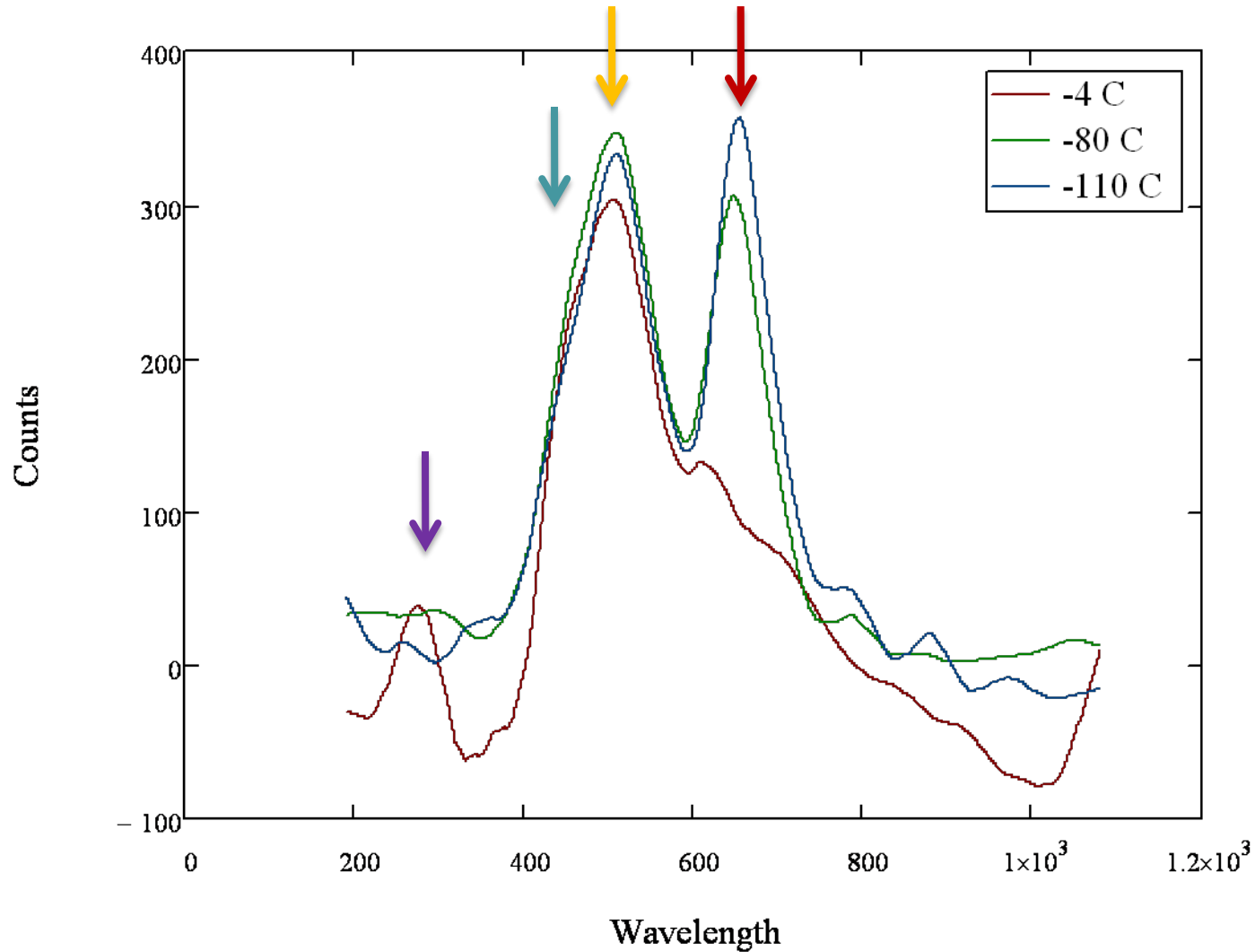
-110 C

SLR Spectral Radiance vs Temperature

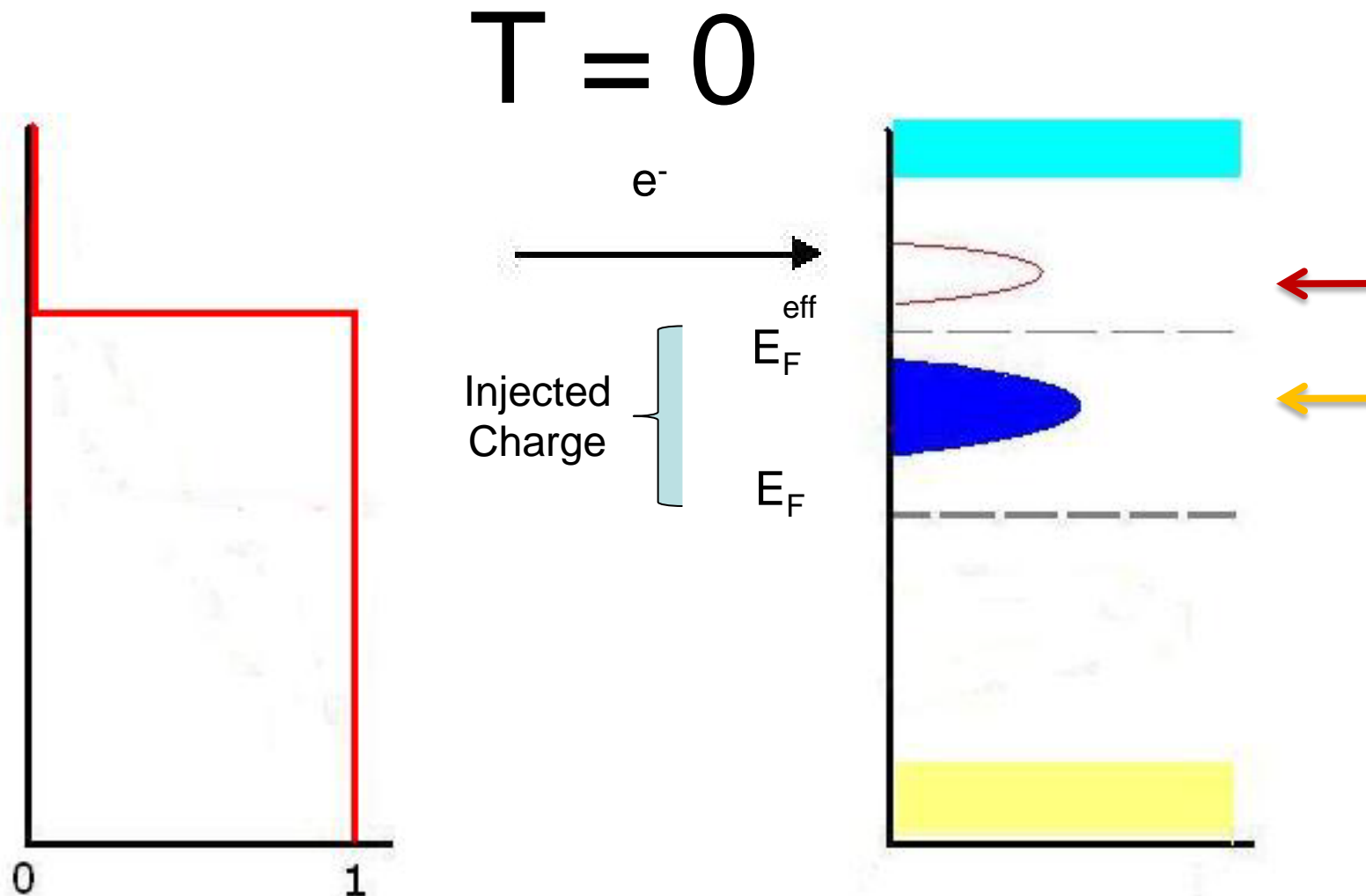


Blue increases with increasing T
Green decreases with increasing T
Red decreases with increasing T

