

1 A combined dynamical and statistical downscaling technique to reduce biases in  
2 climate projections: An example for winter precipitation and snowpack in the  
3 western United States

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10  
11 **Abstract**

12 Large biases associated with climate projections are problematic when it comes to  
13 their regional application in the assessment of water resources and ecosystems. Here, we  
14 demonstrate a method that can reduce systematic biases in regional climate projections.  
15 The global and regional climate models employed to demonstrate this technique are the  
16 Community Climate System Model (CCSM) and the Weather Research and Forecasting  
17 (WRF) model, respectively. The method first utilized a statistical regression technique  
18 and a global reanalysis dataset to correct biases in the CCSM-simulated variables (e.g.,  
19 temperature, geopotential height, specific humidity, and winds) that are subsequently  
20 used to drive the WRF model. The WRF simulations were conducted for the western  
21 United States and were driven with a) global reanalysis, b) original CCSM, and c) bias-  
22 corrected CCSM data. The bias-corrected CCSM data led to a more realistic regional  
23 climate simulation of precipitation and associated atmospheric dynamics, as well as snow  
24 water equivalent (SWE) in comparison to the original CCSM-driven WRF simulation.  
25 Since most climate applications rely on existing global model output as the forcing data  
26 (i.e. they cannot re-run or change the global model), which often contain large biases, this  
27 effective and economical method provides a useful tool to reduce biases in regional

28 climate downscaling simulations of water resource variables.

29 **Keywords:** Regional climate modeling, dynamical downscaling, snowpack,  
30 precipitation, western U.S., climate change, snow water equivalent

### 31 **1. Introduction**

32 The assessment of, and adaptation to, future water resources depends heavily  
33 upon reliable climate simulations of precipitation, snowpack, and temperature. Deriving  
34 regional climate information from coupled atmosphere–ocean general circulation model  
35 (CGCMs) projections by using regional climate models (RCMs), namely dynamical  
36 downscaling, has become a common practice (Hayhoe et al. 2004; Wood et al. 2004;  
37 Wilby et al. 1998; Gutierrez et al. 2013; Hewitson and Crane 1996). Dynamical  
38 downscaling generates physics-based representations of future climate processes that also  
39 account for the changing dynamics in the climate system; this is its advantage over  
40 statistical downscaling (Leung et al. 2003a; Leung et al. 2003b; Liang et al. 2008).  
41 However, certain climate variables, especially snowpack and precipitation, are  
42 particularly difficult to simulate accurately (Salzmann and Mearns 2012; Rasmussen et al.  
43 2011; Mearns et al. 2013; Mearns et al. 2012; Pielke 2013), and it is impossible to correct  
44 their large biases through either dynamical or statistical methods alone.

45 Generally, biases in dynamical downscaling originate from two sources: (1)  
46 inadequate representation of the physical processes in the RCMs, and (2) biases  
47 propagated into the RCMs from the “parent” CGCMs (Pielke and Wilby, 2012). Since  
48 RCMs and dynamical downscaling are expensive in terms of model development and  
49 computing time, some studies that require regional climate information tend to utilize  
50 existing simulations provided by a handful of modeling centers, e.g., those participating

51 in the North American Regional Climate Change Assessment Program (NARCCAP;  
52 Mearns et al. 2012). Consequently, these studies are forced to work with both types of  
53 biases in the existing climate simulations. Since CGCM simulations are also complex and  
54 computationally expensive, most studies that perform their own regional climate  
55 simulations have to rely upon national centers and coordinated projects (e.g., the Coupled  
56 Model Intercomparison Project Phase 5 (CMIP5)) (Taylor et al. 2012) for CGCM data to  
57 provide boundary conditions to RCMs. However, such CGCM-derived boundary  
58 conditions contain model-specific and often large biases; these also degrade the  
59 downscaled simulation results (e.g., Wang et al. 2009).

60       Given the aforementioned problems that exist in both regional and global climate  
61 models, we sought to develop an effective and economical procedure to improve regional  
62 climate downscaling. For this paper, we focused on downscaled precipitation and  
63 snowpack projections in the semi-arid western United States, where reliable assessment  
64 of future changes in water resources has been a challenge. For example, existing  
65 simulations in the western U.S. such as NARCCAP (50 km resolution) tended to predict  
66 too much winter precipitation (Mearns et al. 2012; Wang et al. 2009), too little SWE  
67 (Salzmann and Mearns 2012), and inconsistent long-term trends by different models  
68 (Salzmann and Mearns 2012). In addition, the simulation of snow water equivalent  
69 (SWE) generally requires the use of high (< 10 km) resolution model runs (Rasmussen et  
70 al. 2011; Jin and Wen 2012); this is often prohibitively expensive. Here, we present  
71 results from a combined statistical and dynamical technique, first introduced by Jin et al.  
72 (2011), that can reduce biases resulting from both inadequate RCM physics and biased  
73 CGCM boundary conditions without the expense of having to use fine resolutions.

