



# System Vicarious Calibration of Sentinel-3 OLCI

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*CALCON Technical Meeting*  
*Utah State University, Logan, UT, USA*  
*August 23<sup>rd</sup> 2017*

#### Disclaimer

The work performed in the frame of this contract is carried out with funding by the European Union. The views expressed herein can in no way be taken to reflect the official opinion of either the European Union or the European Space Agency.





- ❖ **Context**
- ❖ **System vicarious calibration (SVC) Objectives and Methodology**
- ❖ **SVC Implementation and Results**
- ❖ **Validation using Level 2 data**
- ❖ **Validation using Level 3 data**
- ❖ **Conclusion**



- ❖ Sentinel-3A (S3A), carrying the Ocean and Land Colour Instrument (OLCI), was successfully launched on February 16<sup>th</sup> 2016
- ❖ OLCI dedicated to land and ocean colour, continuity with MERIS, inheriting algorithmic evolutions from MERIS 4<sup>th</sup> reprocessing, this talk only on ocean SVC
- ❖ It is essential to ensure product quality prior public release for operational services such as Copernicus Marine Environment Monitoring Service
- ❖ Radiometric validation results demonstrate that OLCI absolute radiometric calibration is comparable with its heritage instrument, MERIS, and that OLCI has a positive bias of about 2 to 3 percent, OLCI being too bright. Actions are in place to achieve OLCI radiometric compliancy (2% absolute accuracy < 900 nm).
- ❖ As for any ocean colour mission, proper system vicarious calibration (or “adjustment”) must be made using fiducial reference measurements (i.e. high quality targets) at surface level
- ❖ “Adjustment” stands here for sensor (residual L1 calibration) + L2 processor adjustment



# System Vicarious calibration Objective & fundamental equations

## ❖ General principle :

Fiducial reference (FRM) in situ + exploitable satellite measurements of marine reflectance  $\rho_w(\lambda)$

$$\rho_{TOA}(\lambda) = t_g(\lambda) \cdot (\rho_{path}(\lambda) + t_{up}(\lambda) \cdot t_{down}(\lambda) \cdot \rho_w(\lambda))$$

→ compute theoretical TOA signal,  $\rho_{TOA}^T(\lambda)$ :

$$\rho_{TOA}^T(\lambda) = t_g(\lambda) \cdot (\rho_{path}(\lambda) + t_{up}(\lambda) \cdot t_{down}(\lambda) \cdot \rho_w^T(\lambda)) \text{ using in-situ } \rho_w^T(\lambda)$$

→ individual gain per matchup:  $G(\lambda) = \frac{\rho_{TOAi}^T(\lambda)}{\rho_{TOAi}(\lambda)}$

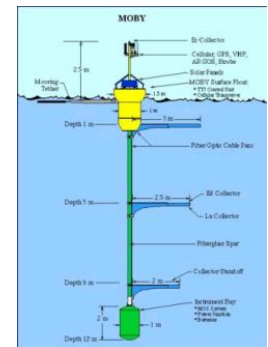
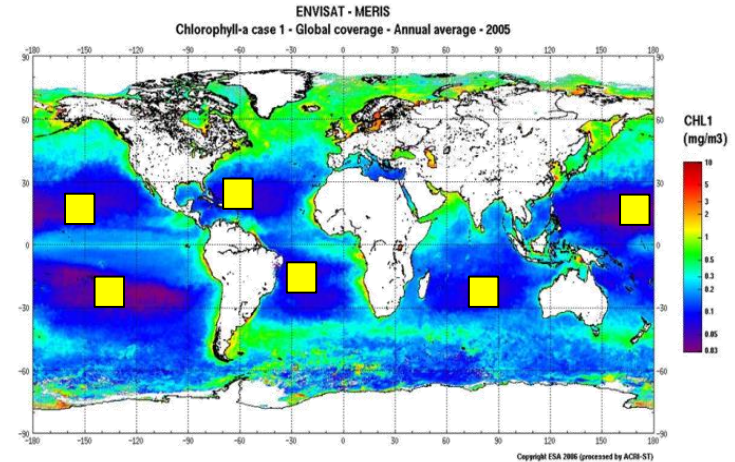
Compute gains from time series through a weighted average  $\bar{G}(\lambda) = \frac{\sum_{i=1}^N \frac{1}{\sigma_{Gi}} G_i}{\sum_{i=1}^N \frac{1}{\sigma_{Gi}}}$

with  $\sigma_{Gi} = \sqrt{\sigma_{sat}^2 + \sigma_{IS}^2}$ ,  $\sigma_{sat}$  being local standard deviation of  $\rho_w$  and  $\sigma_{IS}$  being 5% of  $\rho_w^T$



# System Vicarious calibration VIS/NIR two step procedure

- ❖ Historical approach decoupling VIS/NIR adjustment (Franz et al. 2007, Bailey et al 2008)
  - ❖ NIR gains are firstly computed in the NIR to calibrate atmospheric correction bands
    - ✓ Make use of oligotrophic regions of the ocean (traditionally the South Pacific Gyre and the South Indian Ocean)
    - ✓ No need of actual in situ measurements as the ocean is assumed to be representative of the pure water signal in the NIR
  - ❖ Visible gains are then computed on a dataset already calibrated for NIR bands.
    - ✓ **For this step FRMs are required**, BOUSSOLE and MOBY have been used for past missions.
    - ✓ Matchup selection being very stringent for SVC, we do not reach enough **BOUSSOLE** and **MOBY** match-ups yet
- A methodology based on in-situ + climatological radiometry (**GlobColour**) at oligotrophic sites has been implemented.





## Different methodologies tested

1. SeaWiFS (predefined aerosol and unit gain at 1 NIR band)
2. MERIS (free theoretical aerosol, 2 NIR bands at unit gain)
3. OLCI (free theoretical aerosol, log-log linear regression of  $\rho_a$  in [709, 885] nm against  $\lambda$ )

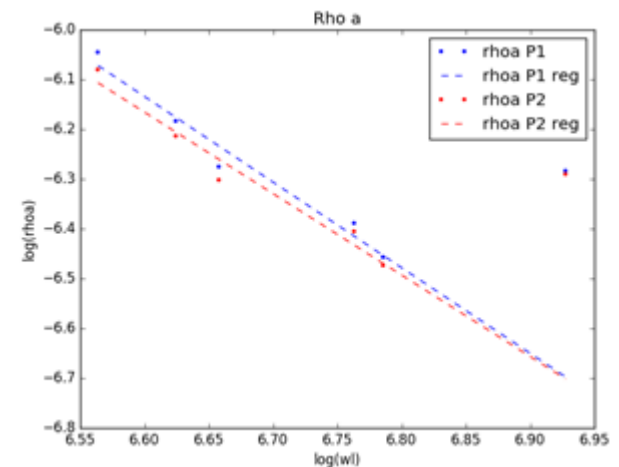
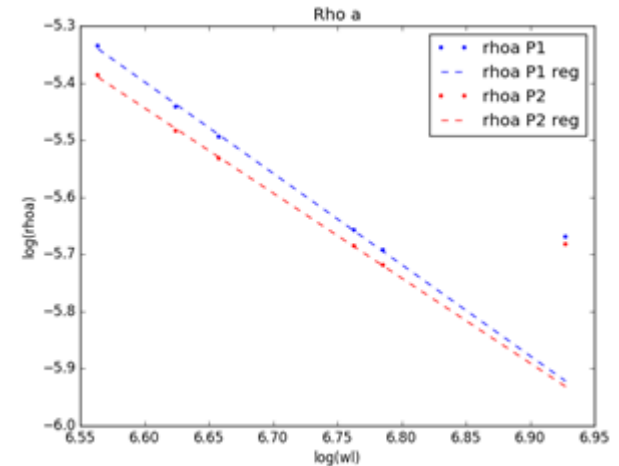
- ✓ no fixed gain assumption
- ✓ comparing well with other methodologies
- ✓ 1020 nm excluded from regression as strong outlier

$$\rho_{TOA}^T(\lambda) = \rho_{aer}^T(\lambda) + \rho_{rayleigh}(\lambda) + t(\lambda) * \rho_{purewater}^{NIR}(\lambda)$$

$$\rho_{aer}^T(\lambda) = e^{a * \log(\lambda) + b}$$

With a and b the slope and intercept respectively of the linear fine between  $\log(\rho_{aer}^T(\lambda))$  and  $\log(\lambda)$ ,  
 $\lambda = [709, 754, 779, 865, 885]$