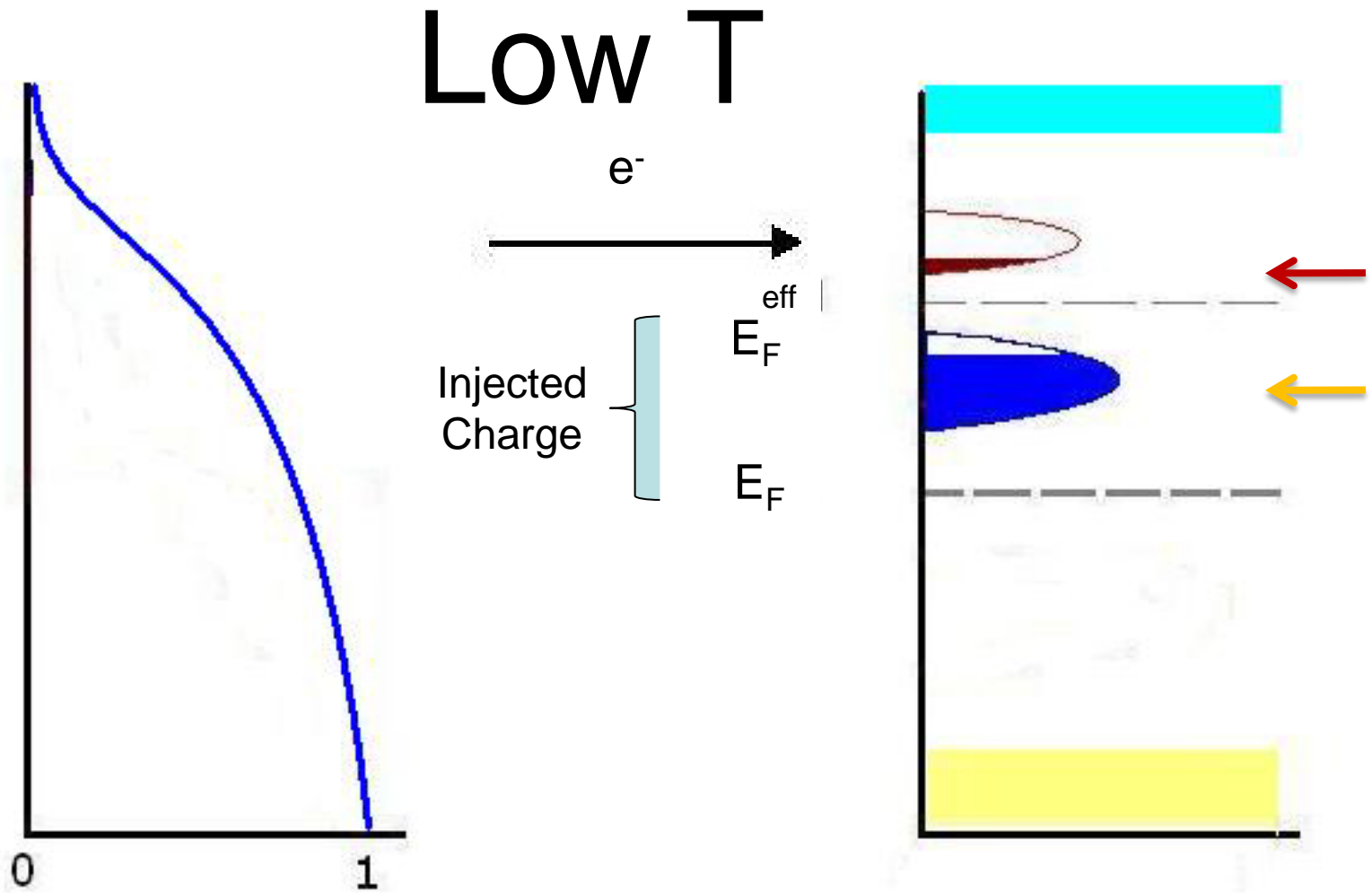
Temperature Dependent UV-Vis Spectra



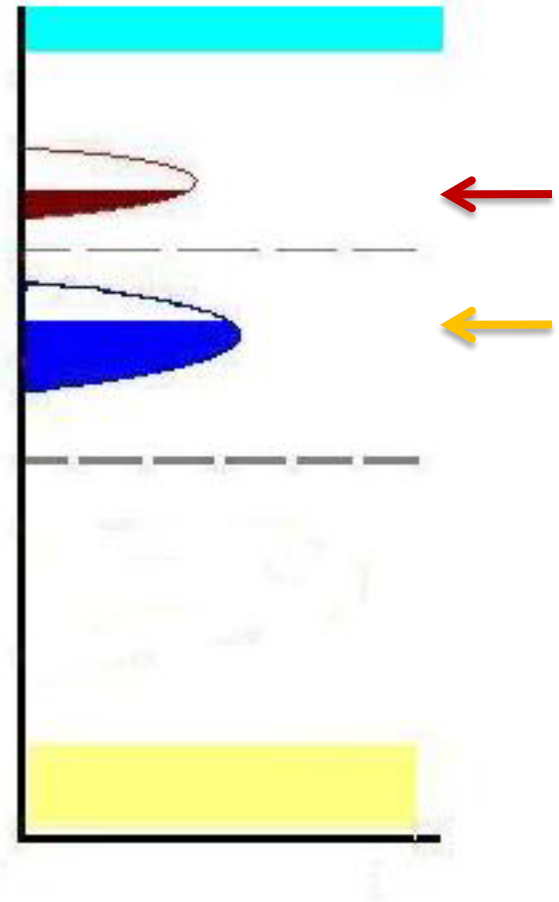