74 The paper introduces the bias-correction method applied to the CGCM data that  
75 are subsequently used as boundary conditions by a RCM in Section 2, followed by the  
76 RCM simulation results of precipitation, SWE and temperature in Section 3. A summary  
77 with conclusions is given in Section 4.

78

## 79 **2. Methods**

80 For the lateral boundary conditions we utilized the CCSM3 (the Community  
81 Climate System Model) simulations forced with the IPCC A2 emissions (the higher end  
82 but not the highest), which were described in the fourth assessment report (Nakicenovic  
83 et al. 2000). The CCSM3 output was obtained from the 3<sup>rd</sup> phase of CMIP. Although the  
84 newer version model (CCSM4) had been released in 2013, we used the older version  
85 (CCSM3) in order to demonstrate the effectiveness of the bias correction technique since  
86 this precludes the use of any particular global model iterations. Hereafter the global  
87 model output is referred to as CCSM.

88

89 Biases in the CCSM data can propagate into the WRF simulation and degrade the  
90 downscaled climate projections, and thus need to be corrected before feeding into the  
91 WRF model. The approach used in this study to correct biases in the CCSM data is based  
92 upon Dettinger et al. (2004) and Miller et al. (2008). The key point here is to maintain  
93 physical consistency among essential variables used to drive WRF, including temperature  
94 (T), specific humidity (Q), surface pressure (P), geopotential height (Z), and winds (U  
95 and V). Following Jin et al. (2011), the 6-hourly CCSM's temperature, specific humidity,  
96 and surface pressure, which are relatively independent, were corrected using regression

97 coefficients of biases in each variable for each model point at each pressure level. These  
98 regression coefficients of biases were calculated using linear regression with the  
99 observation-based NCEP Reanalysis (Kalnay et al. 1996) over the years 1948-1999. Then  
100 the bias-corrected T, Q, and P were used to compute the geopotential height (Z) based on  
101 hydrostatic and other physical relationships among these variables (Holton 1992). The  
102 “reconstructed” Z was subsequently used to calculate the geostrophic wind ( $\vec{V}_g$ ). Next, in  
103 order to compute the ageostrophic wind ( $\vec{V}_a$ ), which is more difficult to calculate since  
104 the percentage of ageostrophic wind in total wind is large near the surface, the NCEP  
105 Reanalysis winds were first decomposed into the geostrophic and ageostrophic  
106 components. Then a regression model was developed between the NCEP ageostrophic  
107 wind and corrected T and Q (i.e. the main drivers of the ageostrophic effect). The  
108 regression model was subsequently applied to generate  $\vec{V}_a$ , which was then combined  
109 with  $\vec{V}_g$  to produce the bias-corrected total wind  $\vec{V}$ . Such a bias-corrected total wind field  
110 was then applied onto the boundary conditions driving the RCM simulations.

111 We used the Weather Research and Forecasting model (WRF) version 3.2.1  
112 (<http://www.wrf-model.org/index.php>) coupled with the Community Land Model (CLM)  
113 version 3.5 (Jin and Wen 2012; Subin et al. 2011) as the RCM. The coupling of the CLM  
114 has been shown to improve WRF’s simulation of snow, soil, and vegetation processes  
115 (Jin and Wen 2012; Subin et al. 2011). Since WRF has an array of physics scheme  
116 options and each scheme tends to introduce particular biases, sensitivity tests were  
117 undertaken to obtain an optimal combination of physics schemes that were effective in  
118 simulating the most realistic precipitation and air temperatures over the western U.S. The  
119 sensitivity tests were performed using the NCEP Reanalysis (as the boundary conditions),

120 and the identified optimal set of physics schemes is listed in Table 1. The simulations  
121 were performed at a spatial resolution of 50 km over the domain (ref., Figure 1).

122 A set of historical (1969-1999) WRF simulations were then undertaken with  
123 lateral boundary conditions being supplied by a) the NCEP Reanalysis, b) the original  
124 CCSM (not bias-corrected), and c) the bias-corrected CCSM data. Two additional  
125 simulations were conducted for the 2001-2010 period using the original and bias-  
126 corrected CCSM data. Lastly, WRF simulations were carried out for the mid-21<sup>st</sup> Century  
127 (2056-2065) using both the original and bias-corrected CCSM data. The simulations for  
128 the 2001-2010 and 2056-2065 periods were driven with the transient simulation of  
129 CCSM (i.e., in forecasting mode). We focused on the winter snow season (i.e. January-  
130 March) in the western U.S. Each of the simulations included a model spin-up over four  
131 months from September 1 to December 31 of the previous year.

