

1 **Characterization of a novel fusion protein of IpaB and IpaD of *Shigella* and its potential**  
2 **as a pan-*Shigella* vaccine**

3 **Running title: IpaB/IpaD fusion protein vaccine against shigellosis**

4 Francisco J. Martinez-Becerra <sup>a</sup>, Xiaotong Chen <sup>a</sup>, Nicholas E. Dickenson <sup>a</sup>, Shyamal P.  
5 Choudhari <sup>a</sup>, Kelly Harrison <sup>a</sup>, John D. Clements <sup>b</sup>, William D. Picking <sup>a</sup>, Lillian L. Van De Verg<sup>c</sup>,  
6 Richard I. Walker<sup>c</sup>, Wendy L. Picking <sup>a\*</sup>.

7

8

9

10

11

12 <sup>a</sup>Department of Microbiology and Molecular Genetics, Oklahoma State University, Stillwater,  
13 OK.

14 <sup>b</sup>Department of Microbiology and Immunology, Tulane University School of Medicine, New  
15 Orleans, LA.

16 <sup>c</sup>Enteric Vaccine Initiative, Vaccine Development Global Program, PATH, Washington DC

17

18 \*Corresponding author: Wendy Picking; Department of Microbiology and Molecular Genetics,  
19 Oklahoma State University

20 307 Life Science East, Stillwater, OK 74078; Tel: 405-744-4600; Fax: 405-744-6790

21 E-mail: wendy.picking@okstate.edu

22

23 **Abstract.**

24 Shigellosis is an important disease in the developing world where about 90 million people  
25 become infected with *Shigella spp.* each year. We previously demonstrated that the type three  
26 secretion apparatus (T3SA) proteins IpaB and IpaD are protective antigens in the mouse lethal  
27 pulmonary model. In order to simplify vaccine formulation and process development, we now  
28 evaluate a vaccine design that incorporates both of these previously tested *Shigella* antigens  
29 into a single polypeptide chain. To determine if this fusion protein (DB Fusion) retains the  
30 antigenic and protective capacities of IpaB and IpaD, we immunized mice with the DB Fusion  
31 and compared the immune response to that elicited by the IpaB/IpaD combination vaccine.  
32 Purification of the DB Fusion required co-expression with IpgC, the IpaB chaperone, and after  
33 purification it maintained the highly  $\alpha$ -helical characteristics of IpaB and IpaD. The DB Fusion  
34 also induced comparable immune responses and retained the ability to protect mice against *S.*  
35 *flexneri* and *S. sonnei* in the lethal pulmonary challenge. It also offered limited protection against  
36 *S. dysenteriae* challenge. Our results show the feasibility of generating a protective *Shigella*  
37 vaccine comprised of the DB Fusion.

38

39 Keywords: Shigella, vaccine, fusion protein, dmLT

40

41

42

43

44 **Introduction.**

45 Shigellosis is a severe gastrointestinal disease caused by *Shigella* spp. This disease is  
46 characterized by fever, bloody diarrhea and tenesmus. Recent calculations estimate the annual  
47 rate of *Shigella* infections at ~90 million with 100,000 deaths per year (1). An important number  
48 of these infections occur in children under five years old living in developing countries (2). Other  
49 at-risk populations include military personnel deployed abroad (3) and refugees (4). Implications  
50 of this disease include severe impairment of child development and nutrition (5), as well as an  
51 important mortality index (2).

52 Four different species of *Shigella* have been described (6): *S. flexneri*, *S. sonnei*, *S. boydii* and  
53 *S. dysenteriae*. Modifications in the O-antigen give rise to about 50 serotypes (6, 7). The  
54 predominance of specific serotypes varies both geographically (8) and during the course of a  
55 single outbreak (9), complicating the epidemiology of shigellosis. Immune responses against  
56 *Shigella* during natural infection are predominantly serotype-specific, in part due to the high  
57 immunodominance of bacterial LPS (10, 11). This dominance results in poor cross-reaction  
58 between different *Shigella* serotypes, thus opening the possibility of subsequent reinfections by  
59 *Shigella* bearing different O-antigens.

60 *Shigella* infection requires the use of a highly conserved type three secretion system (T3SS)  
61 encoded on a virulence plasmid present in all *Shigella* species. After crossing the intestinal  
62 barrier via M cells, *Shigella* is taken up by macrophages, however, it escapes these phagocytes  
63 by inducing apoptosis mediated by the T3SS translocator IpaB (12). *Shigella* then uses the  
64 T3SS to inject protein effectors via the basolateral side of epithelial cells to promote bacterial  
65 entry. The pathogen lyses the resulting phagosome, replicates, and moves spreads to adjacent  
66 cells. Control of type III secretion occurs at the needle tip of the secretion apparatus needle by  
67 the activity of IpaD along with IpaB (13, 14).