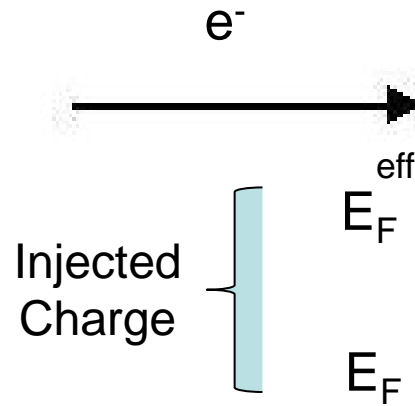
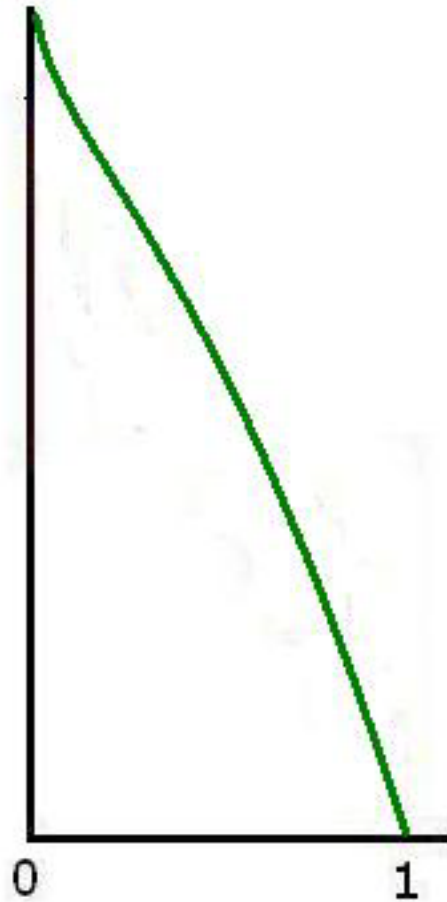
Temperature Model for Multiphonon Luminescence