132

### 133 **3. Results**

134 The observed mean precipitation for January-March (JFM) during 1969-1999,  
135 derived from the Parameter-elevation Regressions on Independent Slopes Model  
136 (PRISM) data (Daly et al. 1994), is shown in Figure 1a. Visually, the NCEP-driven  
137 simulation of precipitation (Figure 1b) is in good agreement with the observation.  
138 Quantitatively, the root mean square deviation (RMSD) of the JFM precipitation was 43  
139 mm/month over the western U.S. domain (with respect to PRISM). The NCEP-driven  
140 simulation serves as the upper bound for model performance (Mearns et al. 2013; Mearns  
141 et al. 2012; Pielke 2013). In comparison, the original CCSM-driven simulation resulted in  
142 significant wet biases throughout the western U.S. (Figure 1c), increasing the RMSD to

143 80 mm/month. Since both the NCEP-driven and the original CCSM-driven simulations  
144 used exactly the same physics schemes in WRF, the differences in simulated precipitation  
145 could only be caused by the disparities in WRF input data between the two simulations.  
146 This means that the original CCSM data have significant biases that were passed through  
147 the WRF model. The bias-corrected CCSM-driven simulation (Figure 1d) reduced the  
148 wet bias and lessened the RMSD to 70 mm/month, mostly in the southwestern U.S. This  
149 means that although there are still biases in WRF-simulated precipitation resulting from  
150 imperfect representations of physics in the WRF model and biases in its forcing data, the  
151 bias-correction method did improve the WRF simulation.

152 The more important questions posed are: (a) how may the JFM precipitation  
153 change in response to such a bias correction? (b) how does it affect the mountain  
154 snowpack projection? To examine these questions, we plotted the JFM precipitation  
155 differences between future (2056-2065) and current (2001-2010) periods; these are  
156 shown in Figures 1e and 1f. The results reveal a marked difference between the original  
157 and bias-corrected CCSM-driven simulations and this is emphasized by a spatial  
158 correlation coefficient (R) of only 0.07. In the original CCSM-driven simulation, the  
159 change in the JFM precipitation exhibits a widespread increase across much of the  
160 western U.S. with most of the increase covering a 35°-46°N latitudinal band. Northward  
161 of 46°N decreased precipitation was simulated. In the bias-corrected CCSM-driven  
162 simulation, however, the precipitation change reveals a north-south dipole with a large  
163 increase in the northwestern states and a slight decrease in the southwestern states.

164 It was found that the precipitation differences corresponded closely to the  
165 circulation changes. Figures 2a-c show the mean JFM wind fields at 200 hPa for the

166 1969-1999 period derived from the (a) NCEP-driven, (b) original CCSM-driven, and (c)  
167 bias-corrected CCSM-driven simulations. Compared to the NCEP-driven simulation  
168 (Figure 2a), the original CCSM-driven simulation produced considerably stronger winds  
169 over most of the domain and induced a pseudo jet streak near 50°N (Figure 2b). In  
170 contrast, wind fields in the bias-corrected CCSM-driven simulation (Figure 2c) were in  
171 better agreement with the NCEP-driven simulation, though the wind speed remained  
172 slightly higher over the interior West. Overly strong westerly winds in both the original  
173 and bias-corrected CCSM-driven simulations were observed throughout the troposphere  
174 (not shown) and this likely caused the overly wet biases along the windward side of the  
175 mountains, as is revealed in Figure 1 and found occurred in NARCCAP model  
176 simulations by Wang et al. (2009).

177         The wind changes at 200 hPa between the 2056-2065 and 2001-2010 periods also  
178 showed a marked difference between the original CCSM-driven (Figure 2d) and bias-  
179 corrected CCSM-driven (Figure 2e) simulations. Both simulations produced an  
180 anomalous trough over the western U.S. sandwiched between two anomalous ridges to  
181 the west and east. In the bias-corrected CCSM-driven simulation, the trough was  
182 displaced further north, but the wind speed was much reduced when compared to the  
183 original CCSM-driven simulation. At 600 hPa, the differences in the wind anomalies  
184 (Figures 2f and 2g) are similar to those at 200 hPa, suggesting a barotropic structure (i.e.  
185 vertically uniform). In the bias-corrected CCSM-driven simulation, the cyclonic center  
186 was positioned at the U.S.-Canadian border and the westerly wind anomalies were moved  
187 northwestward; this led to the northward displacement of the precipitation anomalies  
188 (Figure 1f). Apparently, the bias-corrected boundary conditions produced a marked



189 impact on the “downscaled” circulation simulations, which, in turn, could and did alter  
190 the precipitation projections.

191 Any changes in projected winter precipitation would directly affect projections of  
192 mountain snowpack – a crucial water resource in the region as was noted earlier. To  
193 investigate further, we focused on four major mountain regions: (1) the Cascade Range,  
194 (2) the Bitterroot Range, (3) the Wasatch Range, and (4) the Colorado Rockies; these are  
195 delineated by boxes labeled 1, 2, 3, and 4 in Figure 1b. For evaluation purposes we  
196 utilized the Snowpack Telemetry Network (SNOTEL) observations; there are  
197 respectively 46, 32, 79, and 71 SNOTEL stations within the four mountain regions.  
198 Figures 3a-d show the time series of the April 1 snow water equivalent (SWE) derived  
199 from the SNOTEL, NCEP-driven, original CCSM-driven, and bias-corrected CCSM-  
200 driven simulations, overlaid with the linear trends. Over each mountainous region, the  
201 mean values of the 2001-2010 and 2056-2065 periods in each simulation are connected  
202 by a dashed line to indicate future changes.