68 Despite longstanding efforts a *Shigella* vaccine is still not available (7, 15). Several groups have  
69 explored different approaches in the search of a *Shigella* vaccine. These include live/attenuated  
70 strains of *Shigella* (16), LPS-protein conjugates (17) mixtures of subunit components (18), and  
71 recombinant proteins (19). Our hypothesis is that a vaccine comprised of highly conserved  
72 protein antigens would provide broad, serotype-independent protection, thus bypassing the  
73 need to consider multiple serotypes as is needed for vaccines that target LPS or O-antigen. We  
74 have previously demonstrated the protective efficacy of *S. flexneri* 2a-derived IpaB and IpaD,  
75 components of the T3SS (20), when included in a vaccine formulation incorporating the novel  
76 mucosal adjuvant, dmLT, and delivered intranasally (20), orogastrically (21), or intramuscularly  
77 (22) using monophosphoryl lipid A (MPL) and alum hydroxide as adjuvants. The IpaB/IpaD  
78 subunit vaccine elicited a strong systemic immunity, with the presence of antibody secreting  
79 cells in various compartments, as well as eliciting specific cytokine secreting cells. Protection is  
80 achieved against the homologous strain, *S. flexneri*, and a heterologous strain, *S. sonnei* in the  
81 mouse pneumonia model. Given that children in low resource countries are a primary target of a  
82 *Shigella* vaccine, the ultimate vaccine formulation must be inexpensive. To reduce the cost of  
83 an IpaB- and IpaD-based vaccine and simplify manufacture and formulation, we created a  
84 genetically fused IpaD-IpaB protein (DB Fusion). The approach of using a fusion of protective  
85 antigens has been explored successfully in other subunit vaccines, including LcrV, an IpaD  
86 homolog (23-25). In this study, the DB Fusion elicited immune responses of a similar magnitude  
87 to those generated by a combination of separate IpaB and IpaD proteins. Interestingly, higher  
88 cytokine levels were detected when cells from mice immunized with the DB Fusion were  
89 stimulated. In addition, mice were protected in the lethal pulmonary challenge (26, 27) using *S.*  
90 *flexneri*, *S. sonnei* and *S. dysenteriae*. Therefore, this novel fusion protein represents an  
91 efficient alternative for vaccination against shigellosis in humans.

93 **Materials and methods.**

94 **Materials.** pET plasmids, ligation mix and competent *E. coli* were from EMD Millipore  
95 (Billerica, MA). Oligonucleotides were from IDT (Coralville, IA). Restriction endonucleases were  
96 from New England Biolabs (Ipswich, MA). HisTrap Crude FF IMAC columns and Q FF anion  
97 exchange columns were from GE Healthcare (Piscataway, NJ). The OPOE was from Enzo Life  
98 Sciences (Farmingdale, NY).

99

100 **Generation of plasmids for expression of the DB Fusion in *E.coli*.** *ipaD* was amplified from  
101 D/pET15b (28) by PCR using a 5' primer with NdeI restriction site and a 3' primer with XhoI  
102 restriction site while *ipaB* was amplified from B/pET15b (29) using a 5' primer with XhoI site and  
103 a 3' primer with BamHI site. The PCR products were digested by the appropriate restriction  
104 endonucleases and ligated into pET28b. The ligation reaction was used to transform *E.coli*  
105 NovaBlue. The resulting DB/pET28b and *ipgC/pACYCDuet-1* were co-transformed into *E.coli*  
106 Tuner(DE3) for co-expression (Figure 1A).

107 **Protein purification and sample preparation.** DB/pET28b+*ipgC/pACYCDuet-1*/Tuner(DE3)  
108 were grown in Auto-Induction media (30) containing kanamycin (50 µg/ml) and chloramphenicol  
109 (25 µg/ml) for 16-18 hours. Purification of the DB Fusion was as previously described for IpaB  
110 (20, 31). Briefly, bacteria were collected by centrifugation, resuspended in IMAC binding buffer  
111 containing protease inhibitors (Roche, Basel, Switzerland), lysed, the suspension clarified by  
112 centrifugation and the supernatant containing the DB Fusion/IpgC complex purified using by  
113 IMAC. After further purification using a Q FF anion exchange chromatography column, OPOE  
114 was added to 0.5% to release the IpgC. The his-tagged DB Fusion was separated from IpgC  
115 using IMAC column with OPOE at 0.5% in all buffers and dialyzed into PBS with 0.5% OPOE.  
116 IpaB and IpaD were purified (32). Protein concentrations were determined by  $A_{280}$  (33)

117 **Circular dichroism.** Far-UV CD spectra were collected (34). Briefly, a Jasco J-815  
118 spectropolarimeter fitted with a Peltier temperature controller (Jasco Inc., Easton, MD) was used  
119 to collect spectra from 190 nm to 260 nm through a 0.1 cm path length quartz cuvette. Samples  
120 were kept at 10°C and scanned at 50 nm/min with a 1 nm spectral resolution and a 2-second  
121 data integration time. All spectra are an average of three measurements. Secondary structure  
122 thermal stability was determined by monitoring CD signal at 222 nm as the temperature was  
123 increased from 10 to 90°C. The temperature ramp rate was 15°C/hour and data were collected  
124 every 2.5°C. All protein solutions were made to 0.5 mg/ml in phosphate citrate buffer pH 7.4  
125 with 0.5% OPOE included for IpaB and the DB Fusion. CD signals were converted to mean  
126 residue molar ellipticity.

127 **Mice and immunizations.** Six to eight week old female BALB/c mice (Charles River  
128 Laboratories, Wilmington, MA) were used. Mice were anesthetized and vaccinated intranasally  
129 using 30  $\mu$ l (20). IpaB (13  $\mu$ g) combined with IpaD (7  $\mu$ g) or the DB Fusion (20  $\mu$ g) were  
130 admixed with dmLT (2.5  $\mu$ g). These doses represent equimolar concentrations of antigens. Mice  
131 that received adjuvant or vehicle alone were included as controls. Vaccine was delivered at day  
132 0, 14 and 28.

133 **Specific IgG antibodies.** Antibodies specific for IpaB and IpaD were determined by ELISA (20).  
134 Briefly, 96 well plates coated with IpaB or IpaD (1  $\mu$ g/ml in PBS) were blocked overnight with  
135 PBS with 10% milk. Each well was incubated with serum for 1h at 37°C. After washing the  
136 plates with PBS Tween (0.05%), secondary antibody (KPL, Gaithersburg, MD) was added for 1h  
137 at 37°C. HRP substrate was added and reaction stopped with H<sub>3</sub>PO<sub>4</sub>. Endpoint titers were  
138 calculated and represented as ELISA units per ml (EU ml<sup>-1</sup>).