Low Temperature Model

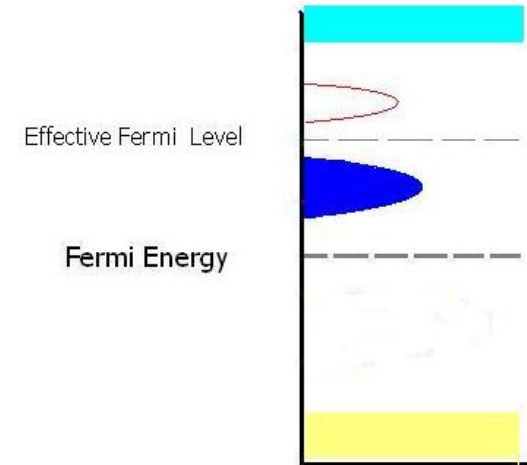


High T



Color of Electron-Induced Luminescence

		Gaussian Energy State		
Temperature (K)		Blue	Red	
	0	$\rightarrow 0$	$\rightarrow \text{max}$	
	Low	in between	in between	
	High	$\rightarrow \text{half max}$	$\rightarrow \text{half max}$	



- Identify specific defect mechanisms
- Quantify luminescence intensities, peak positions, and peak shifts with T
- Study initial time dependence as traps fill to E_{eff}
- Make lower T (<30 K) and higher (<400 K) T measurements

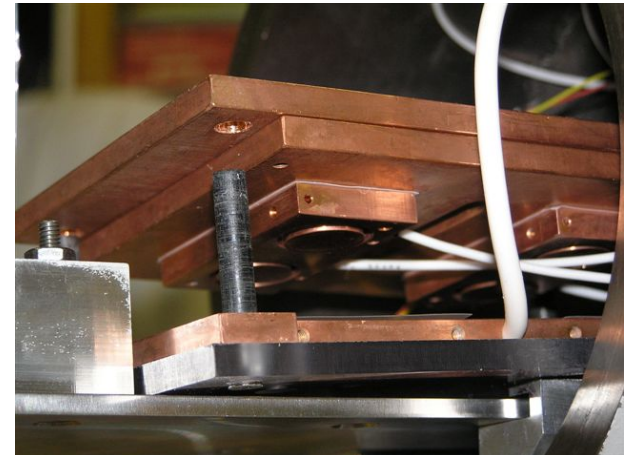
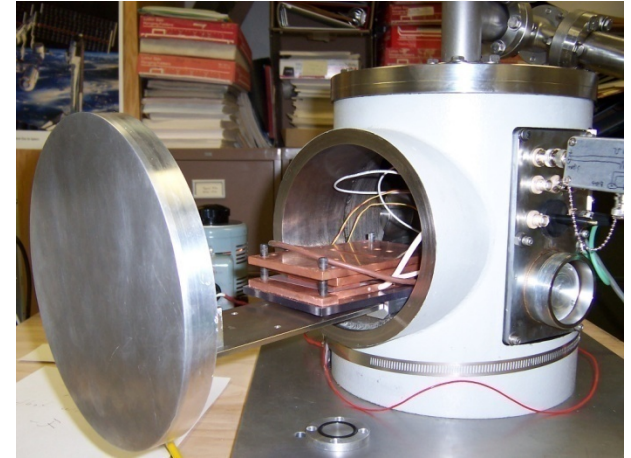
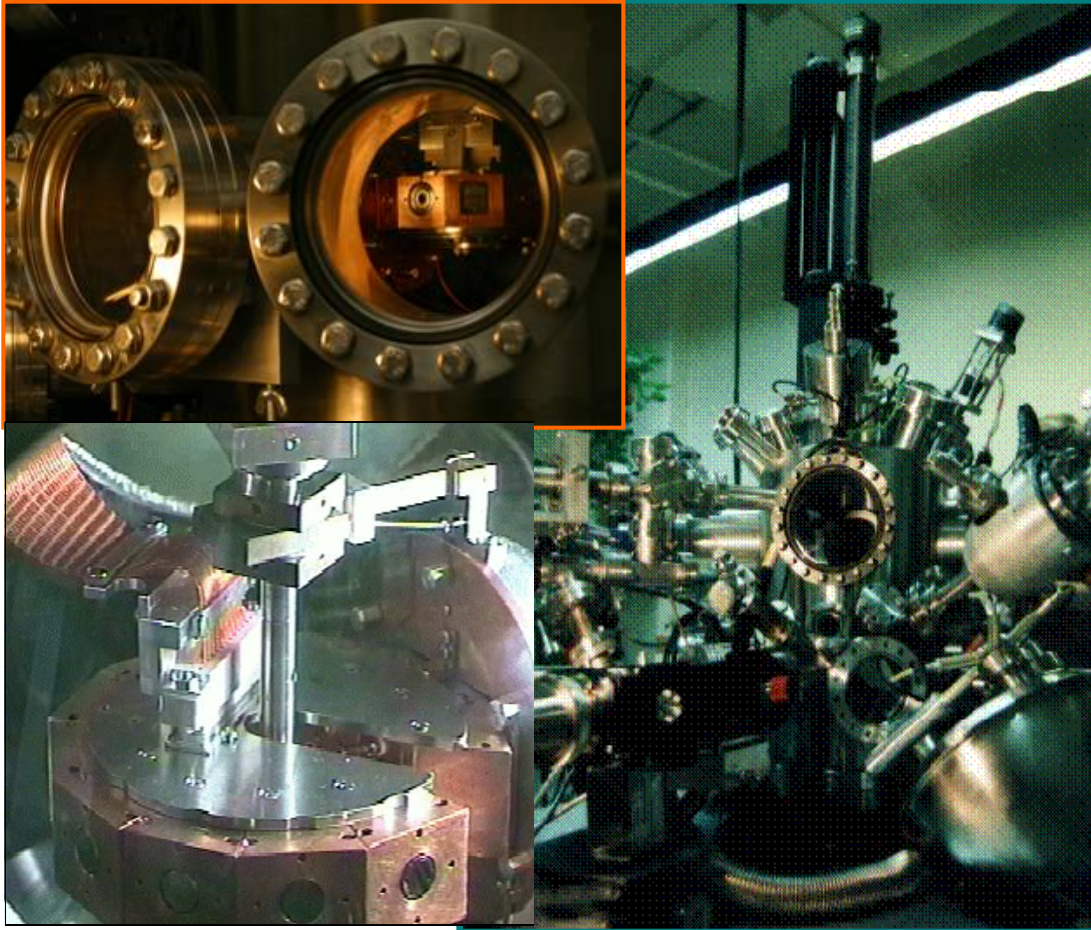
Materials Physics Group Measurement Capabilities