203 Figures 3a-d show that the original CCSM-driven simulation yielded marked  
204 increasing SWE trends in all four regions; this is not in agreement with the observed  
205 decreases of SWE in Regions 2, 3 and 4. The decreasing SWE in Regions 2, 3 and 4 has  
206 been noticed in previous studies (Christensen et al. 2004; Gillies et al. 2012; Howat and  
207 Tulaczyk 2005). By contrast, in all four regions, the bias-corrected CCSM-driven  
208 simulations produced SWE trends that are in better agreement with the SNOTEL  
209 observations. The trend lines in the NCEP-driven simulations were also aligned more  
210 closely with the SNOTEL observations. Regarding future projections, i.e. from 2001-  
211 2010 to 2056-2065, the bias-corrected CCSM-driven simulations indicate a future decline

212 in SWE in each region; this is in contrast to the original CCSM-driven simulation, which  
213 did not produce any significant SWE change in all regions with the exception of the  
214 Cascades (Region 1). It is also noted that the SWEs tend to be lower in the WRF  
215 simulations in comparison to the observations. Such underestimations in SWE are  
216 expected because most SNOTEL stations are sited at high-elevation in the mountains  
217 where snow tends to accumulate, whereas the averaging of the modeled SWEs over a box  
218 domain includes low elevation locations (e.g., valley) where there are less snow amounts.

219 To explain the differences in the SWE trends, Figure 4 shows the trends of the  
220 JFM precipitation for the four mountainous regions. Again, the original CCSM-driven  
221 simulations produced a reversed JFM precipitation trend during 1979-1999 in comparison  
222 to the PRISM observations, while precipitation trends of both the NCEP-driven and bias-  
223 corrected CCSM-driven simulations show the same tendency as PRISM.

224 We further analyzed 2-meter air temperatures derived from PRISM and WRF  
225 simulations (see Figure 5). Evident from the original CCSM-driven simulations is a steep  
226 cooling trend; this is evident in all four regions and likely the cause of the marked  
227 increase in the original CCSM-driven simulation's SWE trend (Figure 3). Such a cooling  
228 trend is contrary to all anthropogenic warming scenarios (including the A2 scenario) but  
229 more importantly, is inconsistent with the SNOTEL observations. In the bias-corrected  
230 CCSM-driven simulation, the cooling trends were much reduced, though not reversed to  
231 the degree of perfect agreement with the observation. The combined improvements (or  
232 corrections) in both precipitation and temperature in the bias-corrected CCSM-driven  
233 simulations did lead to improvements in the representation of SWE and its trends.

234

235 **4. Discussion and conclusion**

236 We analyzed the results from three RCM simulations of winter precipitation and  
237 snowpack projections to examine the reduction of biases existing in both CGCMs and  
238 RCM parameterizations. The biases associated with RCM parameterizations were  
239 reduced by selecting an optimal combination of physics schemes through sensitivity tests;  
240 and the biases from CGCMs were corrected using a statistical method. It was found that  
241 the bias-corrected CCSM data resulted in a more reasonable simulation of the  
242 atmospheric circulations. The better representation of the atmospheric circulation  
243 dynamics did produce a more realistic precipitation climatology, and this in turn  
244 projected a precipitation change that was more closely aligned with the newer generation  
245 of multi-model downscaled projections of the CMIP5 (see Brekke et al. 2012, 2013). The  
246 precipitation and temperature trends during the historical period were also improved by  
247 the bias correction method. Such improvement led to a better simulation of SWE; this is  
248 particularly important in the western U.S. where snowmelt accounts for as much as 75%  
249 of water supplies (USGS 2014). In addition, the improvement of SWE simulations  
250 presented here signifies an economical alternative to reduce the expense of having to  
251 perform high-resolution simulations (i.e. < 10 km).

252 Previous studies, using different combinations of CGCMs and RCMs, have  
253 produced different (even opposite) downscaled climate projections for the western U.S.  
254 (Dominguez et al. 2012; McAfee et al. 2011; Pierce et al. 2013a; Pierce et al. 2013b; Qian  
255 et al. 2010). Such a broad range of climate projections has led to the preference of an  
256 ensemble approach in the provision of more agreeable and supposedly more confident  
257 climate projections for their applications. However, performing large-ensemble

258 simulations using RCMs is cost-prohibitive. The present study demonstrated that, by  
259 employing one RCM forced with bias-corrected lateral boundary conditions obtained  
260 from one CGCM, the resulting precipitation and snow downscaling can be as consistent  
261 as the multi-model downscaled projections such as those from the CMIP5 (Brekke et al.  
262 2013). Although this study used the CCSM output and the WRF model, the same method  
263 can be applied to any other combination of global and regional climate models.