139 **Stool IgA.** Fresh fecal samples (3-5 pellets/mouse) were collected. Each sample was  
140 resuspended in 10% (w/v) PBS with 0.2% NaN<sub>3</sub>. The supernatant was clarified by centrifugation

141 and PMSF added to 1 mM. IgA levels were determined by ELISA with an anti-IgA antibody  
142 (Southern Biotech, Birmingham, AL). Endpoint titers were calculated as above.

143 **Antibody secreting cells (ASCs).** Antibody secreting cells were determined (20). Briefly, cell  
144 suspensions were obtained by homogenizing through a nylon mesh (BD Biosciences, San  
145 Diego, CA) the organs from five mice per group. Samples were incubated with 5 µg/ml of IpaB  
146 or IpaD for 24h at 37°C. After washing with PBS Tween, antibodies against IgG or IgA were  
147 added. Trueblue (KPL) was used as a substrate in an agarose overlay. Spots were counted  
148 under a stereomicroscope by 2 individuals and a mean of quadruplicate wells was expressed as  
149 specific ASCs per 10<sup>6</sup> cells.

150 **IFN-γ ELISpot.** Splenocytes were collected from five mice per group at day 56. Cells were  
151 incubated for 48h at 37°C with 5 µg/ml IpaB or IpaD in plates coated with antibodies against  
152 IFN-γ. An ELISPOT assay was performed (BD Biosciences). Spots were counted as above and  
153 expressed as Spot Forming Cells (SFC) per 10<sup>6</sup> cells.

154 **Cytokine determinations.** Splenocytes (obtained at day 56) were incubated with 10 µg/ml  
155 IpaB, IpaD or PBS for 48h at 37°C. Secreted interleukin 17 (IL-17) levels were measured using  
156 the DuoSet ELISA development kit (22) or using a Th1/Th2 multiplex cytokine plate (Meso  
157 Scale Discovery, Gaithersburg, Md).

158 **Challenge.** *Shigella flexneri* 2457T, *Shigella sonnei* 53G and *Shigella dysenteriae* serotype  
159 Sd1617 were grown overnight at 37°C in tryptic soy agar with 0.05% Congo red. Ten colonies  
160 were picked and grown in tryptic soy broth (EMD Milipore) at 37°C in agitation until ABS<sub>600</sub> ~1.  
161 Bacteria were centrifuged and resuspended in PBS. On day 56, mice were challenged by  
162 delivering *Shigella* intranasally (26, 27). The doses administered in 30µl were 6 x10<sup>6</sup> CFUs for  
163 *S. flexneri*, 2.1 x10<sup>6</sup> CFUs for *S. sonnei* and 7.5 x10<sup>6</sup> CFUs for *S. dysenteriae*. Changes in  
164 health and weight loss were closely monitored for 14 days. Mice that became too sick or

165 remained below 80% of their starting weight for more than 48h were humanely euthanized.  
166 Animals were housed and handled in agreement with Oklahoma State University Institutional  
167 Animal Care and Use Committee (Protocol #AS-10-6).

168 **Statistical analysis.** GraphPad Prism 5.04 was used to generate graphics and statistical  
169 comparisons. Differences were analyzed using t-test. Survival plots were analyzed using Log  
170 rank tests. A p value of less than 0.05 was considered significant for all comparisons. Vaccine  
171 efficacy was calculated by using the formula  $\text{efficacy} = (\text{ARU} - \text{ARV})/\text{ARU} \times 100$ , where  
172 ARU=attack rate in unvaccinated group and ARV= attack rate in vaccinated group (35).

173

#### 174 **Results.**

175 **DB Fusion protein is expressed and folded.** Following co-expression with the *Shigella*  
176 chaperone protein IpgC, DB Fusion was isolated using the mild nonionic detergent OPOE,  
177 resulting in a dominant 101.2 kDa product comprised of both IpaD and IpaB (Fig. 1B). Like  
178 IpaB, the isolated DB Fusion remains soluble in buffer containing 0.5% OPOE. Far-UV circular  
179 dichroism (CD) measurements of IpaD, IpaB, and DB Fusion all resulted in spectra exhibiting  
180 dominant minima at 208 and 222 nm, characteristic of proteins with highly  $\alpha$ -helical secondary  
181 structures (Fig. 1C). This suggests that the fusion maintained a proper and organized secondary  
182 structure following purification and separation from IpgC. The secondary structure thermal  
183 stabilities for all three proteins were determined using CD spectroscopy by monitoring mean  
184 residue molar ellipticity at 222 nm as a function of temperature. The resulting plots indicated a  
185 transition at  $\sim 58^\circ\text{C}$  for IpaB and two transitions at  $60^\circ\text{C}$  and  $80^\circ\text{C}$  for IpaD (Fig. 1D), which is in  
186 agreement with previously published data (13, 34). Interestingly, the thermal unfolding curve for  
187 the DB Fusion protein exhibits characteristics intermediate to both IpaD and IpaB with a major  
188 transition at  $60^\circ\text{C}$  and a minor one around  $78^\circ\text{C}$ . Furthermore, the DB Fusion mean residue



189 molar ellipticity values for both the far-UV scans and the thermal unfolding curves lie between  
190 those for IpaD and IpaB alone, further suggesting that the IpaD and IpaB domains of the fusion  
191 protein both maintain a substantial portion of their original structural characteristics.