Electron Emission
Ion Yield

Photoyield
Luminescence

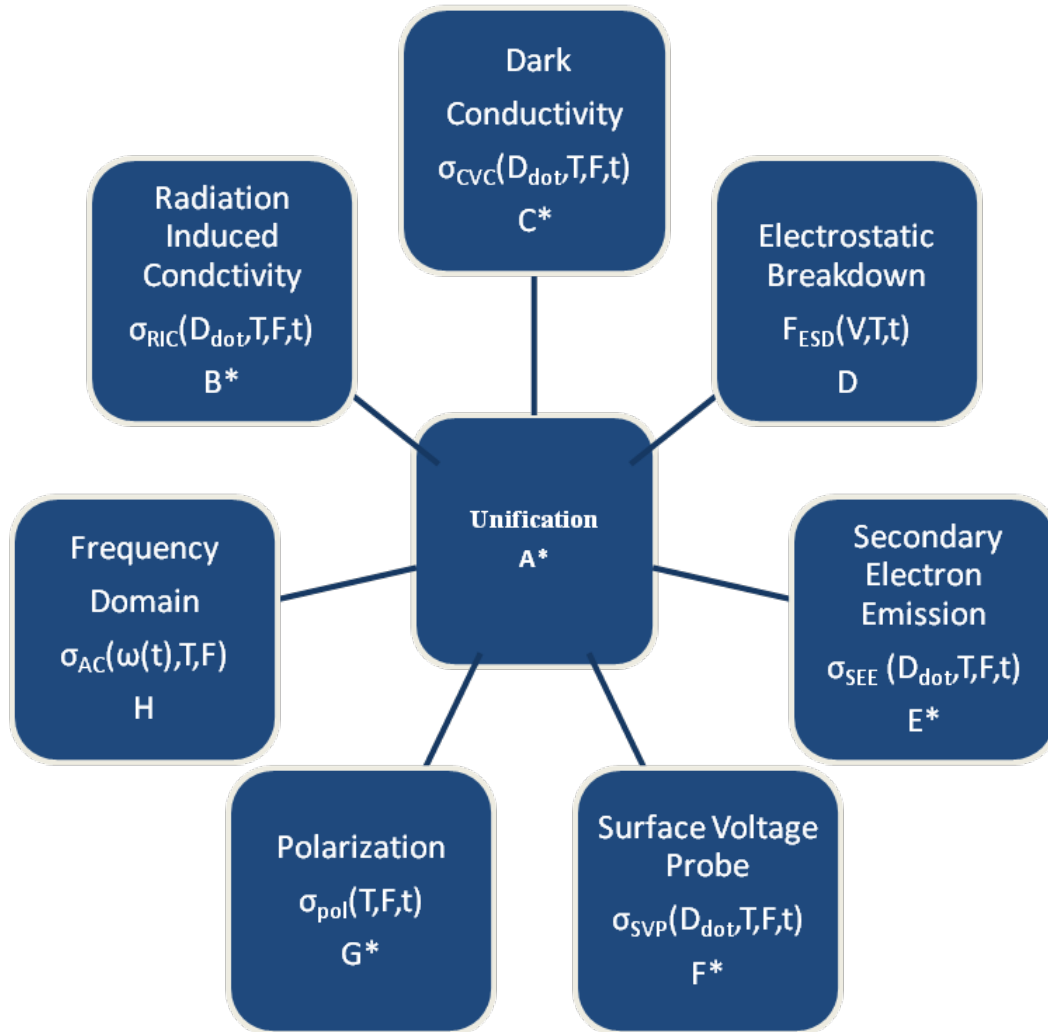
Conductivity
Electrostatic Discharge

Radiation Induced Cond.
Radiation Damage



Dependence on: Press., Temp., Charge, E-field, Dose, Dose Rate

Just a drop in the bucket...



Complete set of dynamic transport equations

$$J = q_e n_e(z, t) \mu_e F(z, t) + q_e D \frac{dn_{tot}(z, t)}{dz}$$

$$\frac{\partial}{\partial z} F(z, t) = q_e n_{tot} / \epsilon_0 \epsilon_r$$

$$\frac{\partial n_{tot}(z, t)}{\partial t} - \mu_e \frac{\partial}{\partial z} [n_e(z, t) F(z, t)] - q_e D \frac{\partial^2 n_e(z, t)}{\partial z^2} = N_{ex} -$$

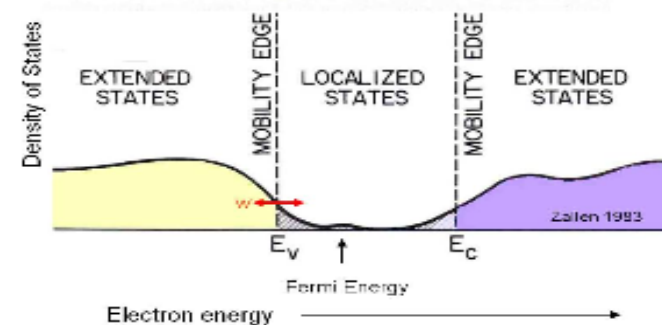
$$\alpha_{er} n_e(z, t) n_{tot}(z, t) + \alpha_{et} n_e(t) [N_t(z) - n_t(z, t)]$$

$$\frac{dn_h(z, t)}{dt} = N_{ex} - \alpha_{er} n_e(z, t) n_h(z, t)$$

$$\frac{dn_t(z, \epsilon, t)}{dt} = \alpha_{et} n_e(z, t) [N_t(z, \epsilon) - n_t(z, \epsilon, t)] -$$

$$\alpha_{te} N_e \exp\left[-\frac{\epsilon}{kT}\right] n_t(z, \epsilon, t)$$

A quantum mechanical model of the spatial and energy distribution of the electron states



USU Physics Department Colloquium

November 15, 2011

