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Libraries at the hub as the federally funded research wheel turns to open

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Libraries at the hub as the federally funded research hub turns to open

Shannon Kipphut-Smith, Betty Rozum, Becky Thoms
Background
Project Overview

- Academic libraries leveraging services & resources to respond to mandates
- Collaboration between libraries & research offices
- Specifics
  - Rice University
  - Utah State University
- Surveyed [200+] library deans and vice presidents for research at RUH & RUVH institutions
- Library responses—35
- VPR responses—24
Goals

- Obtain information regarding typical services offered to support compliance with the federal mandate
  - *Is there a baseline set of services at research universities?*
- Assess awareness of services
- Assess how Libraries and Offices of Research value these services
Survey Results: OA Policies & Funder Mandates

- OA policy in place
  - Yes-15, no-36, in process-14
- OA policy covers funded pubs, no waiver permitted
  - Yes-5, no-11, process of updating -2
- Addendum
  - Yes, SPARC-5, other-11 (consortial waiver, suggested language)
  - No-25
Help faculty interpret funding agency requirements for publication deposit

Help faculty identify appropriate repository for publication, per agency requirements, and assist with depositing publications as needed

Help faculty negotiate publishing contract (copyright transfer agreement)
Survey Results: Publication Services – How useful are services?

<table>
<thead>
<tr>
<th>Service</th>
<th>Library</th>
<th>Office of Research</th>
</tr>
</thead>
<tbody>
<tr>
<td>Negotiate Publishing Contract</td>
<td>4.38</td>
<td>4.1</td>
</tr>
<tr>
<td>Identify Appropriate Repository</td>
<td>4.41</td>
<td>3.8</td>
</tr>
<tr>
<td>Interpret Funding Agency Requirements</td>
<td>4.59</td>
<td>3.9</td>
</tr>
</tbody>
</table>
Survey Results: Data

- Awareness of Services to Assist Researchers
  - Research Offices 67% Yes
  - Libraries 91% Yes
  - Briney et al (2014) 50% of this population has data services
## Survey Results: Service Providers

### Assistance with understanding funding agency requirements for data management
- Library Offers: 29
- Office of Research Offers: 29
- Not Offered: 4

### Assistance with locating and/or depositing data into appropriate repository
- Library Offers: 40
- Office of Research Offers: 4
- Not Offered: 8

### Assistance with metadata (describing data)
- Library Offers: 33
- Office of Research Offers: 8
- Not Offered: 3

### Assistance with data management plans
- Library Offers: 35
- Office of Research Offers: 17
- Not Offered: 5

### Other (please specify)
- Library Offers: 4
- Office of Research Offers: 1
- Not Offered: 0

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<table>
<thead>
<tr>
<th>Assistance Type</th>
<th>Library Offers</th>
<th>Office of Research Offers</th>
<th>Other</th>
<th>Not Offered</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assistance with understanding funding agency requirements for data management</td>
<td>29</td>
<td>29</td>
<td>4</td>
<td>0</td>
</tr>
<tr>
<td>Assistance with locating and/or depositing data into appropriate repository</td>
<td>40</td>
<td>4</td>
<td>8</td>
<td>0</td>
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<tr>
<td>Assistance with metadata (describing data)</td>
<td>33</td>
<td>8</td>
<td>3</td>
<td>0</td>
</tr>
<tr>
<td>Assistance with data management plans</td>
<td>35</td>
<td>17</td>
<td>5</td>
<td>0</td>
</tr>
<tr>
<td>Other (please specify)</td>
<td>4</td>
<td>1</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>
Survey Results: Data Services – How useful are services?

- Assistance with data management plans: 4.33 (Office of Research), 4.52 (Library)
- Assistance with metadata (describing data): 4.75 (Office of Research), 4.61 (Library)
- Assistance with locating and/or depositing data in an appropriate repository: 4.42 (Office of Research), 4.42 (Library)
- Assistance with understanding funding agency requirements for data management: 4.42 (Office of Research), 4.65 (Library)
- Other (please specify): 5 (Office of Research), 5 (Library)
Survey Results: Collaboration

- Does your university have a group of campus stakeholders that address issues related to public access policies?

- Over half of all responders (from both libraries and offices of research) indicated that there aren’t such groups on their campuses.

- Of those who don’t have groups, approximately 88% indicated that they thought such a group would be beneficial to their campus.
Survey Results: Collaboration

When Campuses Have Collaborative Groups...

- Wide range of groups on campus, including:
  - Libraries
  - Offices of Research
  - IT
  - Faculty
  - Students
  - Legal
Survey Results: Collaboration

When Campuses Have Collaborative Groups...

- **Benefits**
  - Raising awareness
  - Collaboration across the institution

- **Challenges**
  - Coordinating meetings
  - Varying levels of interest
  - Being “on the same page”
    - Verbaan and Cox (2014)
Conclusions

- Institutions are thinking about public access policies
  - Leveraging existing resources
    - Awareness of services
    - Perceived value of services
  - Early, uncertain about requirements
  - Recognition that campus collaboration is important
Campus Snapshot: Rice

photo copyright Rice University
Campus Snapshot: Utah State
Future work

- Dig further into the survey data.
- Focus on communication and awareness of existing campus services.
- Follow-up survey to track development over several years.
References


Thank you!

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