192 **DB Fusion protein generates antibody titers similar to the combination of IpaB and IpaD.**

193 Mice were vaccinated intranasally three times at days 0, 14 and 28, and serum IgG titers  
194 against IpaB and IpaD determined by ELISA (Fig. 2 A, B). The antibody titers against IpaB and  
195 IpaD elicited by the DB Fusion in the presence of dmLT were comparable to those generated by  
196 vaccination with IpaB and IpaD with dmLT. The peak antibody levels and the kinetics follow a  
197 very similar pattern, with no significant differences observed over time. In both cases, IpaD  
198 responses were delayed until day 28 (after two immunizations). Although the DB Fusion protein  
199 administered without dmLT is able to generate detectable antibodies against IpaB and IpaD,  
200 adjuvant is required for generation of consistent titers higher than  $10^3$ - $10^4$  EU/ml. No specific  
201 IgG was detected in mice immunized with PBS.

202 To assess the intestinal mucosal antibody responses, fecal IgA antibody titers were determined  
203 by ELISA (Fig. 2 C, D). Both the DB Fusion and the combination of IpaB and IpaD administered  
204 with dmLT elicited specific IgA titers in stool. IgA antibodies against IpaB were detected in the  
205 group immunized with DB Fusion with adjuvant at day 28, one time point ahead of the group  
206 that received IpaB and IpaD. The stool IgA titer was tenfold higher for IpaB than for IpaD in the  
207 DB Fusion group and the IpaD antibodies were not detected until day 42 rather than at day 28  
208 as was the case for the IpaB antibodies. No stool IgA specific for these proteins was detected in  
209 the group immunized with the DB Fusion without dmLT or in the group immunized with PBS.

210 **The DB Fusion protein generates antibody secreting cells (ASCs).** At day 56, the frequency  
211 of IgG and IgA secreting cells specific for each antigen was determined by ELISpot. In the lungs  
212 (Fig. 3A), the frequency of ASCs specific for IpaB was higher for DB Fusion+dmLT, especially

213 for IgA secreting cells. This tendency was also observed for ASCs specific for IpaD. Only IgG  
214 secreting cells specific for IpaB were detected in lungs from mice immunized with the DB Fusion  
215 without adjuvant. In spleens (Fig. 3B), we found a higher frequency of IgA secreting cells  
216 specific for IpaB than IgG secreting cells and when the groups that received IpaB+IpaD and the  
217 DB Fusion are compared, no major differences are observed. In general, the responses against  
218 IpaB were higher than responses against IpaD in spleens. The DB Fusion without dmLT failed  
219 to elicit ASCs in the spleens. Finally, the frequencies of ASCs in the bone marrow (Fig. 3C)  
220 specific for IpaB were higher in the group that received IpaB+IpaD+dmLT, while for IpaD a  
221 higher IgA response was observed in the group that received the DB Fusion+dmLT. For this  
222 organ, a more balanced IgG/IgA response was observed.

223 **The DB Fusion protein generates higher frequencies of specific IFN- $\gamma$  secreting cells.** The  
224 frequency of IFN- $\gamma$  secreting cells was analyzed by ELISpot using cells extracted from spleens  
225 of immunized mice at day 56 (Fig. 4). When compared to IpaB+IpaD+dmLT, the DB  
226 Fusion+dmLT elicited higher numbers of specific IFN- $\gamma$  secreting cells. This was more evident  
227 for IpaD specific IFN- $\gamma$  secreting cells where a threefold higher frequency was seen in the group  
228 that received DB Fusion+dmLT ( $\sim 20$  spot forming cells/ $10^6$  cells for IpaB+IpaD+dmLT compared  
229 to  $\sim 70$  SFC/ $10^6$  cells for DB Fusion+dmLT). The DB fusion without dmLT failed to generate IpaB  
230 specific IFN- $\gamma$  secreting cells but managed to elicit a moderate number of IpaD specific IFN- $\gamma$   
231 secreting cells. No specific IFN- $\gamma$  secreting cells were detected in mice treated with PBS.

232 **DB Fusion protein generates a distinct profile of cytokine secretion.** Spleen cells were  
233 stimulated with IpaB or IpaD and the resulting supernatants analyzed for cytokine secretion. IL-  
234 2 levels varied depending on the antigen used for stimulation (Fig. 5A). For IpaB, the group that  
235 received the DB Fusion+dmLT showed higher cytokine secretion levels than the group that  
236 received IpaB+IpaD+dmLT. The opposite was observed for IpaD, where cells obtained from

237 animals that received IpaB+IpaD+dmLT secreted higher levels of IL-2 than cells obtained from  
238 animals that received DB Fusion+dmLT. For IL-4, cells from mice that were vaccinated with  
239 IpaB+IpaD+dmLT showed higher cytokine secretion when stimulated with either IpaB or IpaD  
240 (Fig. 5B). In contrast, no significant differences were detected in levels of IL-5 secretion  
241 between the groups immunized with IpaB+IpaD+dmLT or the DB Fusion+dmLT (Fig. 5C).  
242 Secretion of the KC chemokine in response to IpaB was higher in cells from mice that received  
243 DB Fusion+dmLT with no differences being observed between these two treatments when IpaD  
244 was used to stimulate these cells (Fig. 5D). In the case of TNF- $\alpha$ , significant differences were  
245 detected when IpaD was used to stimulate these cells with the DB Fusion+dmLT immunized  
246 mice showing a greater response (Fig. 5E). In contrast, no differences in TNF- $\alpha$  secretion were  
247 observed with IpaB stimulation. Levels of IL-17 secretion were also measured in response to  
248 IpaB and IpaD stimulation of spleen cells (Fig. 6). Cells from mice that received the DB  
249 Fusion+dmLT secreted higher amounts of IL-17 in response to IpaB than cells from mice that  
250 received IpaB+IpaD+dmLT.