264

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374 **List of Tables**

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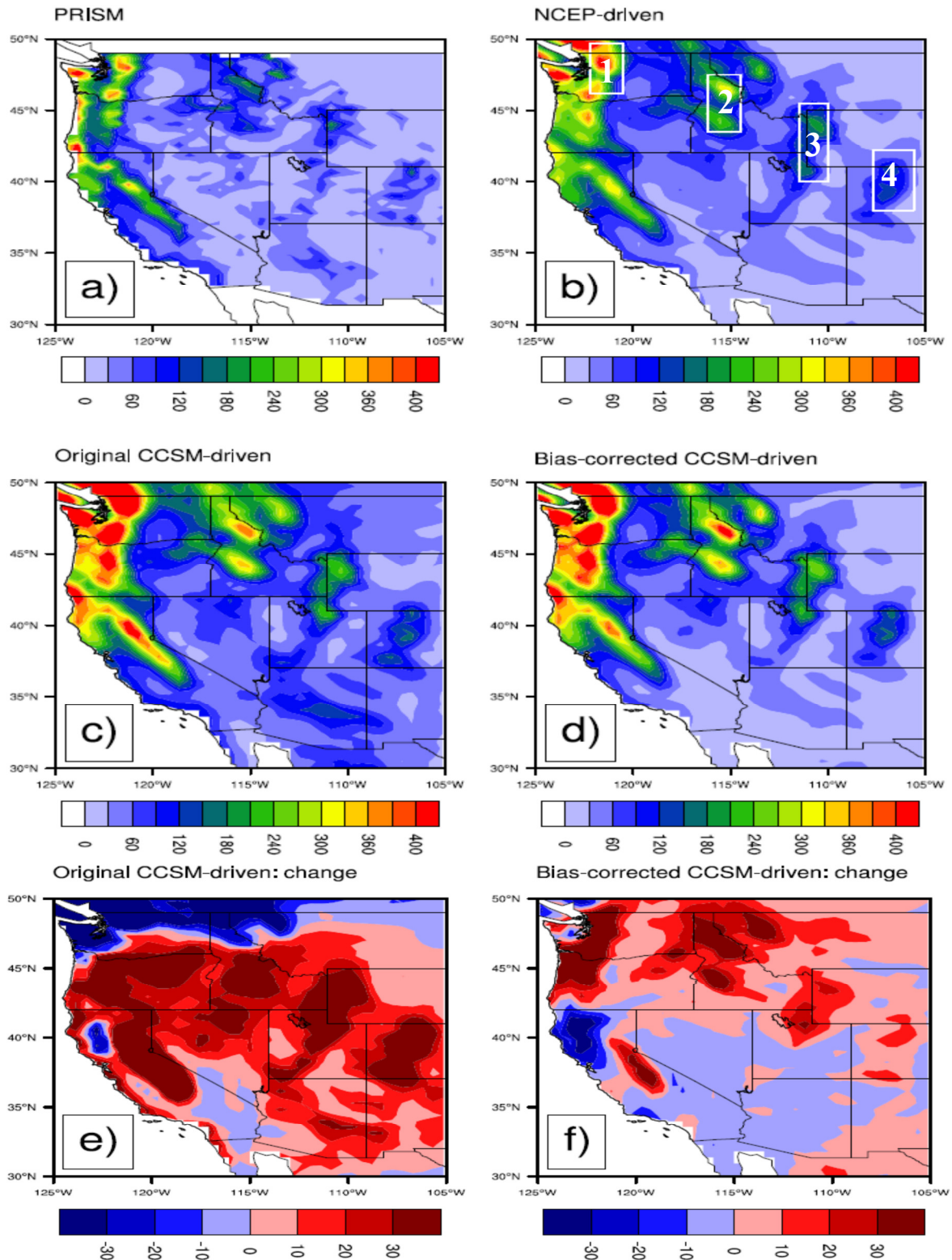
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	Scheme	Major characteristics
LW	Rapid Radiative Transfer Model (RRTM)	K-distribution method with 256 g points
RA	Dudhia	Calculation of clear-air scattering, water vapour absorption, and cloud albedo and absorption using look-up tables for clouds
LS	Community Land Model (CLM)	Sophisticated ten-layer temperature and moisture soil model with detailed vegetation representation
MP	Goddard	Simulates water vapour and condensate, into which the following four hydrometeor fields are combined for advection calculations: cloud water, rain, cloud ice, and precipitation ice
CU	Grell-Devenyi ensemble	One-dimensional mass flux scheme that consists of a single updraft–downdraft couplet
PBL	Bougeault and Lacarrere (BouLac)	TKE closure scheme in which vertical diffusion coefficient for momentum, the coefficient for heat, and the coefficient for TKE are identical