→ OLCI method retained and SeaWiFS (NASA) method used for comparisons





# SVC implementation: NIR gains

## Results:

### OLCI method on SIO/SPG

| site/band | 709            | 754            | 779            | 865            | 885            | 1020           |
|-----------|----------------|----------------|----------------|----------------|----------------|----------------|
| SIO       | 0.996          | 1.003          | 1.005          | 1.000          | 0.996          | 0.912          |
| (std)     | (0.002)        | (0.003)        | (0.003)        | (0.003)        | (0.004)        | (0.050)        |
| SPG       | 0.996          | 1.003          | 1.004          | 1.000          | 0.996          | 0.916          |
| (std)     | (0.003)        | (0.004)        | (0.003)        | (0.004)        | (0.004)        | (0.05)         |
| ALL       | <b>0.996</b>   | <b>1.003</b>   | <b>1.005</b>   | <b>1.000</b>   | <b>0.996</b>   | <b>0.914</b>   |
| (std)     | <b>(0.003)</b> | <b>(0.003)</b> | <b>(0.003)</b> | <b>(0.004)</b> | <b>(0.004)</b> | <b>(0.050)</b> |

### NASA method on SPG (aerosol model constrained by relative humidity)

| site/band | 709            | 754            | 779            | 865            | 885            | 1020           |
|-----------|----------------|----------------|----------------|----------------|----------------|----------------|
| SPG       | <b>0.995</b>   | <b>1.001</b>   | <b>1.005</b>   | <b>1.000</b>   | <b>0.996</b>   | <b>0.911</b>   |
| (std)     | <b>(0.020)</b> | <b>(0.016)</b> | <b>(0.013)</b> | <b>(0.000)</b> | <b>(0.007)</b> | <b>(0.040)</b> |

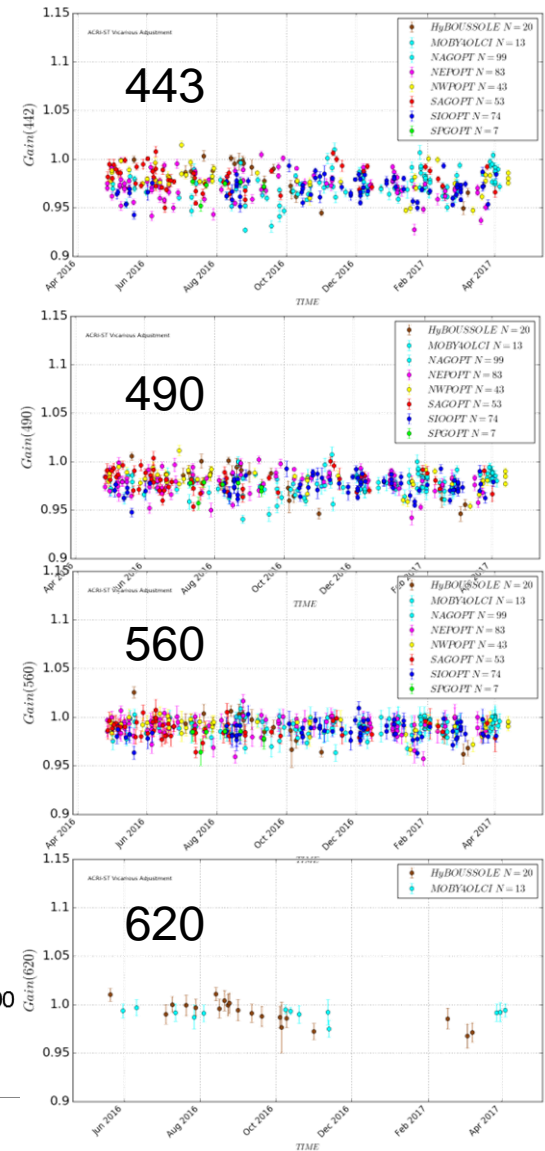
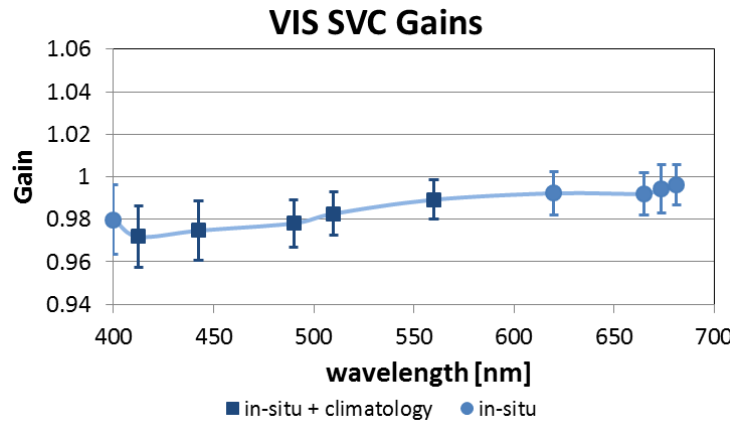
→ same gains but higher uncertainty in NASA method → OLCI method retained



# SVC implementation: Visible gains

- ❖ For visible bands, the objective is to reconstruct a  $\rho_{TOA}^T(\lambda)$  signal based on in-situ measurements
- ❖ A combination of in situ measurements ( $\lambda=400\text{nm}$ ,  $\lambda \geq 620\text{nm}$ ) and climatology ( $412 \leq \lambda \leq 560\text{nm}$ ) was used to derive the gains
- ❖ GlobColour 4km daily climatology over the 1997-2012 time period (11 days sliding average).

| $\lambda$ | Gain   | $\sigma_g$ | Ref.  |
|-----------|--------|------------|-------|
| 400       | 0.9798 | 0.0163     | IS    |
| 412.5     | 0.9718 | 0.0145     | IS+Cl |
| 442.5     | 0.9747 | 0.014      | IS+Cl |
| 490       | 0.9781 | 0.0111     | IS+Cl |
| 510       | 0.9827 | 0.0101     | IS+Cl |
| 560       | 0.9892 | 0.0092     | IS+Cl |
| 620       | 0.9922 | 0.0101     | IS    |
| 665       | 0.9920 | 0.0098     | IS    |
| 673.8     | 0.9943 | 0.0115     | IS    |
| 681.3     | 0.9962 | 0.0095     | IS    |