251 **DB Fusion protein protects against *Shigella* homologous and heterologous challenges.**

252 At day 56, vaccinated animals (N=10 per bacterial strain) were challenged with *S. flexneri* 2a, *S.*  
253 *sonnei*, or *S. dysenteriae*, and protection was followed for 14 days after infection. For the  
254 homologous challenge using *S. flexneri*, mice that received IpaB+IpaD+dmLT showed a  
255 protection of 90%, while the mice that received the DB Fusion+dmLT showed a protection of  
256 70%. Mice that received the DB Fusion without dmLT showed 20% protection after 14 days. No  
257 protection was observed for mice immunized with PBS (Fig. 7A). When *S. sonnei* was used to  
258 challenge vaccinated animals, we observed 100% protection in animals vaccinated with  
259 IpaB+IpaD+dmLT or the DB Fusion+dmLT. Mice that received the DB Fusion alone showed  
260 80% protection, while mice treated with PBS showed 20% protection. These numbers result in a  
261 calculated vaccine efficacy of 80% for both groups that received vaccine formulated with dmLT,

262 and 55% for the group that received the DB Fusion alone Fig. 7B). For *S. dysenteriae*, the group  
263 that received IpaB+IpaD+dmLT only showed 10% protection, while the group that received the  
264 DB Fusion+dmLT showed a protection of 40%. No protection was observed in mice vaccinated  
265 with DB Fusion without dmLT or in mice that received PBS (Fig. 7C).

266

#### 267 **Discussion.**

268 Despite progress using different approaches, a *Shigella* vaccine is still not available. When the  
269 main target group for a *Shigella* vaccine is children living in developing countries, variables that  
270 impact cost of production should be evaluated to diminish the vaccine cost. Taking this in  
271 consideration, we generated a fusion protein consisting of IpaD and IpaB. The DB Fusion  
272 shared characteristics of IpaB. DB fusion expression was only achieved in the presence of  
273 IpaB's chaperone, IpgC, which is removed using 0.5% OPOE during chromatography  
274 purification. Although this indicates that the IpaD portion of the DB Fusion is not sufficient to  
275 generate an independently soluble polypeptide, the subsequent purification step allows for a  
276 highly pure protein. Therefore, this purification step may be advantageous. Additionally, the DB  
277 Fusion maintains a highly  $\alpha$ -helical secondary structure in solution with stability similar to that of  
278 IpaB. While IpaD undergoes two thermal transitions, these transitions are not seen in the DB  
279 Fusion. After three immunizations with equimolar concentrations, the DB Fusion+dmLT was  
280 able to elicit serum IgG and stool IgA titers against both IpaB and IpaD at a magnitude similar to  
281 that elicited by administering the combination of IpaB and IpaD with dmLT. Therefore,  
282 recognition and generation of antibody responses against the components of the DB Fusion  
283 remain at comparable levels. The presence of antibody secreting cells in the same organ  
284 compartments supports this statement. Both IgG and IgA secreting cells were observed in the  
285 lungs, spleens and bone marrow, specific for both IpaB and IpaD. The differences observed in

286 the frequencies of ASCs, however, suggest there are some small differences in how the  
287 proteins are able to activate B cells, which could impact the fate and distribution of plasmatic  
288 and memory cells. While mice immunized with DB Fusion+dmLT showed higher frequencies of  
289 ASCs in the lungs and spleens, a lower frequency was observed in the bone marrow,  
290 suggesting differences in effector versus long term memory ratios. Although the DB Fusion  
291 without dmLT was able to elicit serum IgG responses against IpaB and IpaD, these responses  
292 were of a lower magnitude and highly variable between the individuals. Furthermore, it failed to  
293 induce IgA secretion in stool and generation of specific cytokine secreting cells. This highlights  
294 the requirement of the dmLT adjuvant for these responses.

295 The analysis of the cytokine secretion profiles elicited by each group showed some differences  
296 between the immunized groups. Some cytokine responses were higher when the DB Fusion  
297 was used for immunization. In particular, the frequency of IFN- $\gamma$  secreting cells and IL-17  
298 secretion levels were higher in cells obtained from mice immunized with the DB Fusion. Even if  
299 dmLT has the capacity of eliciting IL-17 responses by itself (36), the presence of the adjuvant in  
300 both formulations indicate the possibility that the fusion could be recognized by the immune  
301 system in a different manner than the individual proteins. Most importantly, this demonstrates  
302 that the DB Fusion has a unique advantage in the generation of cell mediated immunity, which  
303 can be important for control of *Shigella*. Indeed, both IFN- $\gamma$  and IL-17 have been described as  
304 important cytokines during *Shigella* infection (37, 38). The challenge experiments show that  
305 both proteins are able to provide heterologous protection. In the case of *S. dysenteriae*, only the  
306 DB Fusion with dmLT was able to provide significant protection. This particular challenge is  
307 more stringent as we used a strain that expresses Shiga toxin. The ability of the DB Fusion to  
308 protect in contrast to the combination of IpaB and IpaD could be related to the cytokine profile  
309 elicited by this protein. Even with this tendency of higher protection with higher cytokine  
310 secretion, the role of antibodies cannot be ruled out. The protective efficacy of the DB fusion

311 without adjuvant in the *S. sonnei* challenge could then relate to antibodies generated by this  
312 protein. Even if humoral responses could be less involved in protection, we still detect a 55%  
313 protective efficacy. This is probably only observed for *S. sonnei* given that the challenge dose  
314 that was used is lower than for *flexneri* and *dysenteriae*. In conclusion, we provide evidence  
315 that a fusion protein comprised of IpaB and IpaD is able to generate immune responses against  
316 the two subcomponents, retaining heterologous protection capabilities and generating higher  
317 IFN- $\gamma$  and IL-17 responses, which could be important for protection against shigellosis in  
318 humans.