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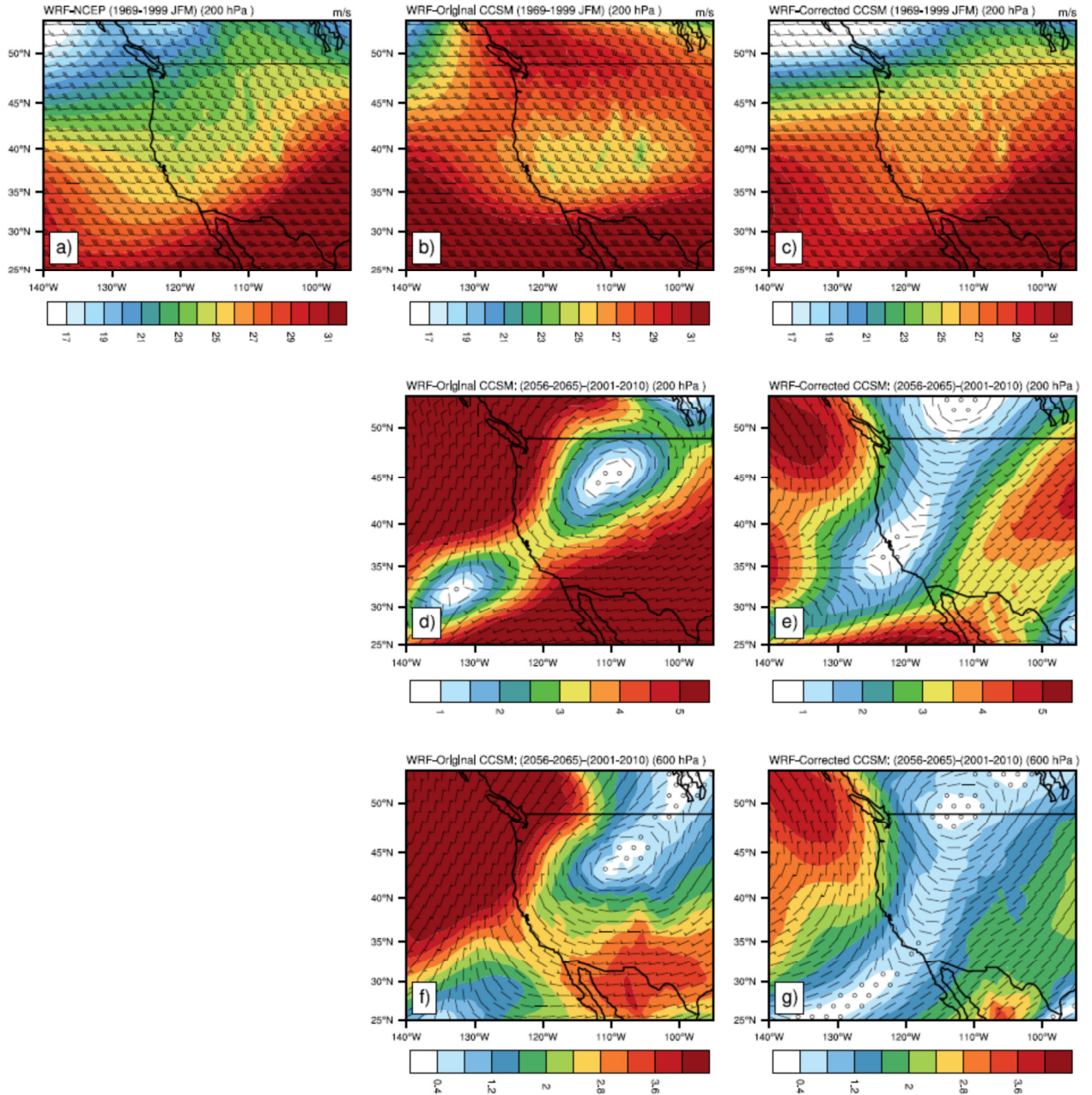