Mission  
Performance  
Centre

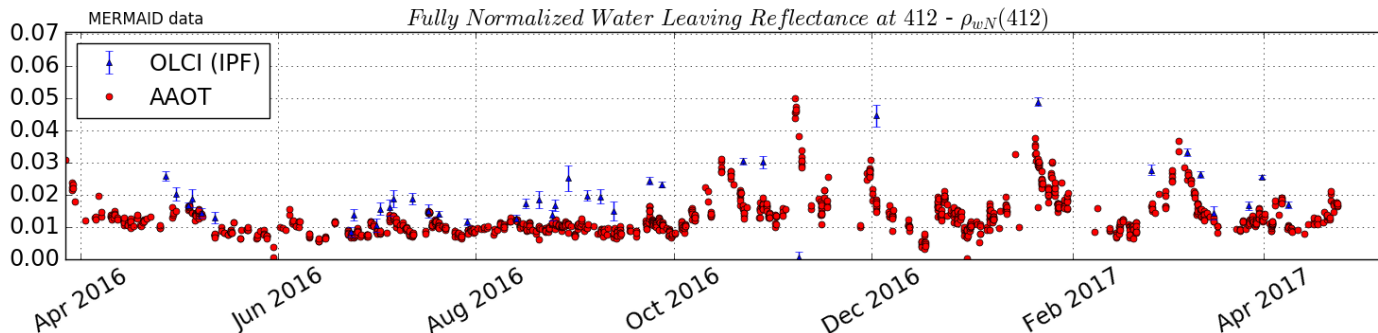


# OCR VALIDATION USING LEVEL-2



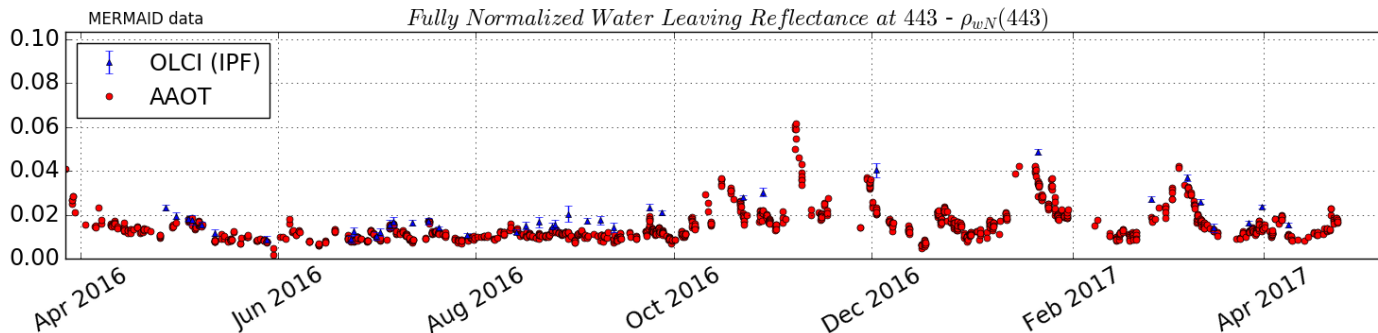
# Level-2 time series AAOT – No ViCal

412



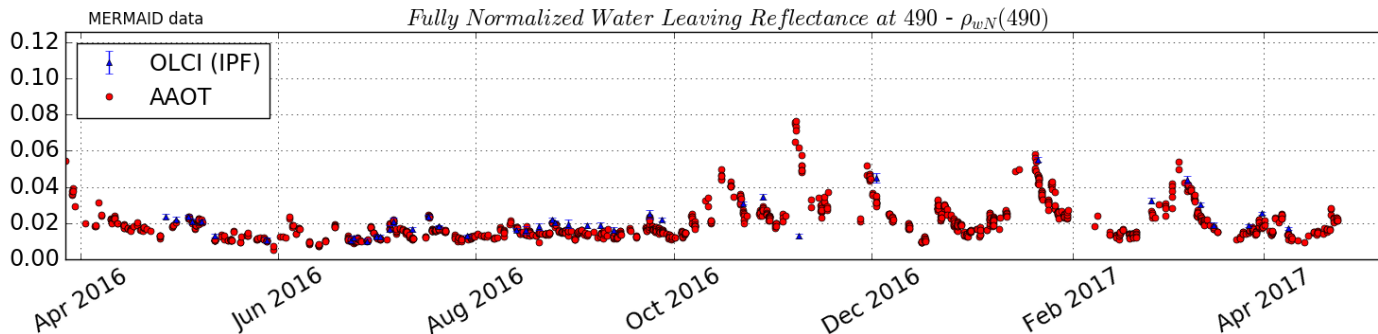
Produced by Sentinel-3 Mission Performance Centre Use of Copernicus Sentinel data [2016-2017] Acknowledgment to AERONET-OC and Giuseppe Zibordi giuseppe.zibordi@ec.e

443



Produced by Sentinel-3 Mission Performance Centre Use of Copernicus Sentinel data [2016-2017] Acknowledgment to AERONET-OC and Giuseppe Zibordi giuseppe.zibordi@ec.e

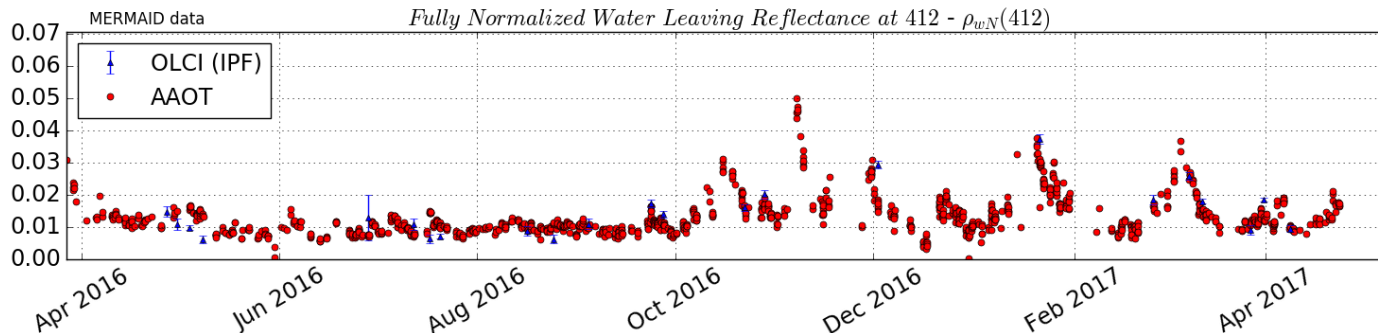
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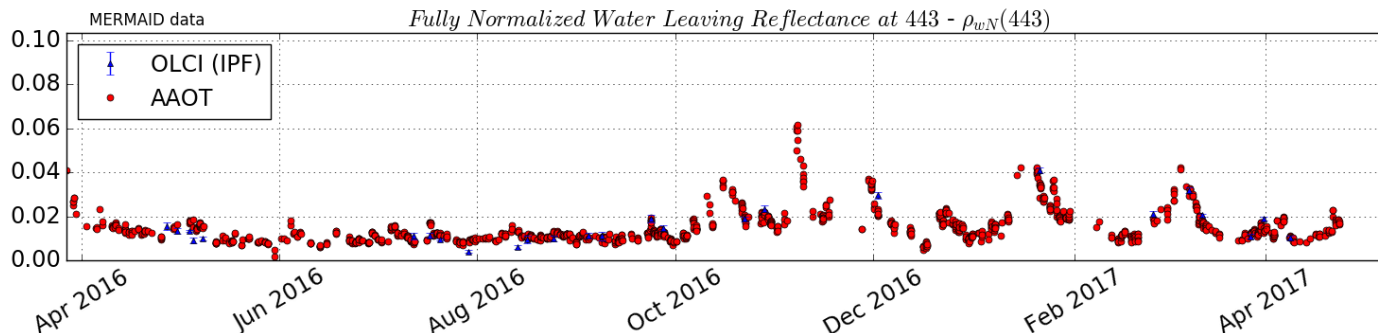