319

#### 320 **Acknowledgements.**

321 We thank Atticus Mullon and Micah Scobey for technical support and Olivia Arizmendi for  
322 helping with figure preparation. This work was funded by a grant from PATH-EVI.

323

#### 324 **Figure legends.**

325

326 Figure 1. Biophysical analysis of DB Fusion. (A) Construct harboring the IpaD/B fusion.  
327 Restriction sites used for cloning are mentioned along a map of pET28b. (B) A comparative  
328 SDS-PAGE with IpaB, IpaD and DB Fusion proteins is shown with molecular weight markers  
329 indicated to the left. (C) The CD spectra for IpaB in 0.5% OPOE, IpaD in PBS, and DB Fusion  
330 prepared in 0.5% OPOE all indicate predominantly  $\alpha$ -helical content with the mean residue  
331 molar ellipticity ( $[\theta]_R$ ) values. (D) Thermal unfolding of the secondary structure of DB Fusion,  
332 IpaB and IpaD as a function of temperature is shown.

333 Figure 2. Serum IgG titer kinetics. Mice were vaccinated three times at time points indicated by  
334 arrows. Blood samples were collected and serum was separated. IgG antibodies specific for  
335 IpaB (panel A) or IpaD (panel B) were measured by ELISA. The individual titers are represented  
336 as EU ml<sup>-1</sup>, and each point represents mean ± S.D. of 10 mice per group. \*P<0.05 comparing  
337 groups that received IpaB+IpaD+dmLT and DB Fusion+dmLT using T test. IgA antibodies  
338 specific for IpaB (panel C) or IpaD (panel D) were measured by ELISA. The pool titers are  
339 represented as EU ml<sup>-1</sup>, and each point representing pooled samples of ten mice per group.

340 Figure 3. Antibody secreting cells. Immunized mice (N=5 per group) were euthanized at day 56  
341 and organs were collected. Single cell suspensions obtained from lungs (A), spleen (B) and  
342 bone marrow (C) were incubated in plates with IpaB or IpaD. IgG and IgA secreting cells were  
343 detected by ELISpot, and plotted as mean specific ASCs per 10<sup>6</sup> cells ± S.D. \*P<0.05  
344 comparing groups that received IpaB+IpaD+dmLT and DB Fusion+dmLT using T test.

345 Figure 4. IFN-γ secreting cells. Splenocytes obtained at day 56 from immunized animals were  
346 incubated with 10 µg/ml IpaB and IpaD. IFN-γ secreting cells were determined by ELISpot and  
347 spot forming cells (SFC) per 10<sup>6</sup> cells were calculated and plotted as mean ± S.D. of  
348 quadruplicate wells. \*P<0.05 comparing groups that received IpaB+IpaD+dmLT and DB  
349 Fusion+dmLT using T test.

350 Figure 5. Cytokines. Splenocytes obtained at day 56 from immunized animals were incubated  
351 with 10 µg/ml IpaB and IpaD. After 48h, supernatants were collected and levels of cytokine  
352 secretion in response to IpaB (left) and IpaD (right) were then measured (in pg/ml) using an  
353 MSD cytokine detection plate. Each bar represents mean of quadruplicate wells ± S.D. \*P<0.05  
354 comparing groups that received IpaB+IpaD+dmLT and DB Fusion+dmLT using T test.

355 Figure 6. IL-17 secretion. Splenocytes obtained at day 56 from immunized animals were  
356 incubated with 10 µg/ml IpaB and IpaD. After 48h, supernatants were collected and levels of IL-

357 17 secretion were measured using an ELISA kit. Each bar represents mean of quadruplicate  
358 wells  $\pm$  S.D. \*P<0.05 comparing groups that received IpaB+IpaD+dmLT and DB Fusion+dmLT  
359 using T test.

360 Figure 7. Challenge. Mice were vaccinated at days 0, 14 and 28 with the indicated treatments.  
361 After 56 days,  $6 \times 10^6$  CFU of *S. flexneri* 2457T (A),  $2.1 \times 10^6$  CFU of *S. sonnei* 53G (B) or  $7.5 \times 10^6$   
362 CFU of *S. dysenteriae* 1617 (C) were administered intranasally. Survival was followed for 14  
363 days. #P<0.05 compared to survival of mice vaccinated with PBS using Log-rank test.