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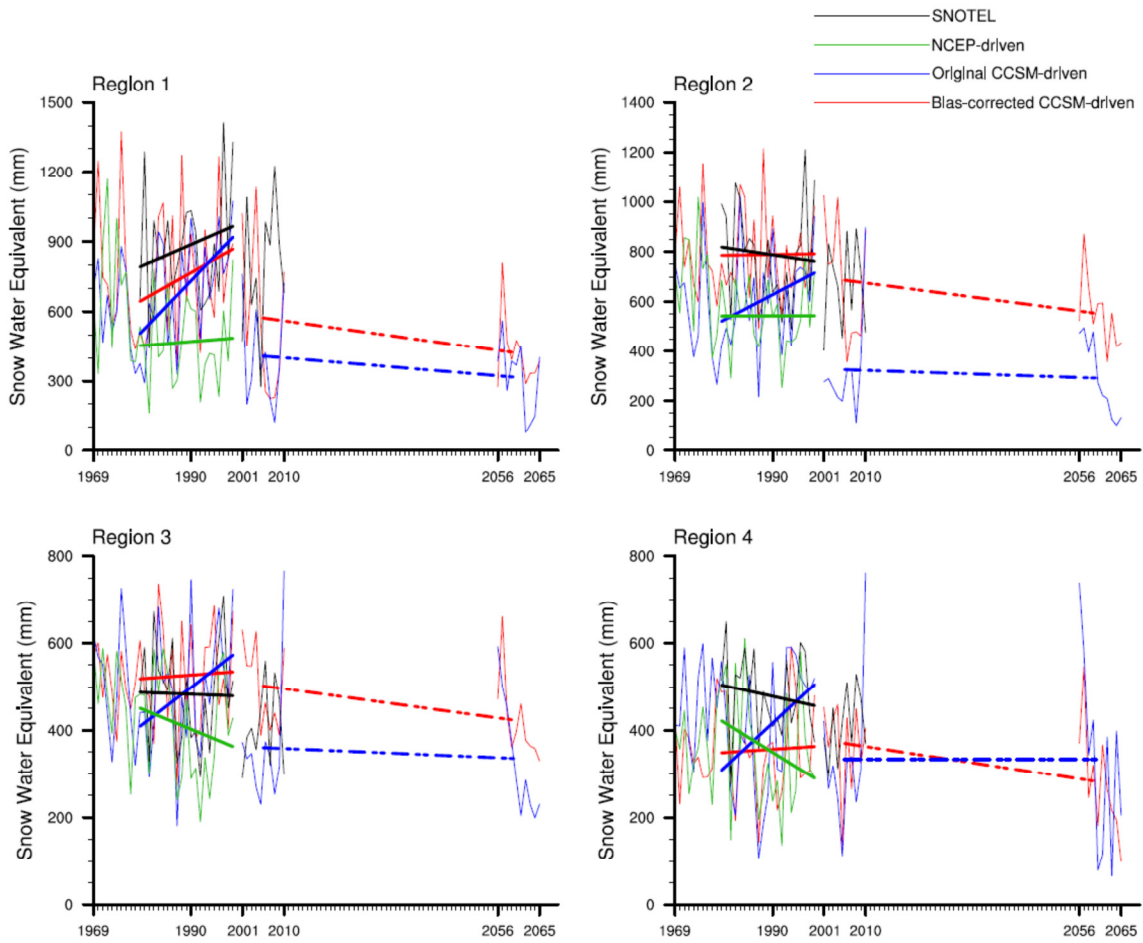


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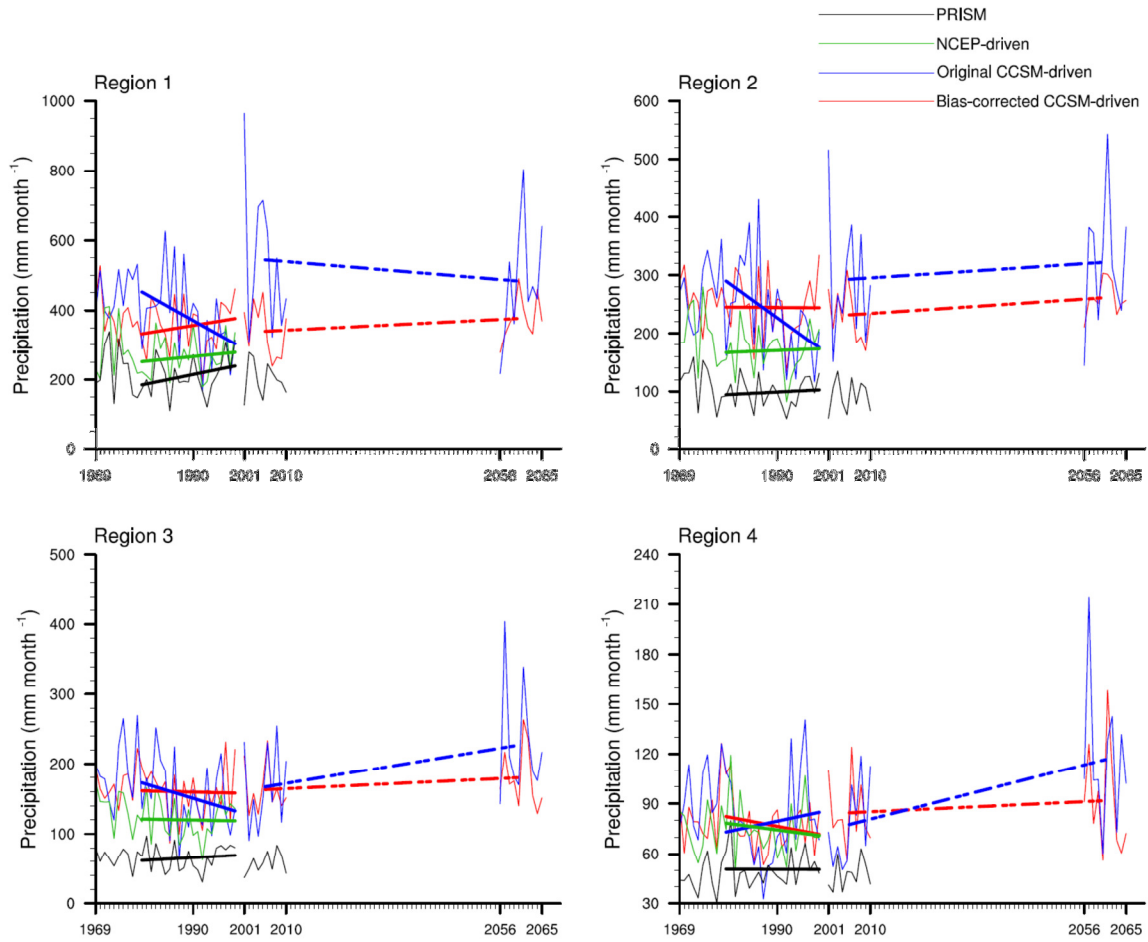