412



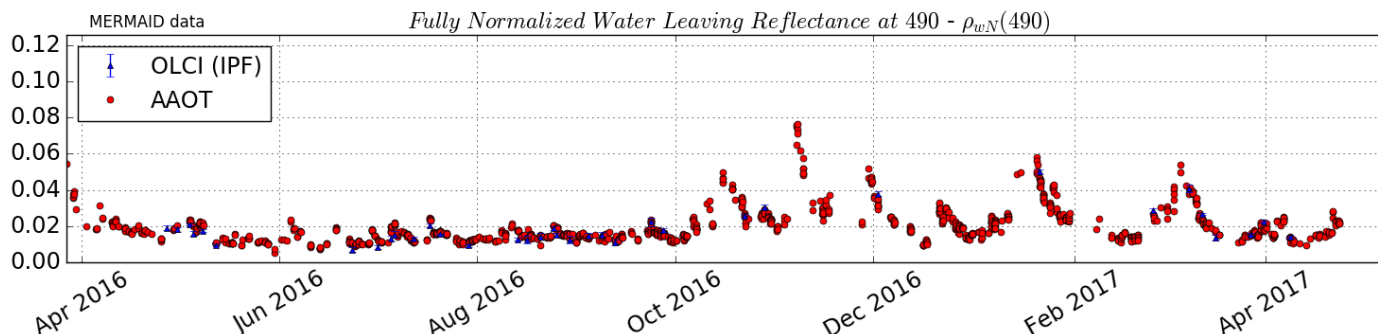
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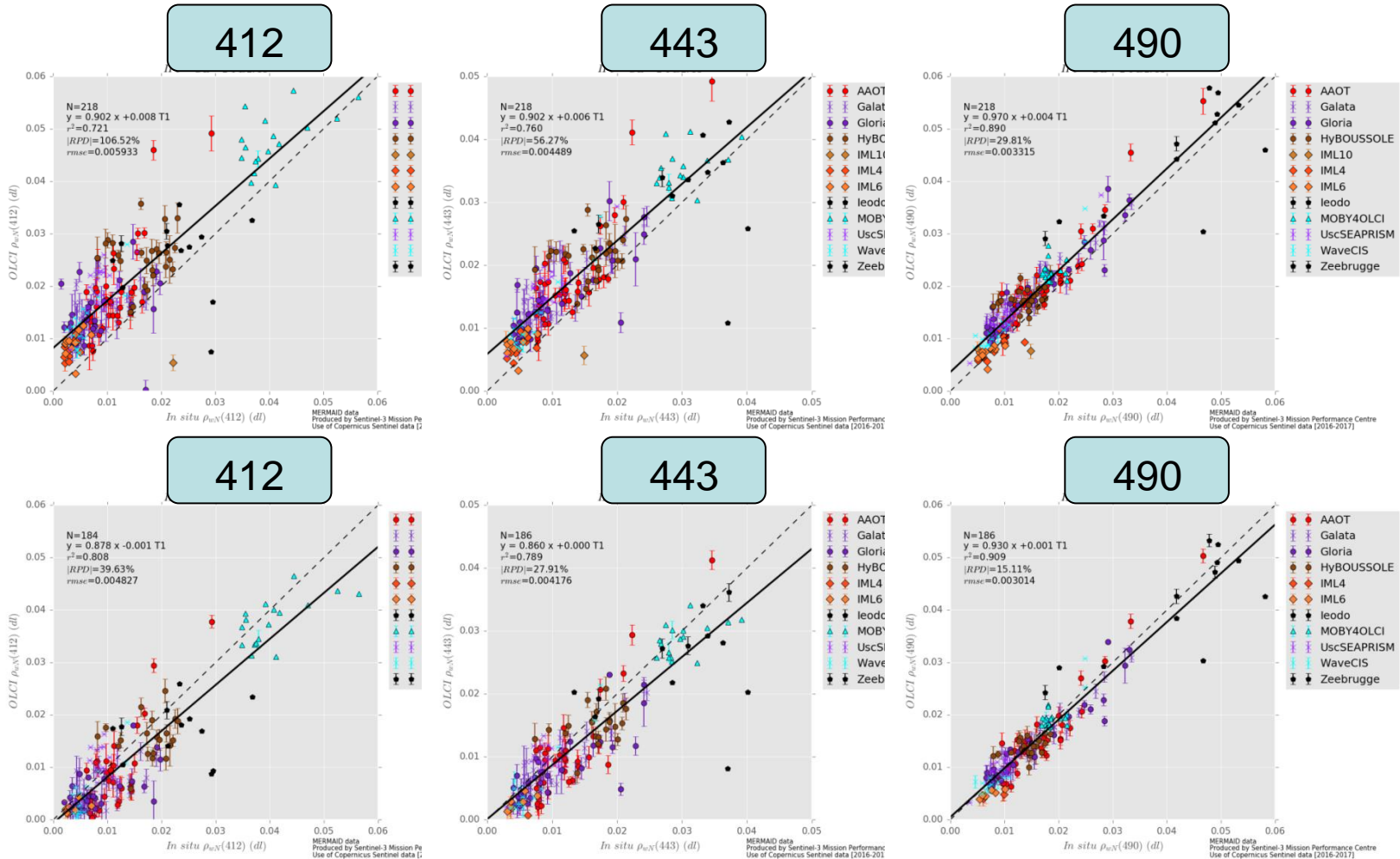


Used flags:

INVALID, CLOUD, CLOUD\_AMBIGUOUS, CLOUD\_MARGIN, SNOW\_ICE, SUSPECT, HISOLZEN, SATURATED, HIGHGLINT, WHITECAPS, AC\_FAIL, OC4ME\_FAIL, ANNOT\_TAU06, RWNEG\_[O2-08] + ANNOT\_MIXR1

NO VIC

NIR + VIS vicarious



**Acknowledgment to :**

✦ G. Zibordi, D. Antoine and V. Vellucci , K. Voss , Y.-J. Park and H.-Y. You , S. Belanger and T. Jaegler , B. Jones and C. Davis, A. Weidemann, B. Gibson and R. Arnone, D. Van Der Zande

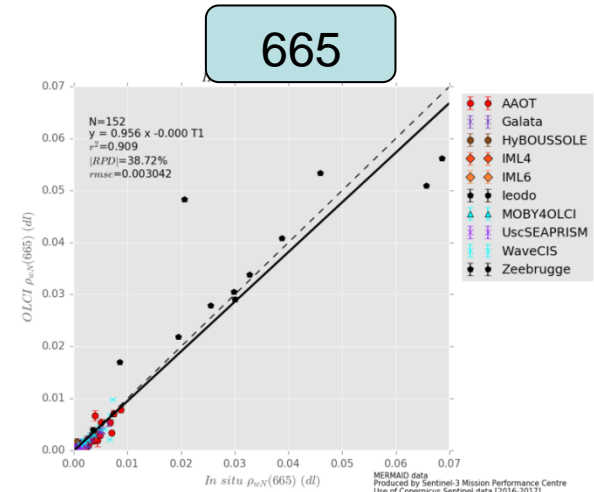
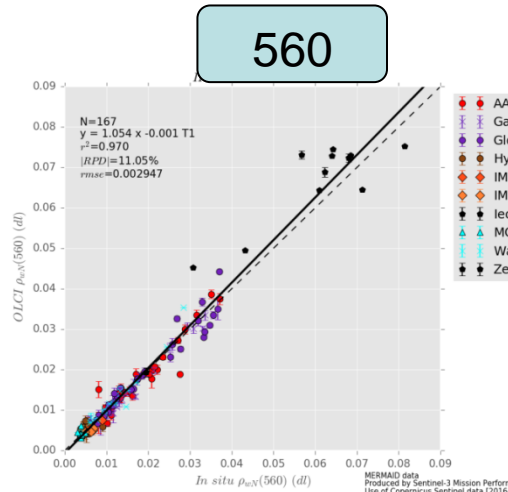
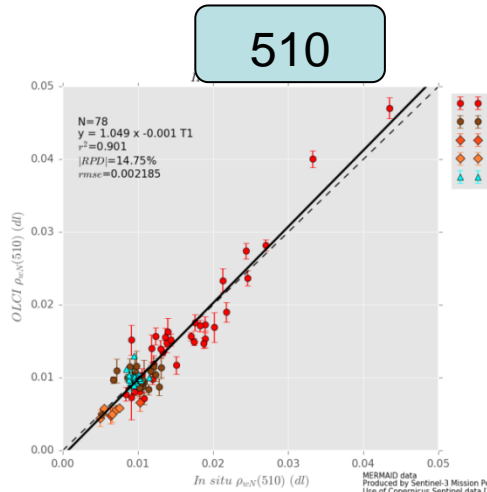
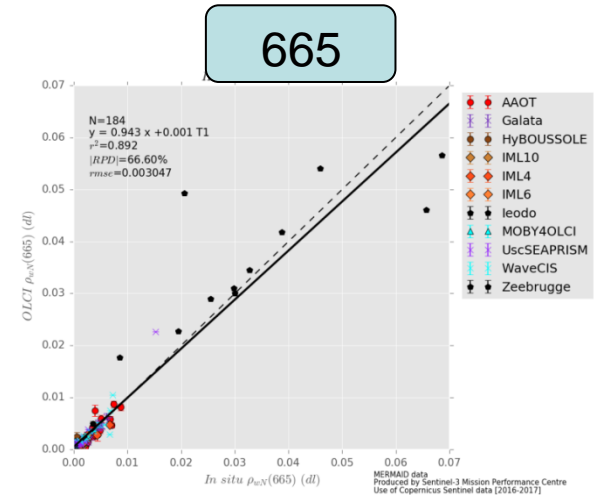
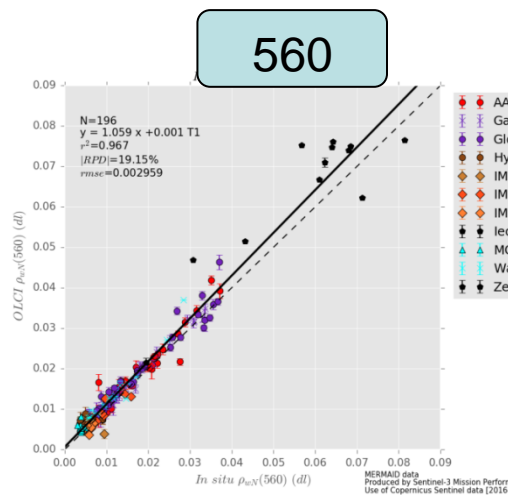
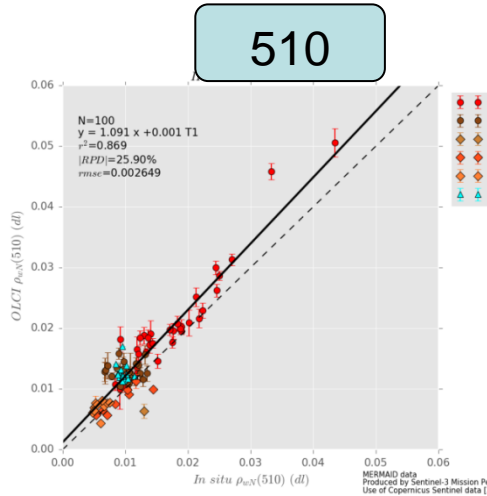