364



365 **References**

- 366 1. **WHO**. 2009 [www.who.int/vaccine\\_research/diseases/diarrhoeal/en/index6.html](http://www.who.int/vaccine_research/diseases/diarrhoeal/en/index6.html). [Online.]
- 367 2. **Kotloff KL, Winickoff JP, Ivanoff B, Clemens JD, Swerdlow DL, Sansonetti PJ,**  
368 **Adak GK, Levine MM**. 1999. Global burden of Shigella infections: implications for  
369 vaccine development and implementation of control strategies. Bulletin of the World  
370 Health Organization **77**:651-666.
- 371 3. **Kasper MR, Lescano AG, Lucas C, Gilles D, Biese BJ, Stolovitz G, Reaves EJ**.  
372 2012. Diarrhea outbreak during U.S. military training in El Salvador. PloS one **7**:e40404.
- 373 4. **Kerneis S, Guerin PJ, von Seidlein L, Legros D, Grais RF**. 2009. A look back at an  
374 ongoing problem: Shigella dysenteriae type 1 epidemics in refugee settings in Central  
375 Africa (1993-1995). PloS one **4**:e4494.
- 376 5. **Alam AN, Sarker SA, Wahed MA, Khatun M, Rahaman MM**. 1994. Enteric protein loss  
377 and intestinal permeability changes in children during acute shigellosis and after  
378 recovery: effect of zinc supplementation. Gut **35**:1707-1711.
- 379 6. **Niyogi SK**. 2005. Shigellosis. J Microbiol **43**:133-143.
- 380 7. **Barry EM, Pasetti MF, Sztein MB, Fasano A, Kotloff KL, Levine MM**. 2013. Progress  
381 and pitfalls in Shigella vaccine research. Nature reviews. Gastroenterology &  
382 hepatology.
- 383 8. **Gu B, Cao Y, Pan S, Zhuang L, Yu R, Peng Z, Qian H, Wei Y, Zhao L, Liu G, Tong**  
384 **M**. 2012. Comparison of the prevalence and changing resistance to nalidixic acid and  
385 ciprofloxacin of Shigella between Europe-America and Asia-Africa from 1998 to 2009.  
386 International journal of antimicrobial agents **40**:9-17.
- 387 9. **Ye C, Lan R, Xia S, Zhang J, Sun Q, Zhang S, Jing H, Wang L, Li Z, Zhou Z, Zhao A,**  
388 **Cui Z, Cao J, Jin D, Huang L, Wang Y, Luo X, Bai X, Wang P, Xu Q, Xu J**. 2010.

- 389 Emergence of a new multidrug-resistant serotype X variant in an epidemic clone of  
390 *Shigella flexneri*. *Journal of clinical microbiology* **48**:419-426.
- 391 10. **Formal SB, Oaks EV, Olsen RE, Wingfield-Eggleston M, Snoy PJ, Cogan JP.** 1991.  
392 Effect of prior infection with virulent *Shigella flexneri* 2a on the resistance of monkeys to  
393 subsequent infection with *Shigella sonnei*. *The Journal of infectious diseases* **164**:533-  
394 537.
- 395 11. **Rasolofo-Razanamparany V, Cassel-Beraud AM, Roux J, Sansonetti PJ, Phalipon**  
396 **A.** 2001. Predominance of serotype-specific mucosal antibody response in *Shigella*  
397 *flexneri*-infected humans living in an area of endemicity. *Infection and immunity* **69**:5230-  
398 5234.
- 399 12. **Zychlinsky A, Kenny B, Menard R, Prevost MC, Holland IB, Sansonetti PJ.** 1994.  
400 IpaB mediates macrophage apoptosis induced by *Shigella flexneri*. *Molecular*  
401 *microbiology* **11**:619-627.
- 402 13. **Espina M, Olive AJ, Kenjale R, Moore DS, Ausar SF, Kaminski RW, Oaks EV,**  
403 **Middaugh CR, Picking WD, Picking WL.** 2006. IpaD localizes to the tip of the type III  
404 secretion system needle of *Shigella flexneri*. *Infection and immunity* **74**:4391-4400.
- 405 14. **Stensrud KF, Adam PR, La Mar CD, Olive AJ, Lushington GH, Sudharsan R,**  
406 **Shelton NL, Givens RS, Picking WL, Picking WD.** 2008. Deoxycholate interacts with  
407 IpaD of *Shigella flexneri* in inducing the recruitment of IpaB to the type III secretion  
408 apparatus needle tip. *The Journal of biological chemistry* **283**:18646-18654.
- 409 15. **Camacho AI, Irache JM, Gamazo C.** 2013. Recent progress towards development of a  
410 *Shigella* vaccine. *Expert review of vaccines* **12**:43-55.
- 411 16. **Ranallo RT, Fonseka S, Boren TL, Bedford LA, Kaminski RW, Thakkar S,**  
412 **Venkatesan MM.** 2012. Two live attenuated *Shigella flexneri* 2a strains WRSf2G12 and  
413 WRSf2G15: a new combination of gene deletions for 2nd generation live attenuated  
414 vaccine candidates. *Vaccine* **30**:5159-5171.

- 415 17. **Shim DH, Chang SY, Park SM, Jang H, Carbis R, Czerkinsky C, Uematsu S, Akira**  
416 **S, Kweon MN.** 2007. Immunogenicity and protective efficacy offered by a ribosomal-  
417 based vaccine from *Shigella flexneri* 2a. *Vaccine* **25**:4828-4836.
- 418 18. **Riddle MS, Kaminski RW, Williams C, Porter C, Baqar S, Kordis A, Gilliland T, Lapa**  
419 **J, Coughlin M, Soltis C, Jones E, Saunders J, Keiser PB, Ranallo RT, Gormley R,**  
420 **Nelson M, Turbyfill KR, Tribble D, Oaks EV.** 2011. Safety and immunogenicity of an  
421 intranasal *Shigella flexneri* 2a Invaplex 50 vaccine. *Vaccine* **29**:7009-7019.
- 422 19. **Pore D, Mahata N, Pal A, Chakrabarti MK.** 2011. Outer membrane protein A (OmpA)  
423 of *Shigella flexneri* 2a, induces protective immune response in a mouse model. *PLoS one*  
424 **6**:e22663.
- 425 20. **Martinez-Becerra FJ, Kissmann JM, Diaz-McNair J, Choudhari SP, Quick AM,**  
426 **Mellado-Sanchez G, Clements JD, Pasetti MF, Picking WL.** 2012. Broadly protective  
427 *Shigella* vaccine based on type III secretion apparatus proteins. *Infection and immunity*  
428 **80**:1222-1231.
- 429 21. **Heine SJ, Diaz-McNair J, Martinez-Becerra FJ, Choudhari SP, Clements JD,**  
430 **Picking WL, Pasetti MF.** 2013. Evaluation of immunogenicity and protective efficacy of  
431 orally delivered *Shigella* type III secretion system proteins IpaB and IpaD. *Vaccine*.
- 432 22. **Martinez-Becerra FJ, Scobey M, Harrison K, Choudhari SP, Quick AM, Joshi SB,**  
433 **Middaugh CR, Picking WL.** 2013. Parenteral immunization with IpaB/IpaD protects  
434 mice against lethal pulmonary infection by *Shigella*. *Vaccine*.
- 435 23. **Liu KY, Shi Y, Luo P, Yu S, Chen L, Zhao Z, Mao XH, Guo G, Wu C, Zou QM.** 2011.  
436 Therapeutic efficacy of oral immunization with attenuated *Salmonella typhimurium*  
437 expressing *Helicobacter pylori* CagA, VacA and UreB fusion proteins in mice model.  
438 *Vaccine* **29**:6679-6685.
- 439 24. **de Sousa EM, da Costa AC, Trentini MM, de Araujo Filho JA, Kipnis A, Junqueira-**  
440 **Kipnis AP.** 2012. Immunogenicity of a fusion protein containing immunodominant