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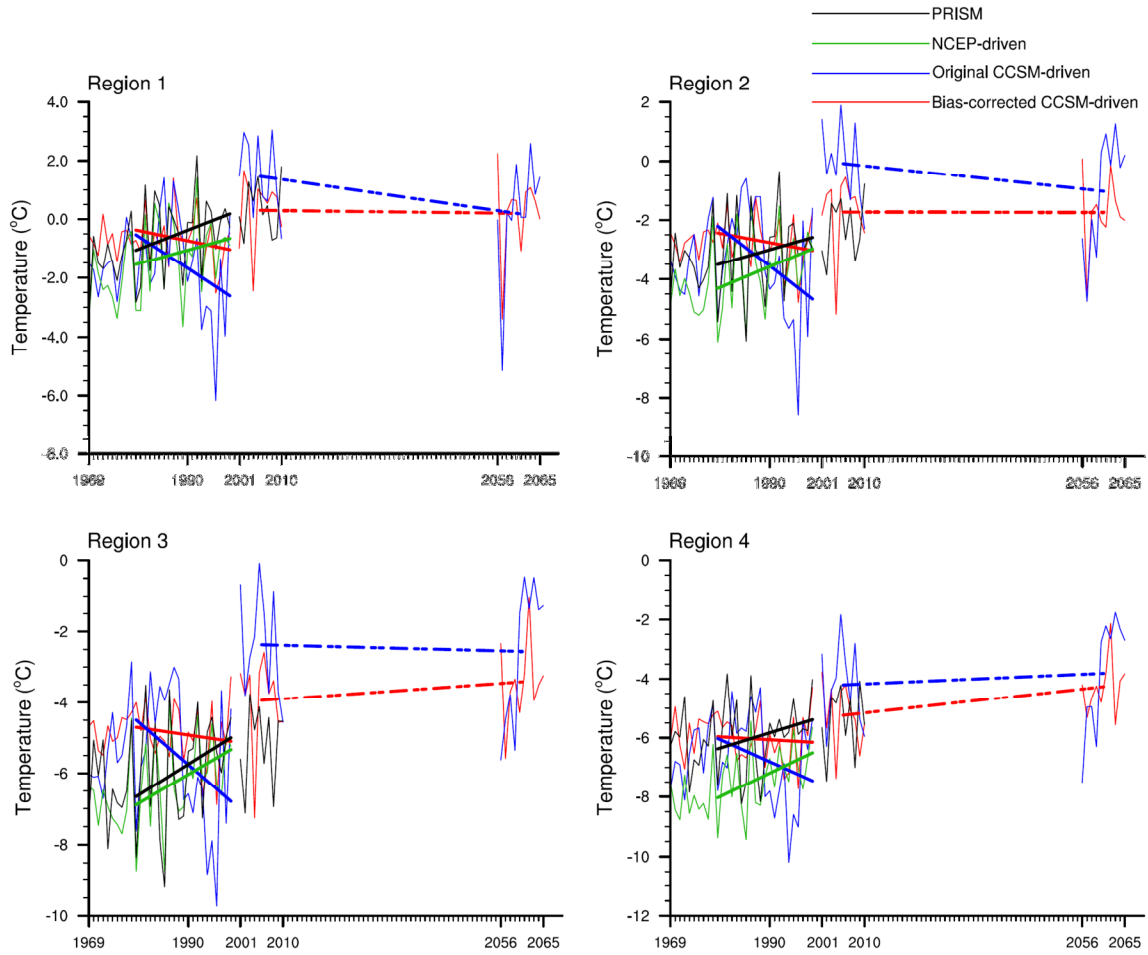


Figure 5: The time series (for all years — i.e. 1969-1999, 2001-2010, and 2056-2065) of region-wide average JFM surface temperature for Regions 1 through 4. The black line represents observed JFM surface temperature from PRISM in each region. The green, blue, and red lines represent the region-wide average JFM surface temperature simulated by WRF using the NCEP, the original and bias-corrected CCSM, respectively.