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NIR + VIS vicarious



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## ❖ Case 1 and Case 2 sites

- ❖ BOUSSOLE, MOBY, IML4, IML6, IML10, AAOT, Galata, Gloria, leodo, UscSEAPRISM, WaveCIS, Zeebrugge

## ❖ No vicarious cal.

| lambda | N   | RPD  | RPD  | MAD    | RMSE   | ubRMSE | slope | intercept | r2    |
|--------|-----|------|------|--------|--------|--------|-------|-----------|-------|
| 400    | 42  | 47%  | 48%  | 0.0084 | 0.0106 | 0.0066 | 0.829 | 0.013     | 0.790 |
| 412    | 195 | 105% | 105% | 0.0077 | 0.0092 | 0.0050 | 0.929 | 0.009     | 0.798 |
| 443    | 200 | 55%  | 56%  | 0.0050 | 0.0062 | 0.0037 | 0.956 | 0.006     | 0.827 |
| 490    | 214 | 27%  | 30%  | 0.0032 | 0.0046 | 0.0034 | 0.960 | 0.004     | 0.885 |
| 510    | 99  | 22%  | 26%  | 0.0023 | 0.0035 | 0.0026 | 1.087 | 0.001     | 0.869 |
| 560    | 193 | 15%  | 19%  | 0.0015 | 0.0034 | 0.0030 | 1.055 | 0.001     | 0.966 |
| 665    | 70  | 16%  | 30%  | 0.0005 | 0.0048 | 0.0047 | 0.928 | 0.001     | 0.880 |

## ❖ Vicarious cal.

| lambda | N   | RPD | RPD | MAD     | RMSE   | ubRMSE | slope | intercept | r2    |
|--------|-----|-----|-----|---------|--------|--------|-------|-----------|-------|
| 400    | 34  | 6%  | 22% | -0.0002 | 0.0057 | 0.0057 | 0.837 | 0.005     | 0.835 |
| 412    | 88  | 1%  | 30% | -0.0012 | 0.0055 | 0.0054 | 0.829 | 0.002     | 0.802 |
| 443    | 116 | -3% | 22% | -0.0011 | 0.0040 | 0.0039 | 0.866 | 0.001     | 0.814 |
| 490    | 173 | -2% | 15% | -0.0005 | 0.0032 | 0.0032 | 0.917 | 0.001     | 0.901 |
| 510    | 74  | -1% | 15% | -0.0001 | 0.0021 | 0.0021 | 1.060 | -0.001    | 0.909 |
| 560    | 157 | 0%  | 11% | 0.0002  | 0.0031 | 0.0031 | 1.052 | -0.001    | 0.968 |
| 665    | 35  | -3% | 24% | 0.0002  | 0.0061 | 0.0061 | 0.919 | 0.001     | 0.879 |

$$RDP = \frac{1}{N} \sum_i \left( \frac{y_i - x_i}{x_i} \right)$$

$$|RDP| = \frac{1}{N} \sum_i \left( \frac{|y_i - x_i|}{x_i} \right)$$

$$RMSE = \sqrt{\frac{1}{N} \sum_i (x_i - y_i)^2} \quad ubRMSE = \sqrt{\frac{1}{N} \sum_i ((x_i - \bar{x}) - (y_i - \bar{y}))^2}$$



## ❖ Case 1 sites

❖ BOUSSOLE MOBY

## ❖ No vicarious cal.

| lambda | N  | RPD | RPD | MAD    | RMSE   | ubRMSE | slope | intercept | r2    |
|--------|----|-----|-----|--------|--------|--------|-------|-----------|-------|
| 400    | 42 | 47% | 48% | 0.0084 | 0.0106 | 0.0066 | 0.829 | 0.013     | 0.790 |
| 412    | 42 | 45% | 46% | 0.0079 | 0.0098 | 0.0058 | 0.836 | 0.012     | 0.796 |
| 443    | 42 | 34% | 34% | 0.0054 | 0.0067 | 0.0041 | 0.807 | 0.010     | 0.739 |
| 490    | 42 | 29% | 29% | 0.0040 | 0.0047 | 0.0026 | 0.650 | 0.009     | 0.472 |
| 510    | 42 | 33% | 34% | 0.0029 | 0.0036 | 0.0021 | 0.003 | 0.013     | 0.000 |
| 560    | 41 | 37% | 39% | 0.0015 | 0.0020 | 0.0013 | 0.344 | 0.005     | 0.173 |

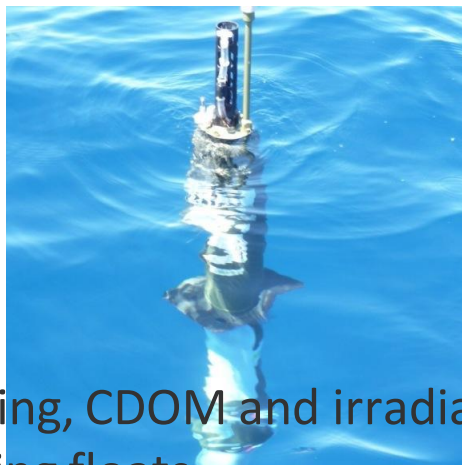
## ❖ Vicarious cal.

| lambda | N  | RPD | RPD | MAD     | RMSE   | ubRMSE | slope | intercept | r2    |
|--------|----|-----|-----|---------|--------|--------|-------|-----------|-------|
| 400    | 34 | 6%  | 22% | -0.0002 | 0.0057 | 0.0057 | 0.837 | 0.005     | 0.835 |
| 412    | 34 | -4% | 21% | -0.0022 | 0.0055 | 0.0050 | 0.837 | 0.003     | 0.839 |
| 443    | 35 | -4% | 17% | -0.0015 | 0.0038 | 0.0035 | 0.842 | 0.002     | 0.786 |
| 490    | 36 | 2%  | 13% | 0.0001  | 0.0022 | 0.0022 | 0.701 | 0.005     | 0.554 |
| 510    | 35 | 3%  | 14% | 0.0002  | 0.0016 | 0.0016 | 0.005 | 0.010     | 0.000 |
| 560    | 29 | 6%  | 15% | 0.0002  | 0.0009 | 0.0009 | 0.494 | 0.003     | 0.267 |

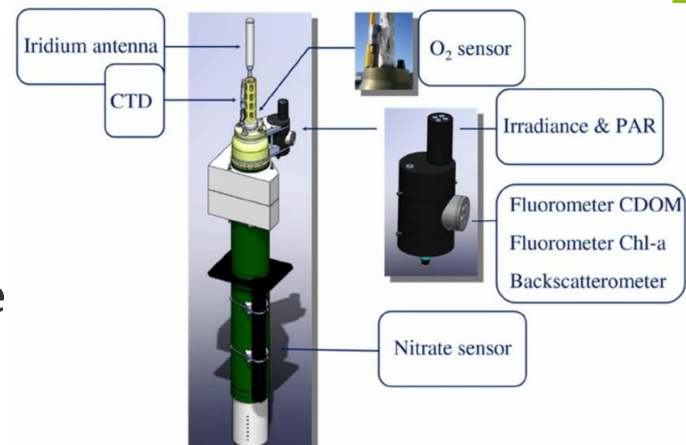
$$RDP = \frac{1}{N} \sum_i \left( \frac{y_i - x_i}{x_i} \right)$$

$$|RDP| = \frac{1}{N} \sum_i \left( \frac{|y_i - x_i|}{x_i} \right)$$

$$RMSE = \sqrt{\frac{1}{N} \sum_i (x_i - y_i)^2} \quad ubRMSE = \sqrt{\frac{1}{N} \sum_i ((x_i - \bar{x}) - (y_i - \bar{y}))^2}$$