- 441 epitopes of Ag85C, MPT51, and HspX from *Mycobacterium tuberculosis* in mice and  
442 active TB infection. *PloS one* **7**:e47781.
- 443 25. **Heath DG, Anderson GW, Jr., Mauro JM, Welkos SL, Andrews GP, Adamovicz J,**  
444 **Friedlander AM.** 1998. Protection against experimental bubonic and pneumonic plague  
445 by a recombinant capsular F1-V antigen fusion protein vaccine. *Vaccine* **16**:1131-1137.
- 446 26. **Mallett CP, VanDeVerg L, Collins HH, Hale TL.** 1993. Evaluation of *Shigella* vaccine  
447 safety and efficacy in an intranasally challenged mouse model. *Vaccine* **11**:190-196.
- 448 27. **van de Verg LL, Mallett CP, Collins HH, Larsen T, Hammack C, Hale TL.** 1995.  
449 Antibody and cytokine responses in a mouse pulmonary model of *Shigella flexneri*  
450 serotype 2a infection. *Infection and immunity* **63**:1947-1954.
- 451 28. **Marquart ME, Picking WL, Picking WD.** 1995. Structural analysis of invasion plasmid  
452 antigen D (IpaD) from *Shigella flexneri*. *Biochemical and biophysical research*  
453 *communications* **214**:963-970.
- 454 29. **Picking WL, Mertz JA, Marquart ME, Picking WD.** 1996. Cloning, expression, and  
455 affinity purification of recombinant *Shigella flexneri* invasion plasmid antigens IpaB and  
456 IpaC. *Protein expression and purification* **8**:401-408.
- 457 30. **Studier FW.** 2005. Protein production by auto-induction in high density shaking cultures.  
458 *Protein expression and purification* **41**:207-234.
- 459 31. **Choudhari SP, Kramer R, Barta ML, Greenwood JC, 2nd, Geisbrecht BV, Joshi SB,**  
460 **Picking WD, Middaugh CR, Picking WL.** 2013. Studies of the conformational stability  
461 of invasion plasmid antigen B from *Shigella*. *Protein science : a publication of the Protein*  
462 *Society* **22**:666-670.
- 463 32. **Birket SE, Harrington AT, Espina M, Smith ND, Terry CM, Darboe N, Markham AP,**  
464 **Middaugh CR, Picking WL, Picking WD.** 2007. Preparation and characterization of  
465 translocator/chaperone complexes and their component proteins from *Shigella flexneri*.  
466 *Biochemistry* **46**:8128-8137.

- 467 33. **Mach H, Volkin DB, Burke CJ, Middaugh CR.** 1995. Ultraviolet absorption  
468 spectroscopy. *Methods Mol Biol* **40**:91-114.
- 469 34. **Dickenson NE, Choudhari SP, Adam PR, Kramer RM, Joshi SB, Middaugh CR,**  
470 **Picking WL, Picking WD.** 2013. Oligomeric states of the Shigella translocator protein  
471 IpaB provide structural insights into formation of the type III secretion translocon. *Protein*  
472 *science* : a publication of the Protein Society.
- 473 35. **Orenstein WA, Bernier RH, Dondero TJ, Hinman AR, Marks JS, Bart KJ, Sirotkin B.**  
474 1985. Field evaluation of vaccine efficacy. *Bulletin of the World Health Organization*  
475 **63**:1055-1068.
- 476 36. **Norton EB, Lawson LB, Mahdi Z, Freytag LC, Clements JD.** 2012. The A subunit of  
477 *Escherichia coli* heat-labile enterotoxin functions as a mucosal adjuvant and promotes  
478 IgG2a, IgA, and Th17 responses to vaccine antigens. *Infection and immunity* **80**:2426-  
479 2435.
- 480 37. **Sellge G, Magalhaes JG, Konradt C, Fritz JH, Salgado-Pabon W, Eberl G, Bandeira**  
481 **A, Di Santo JP, Sansonetti PJ, Phalipon A.** 2010. Th17 cells are the dominant T cell  
482 subtype primed by *Shigella flexneri* mediating protective immunity. *J Immunol* **184**:2076-  
483 2085.
- 484 38. **Le-Barillec K, Magalhaes JG, Corcuff E, Thuizat A, Sansonetti PJ, Phalipon A, Di**  
485 **Santo JP.** 2005. Roles for T and NK cells in the innate immune response to *Shigella*  
486 *flexneri*. *J Immunol* **175**:1735-1740.
- 487
- 488