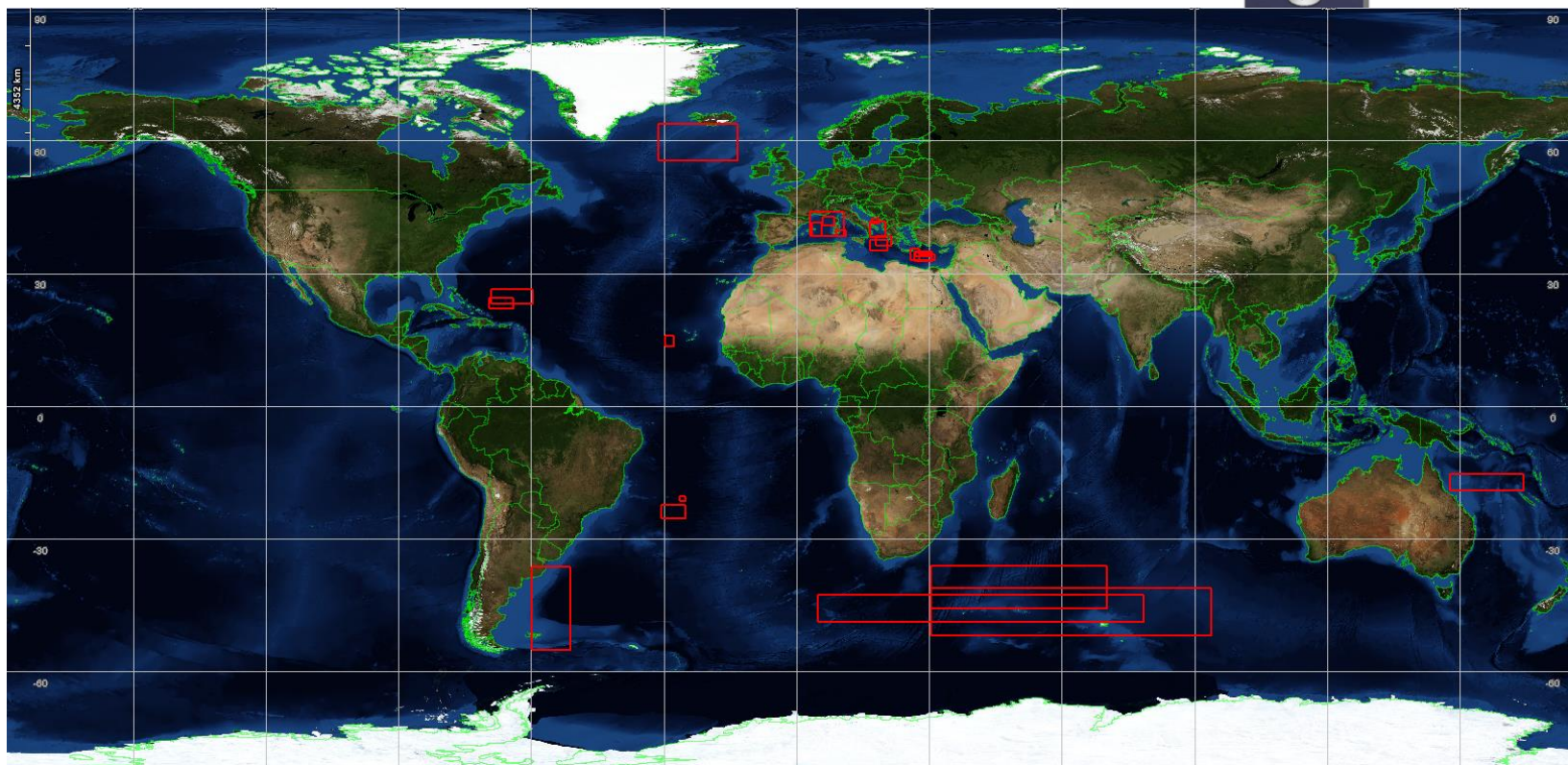


# Level-2 validation



## ❖ Bio-Argo floats

- ❖ Chlorophyll, backscattering, CDOM and irradiance measurements on profiling floats.

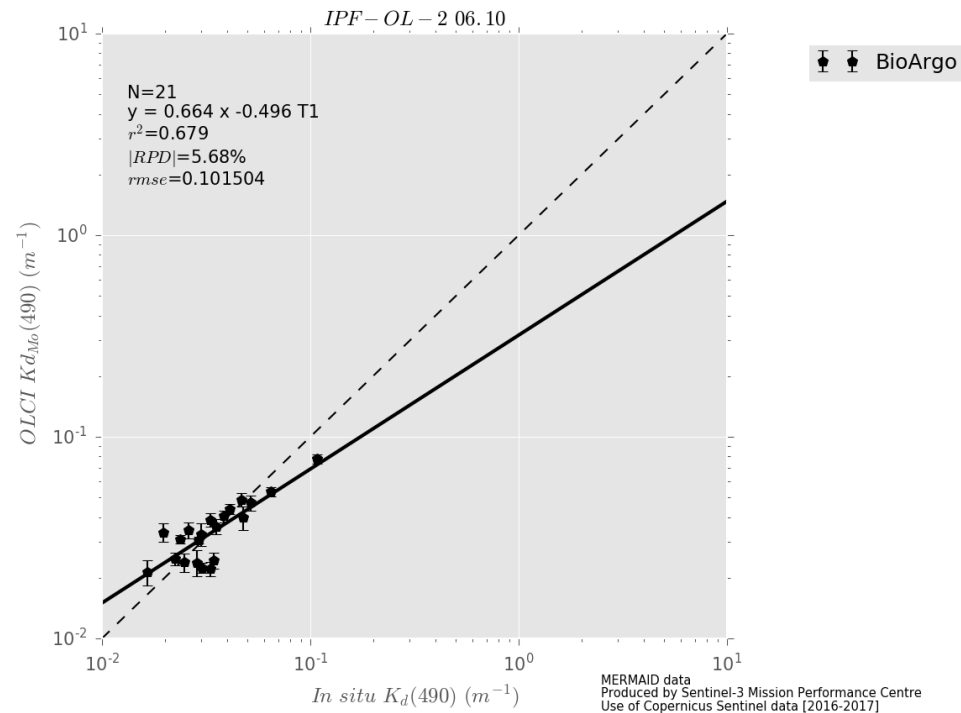
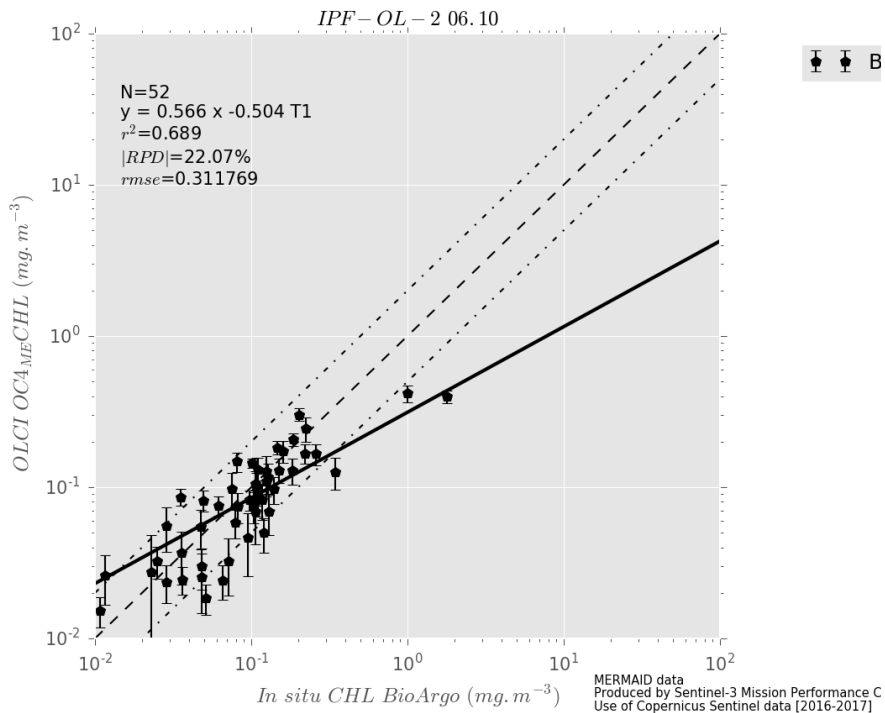






## ❖ Bio-Argo floats

- ❖ Chlorophyll, backscattering, CDOM and irradiance measurements on profiling floats.

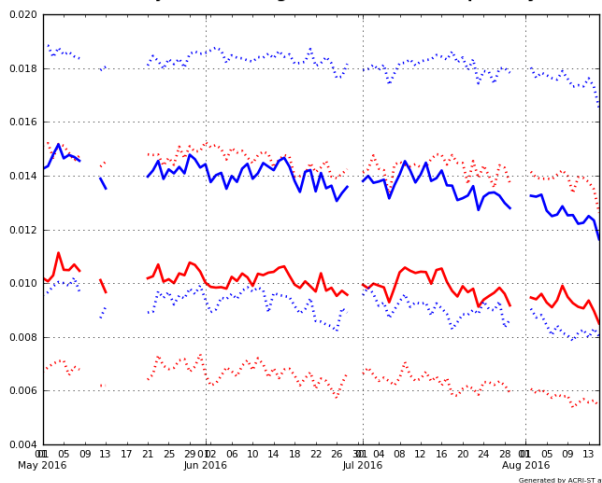




# OCR VALIDATION USING LEVEL-3

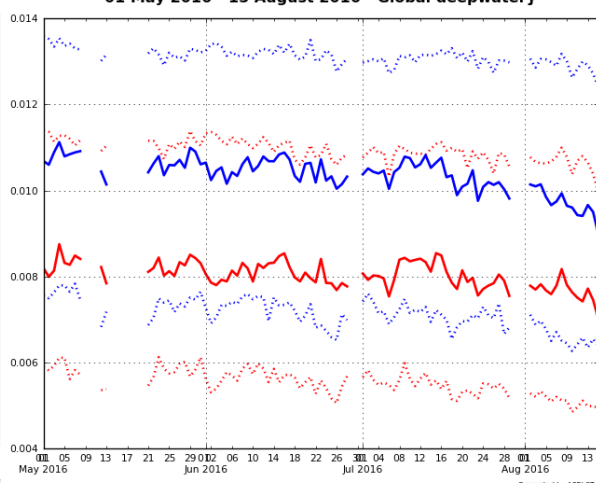
412

Median & Percentile (common pixels at daily basis)  
01 May 2016 - 15 August 2016 - Global deepwater}



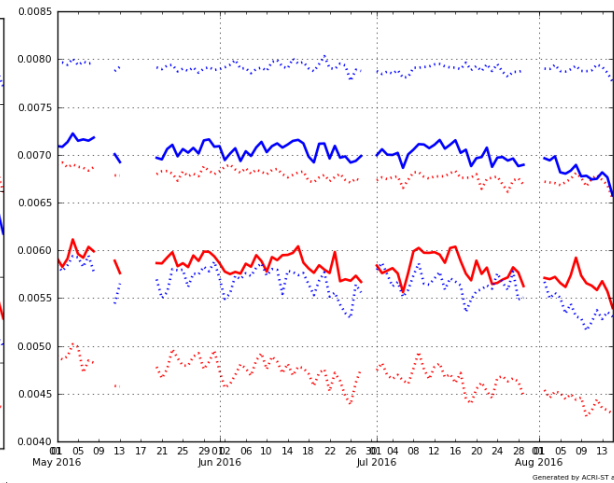
443

Median & Percentile (common pixels at daily basis)  
01 May 2016 - 15 August 2016 - Global deepwater}



490

Median & Percentile (common pixels at daily basis)  
01 May 2016 - 15 August 2016 - Global deepwater}



~6 months

- ..... "GCclimato,GC-Climato,NRRS490,Perc(16)"
- ..... "GCclimato,GC-Climato,NRRS490,Perc(84)"
- "GCclimato,GC-Climato,NRRS490,Median"
- ..... "ESA-WRR-4km-V004,OLCI-A,NRRS490,Perc(16)"
- ..... "ESA-WRR-4km-V004,OLCI-A,NRRS490,Perc(84)"
- "ESA-WRR-4km-V004,OLCI-A,NRRS490,Median"



412

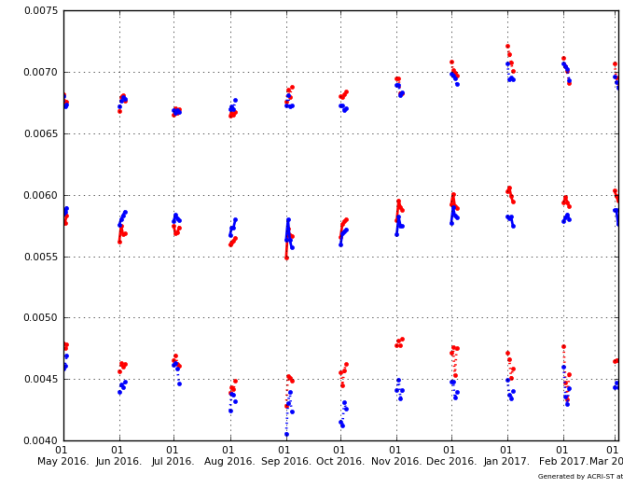
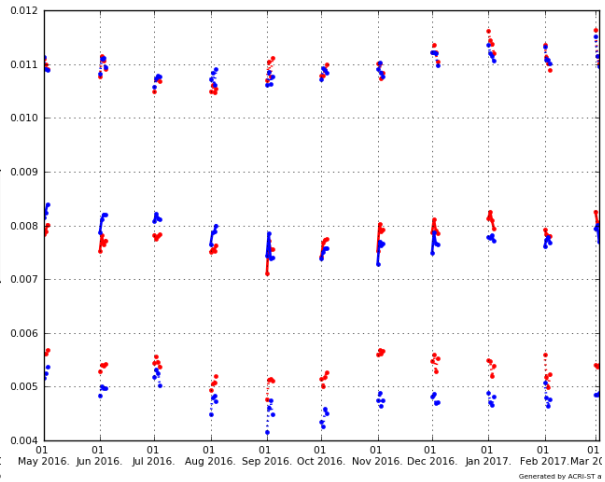
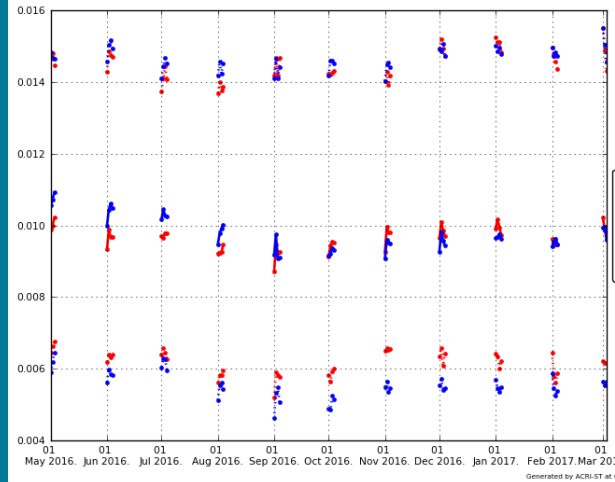
443

490

Median & Percentile (common pixels at daily basis)  
01 May 2016 - 03 March 2017 - Global deepwater

Median & Percentile (common pixels at daily basis)  
01 May 2016 - 03 March 2017 - Global deepwater

Median & Percentile (common pixels at daily basis)  
01 May 2016 - 03 March 2017 - Global deepwater



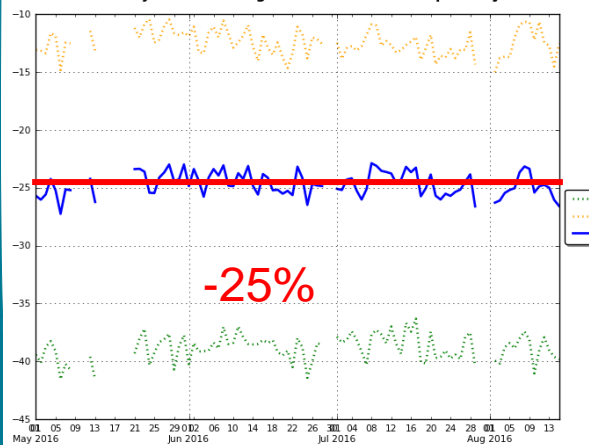
~11 months

- "Climate-GC-R2016-19980101-20141231-mean-mean,GC-Climato,NRRS490,Perc(16)"
- "Climate-GC-R2016-19980101-20141231-mean-mean,GC-Climato,NRRS490,Perc(84)"
- "Climate-GC-R2016-19980101-20141231-mean-mean,GC-Climato,NRRS490,Median"
- "ESA-WRR-4km-V005TER,OLCI-A,NRRS490,Perc(16)"
- "ESA-WRR-4km-V005TER,OLCI-A,NRRS490,Perc(84)"
- "ESA-WRR-4km-V005TER,OLCI-A,NRRS490,Median"



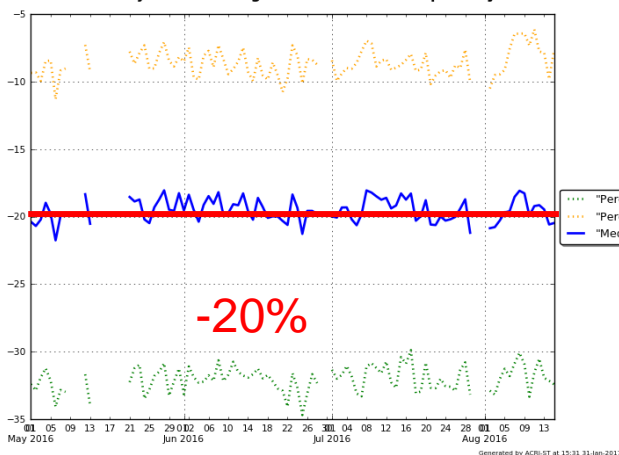
412

Relative Difference (%) (S1-S2)/S2  
S1=GCclimato,GC-Climato,NRRS412  
S2=ESA-WRR-4km-V004,OLCI-A,NRRS412  
01 May 2016 - 15 August 2016 - Global deepwater}



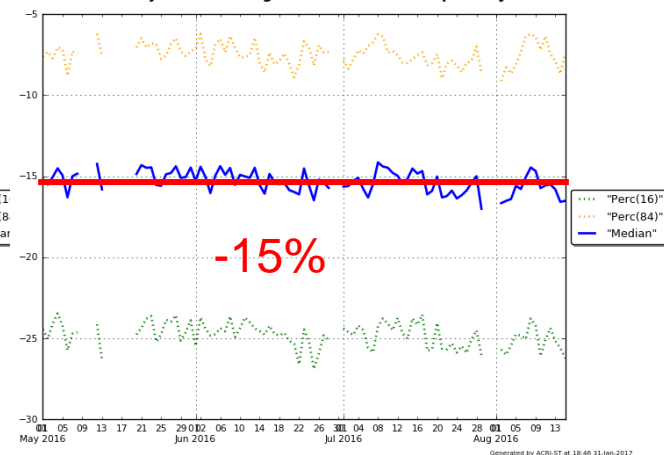
443

Relative Difference (%) (S1-S2)/S2  
S1=GCclimato,GC-Climato,NRRS443  
S2=ESA-WRR-4km-V004,OLCI-A,NRRS443  
01 May 2016 - 15 August 2016 - Global deepwater}



490

Relative Difference (%) (S1-S2)/S2  
S1=GCclimato,GC-Climato,NRRS490  
S2=ESA-WRR-4km-V004,OLCI-A,NRRS490  
01 May 2016 - 15 August 2016 - Global deepwater}



~6 months



412

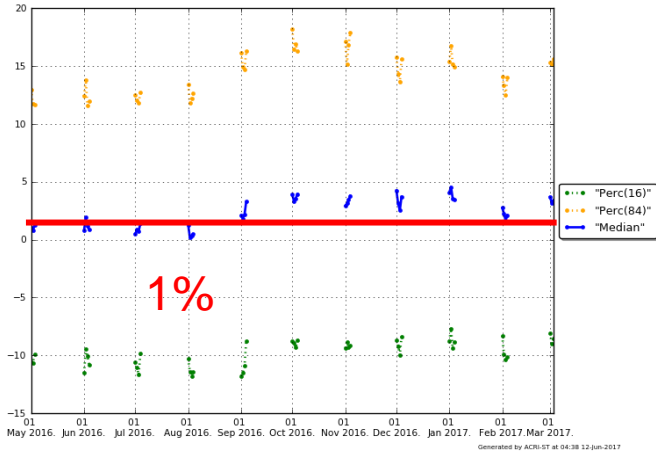
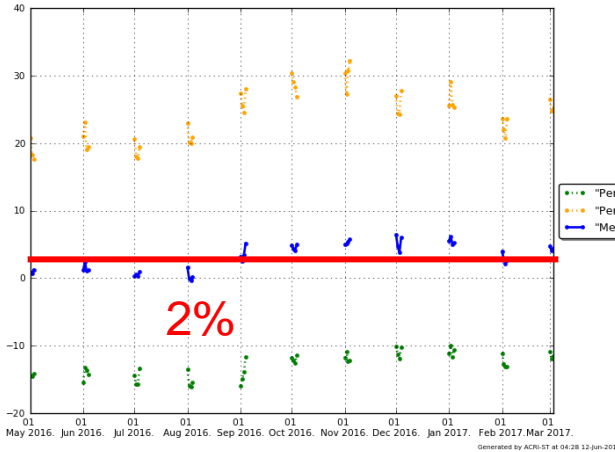
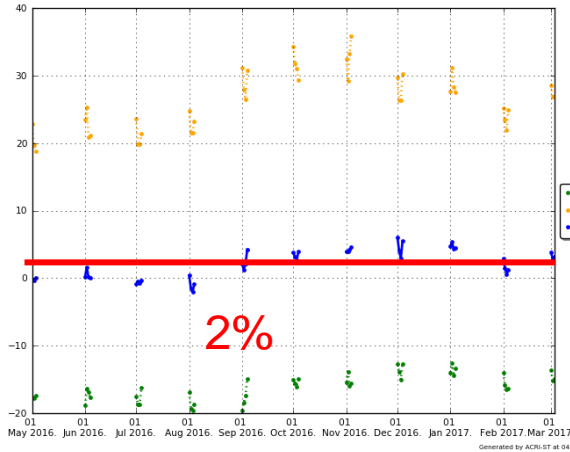
443

490

Relative Difference (%) (S1-S2)/S2  
S1=Climato-GC-R2016-19980101-20141231-mean-mean,GC-Climato,NRRS  
S2=ESA-WRR-4km-V005TER,OLCI-A,NRRS412  
01 May 2016 - 03 March 2017 - Global deepwater

Relative Difference (%) (S1-S2)/S2  
S1=Climato-GC-R2016-19980101-20141231-mean-mean,GC-Climato,NRRS443  
S2=ESA-WRR-4km-V005TER,OLCI-A,NRRS443  
01 May 2016 - 03 March 2017 - Global deepwater

Relative Difference (%) (S1-S2)/S2  
S1=Climato-GC-R2016-19980101-20141231-mean-mean,GC-Climato,NRRS490  
S2=ESA-WRR-4km-V005TER,OLCI-A,NRRS490  
01 May 2016 - 03 March 2017 - Global deepwater

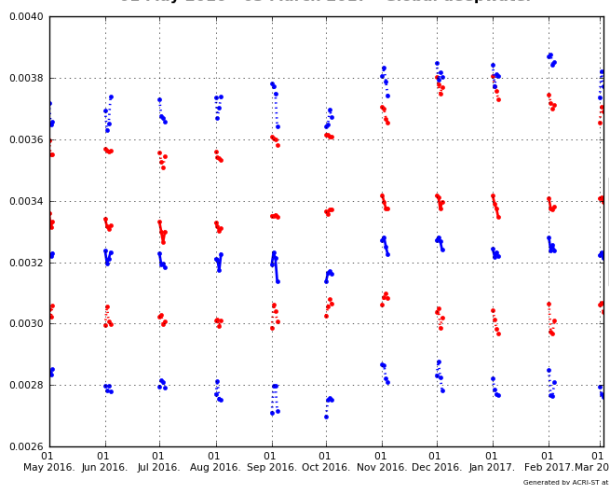


←————→  
~11 months



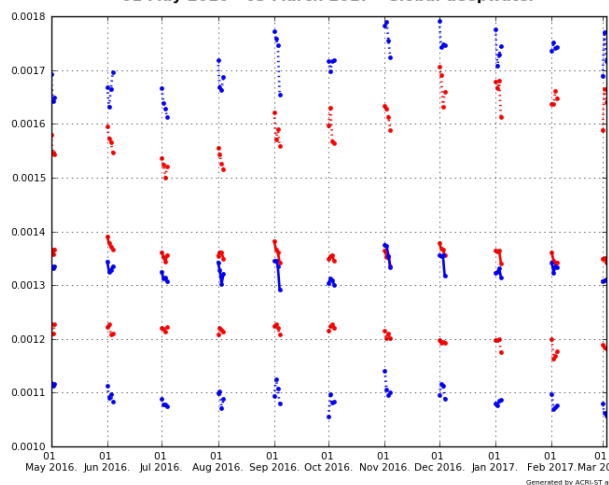
510

Median & Percentile (common pixels at daily basis)  
01 May 2016 - 03 March 2017 - Global deepwater



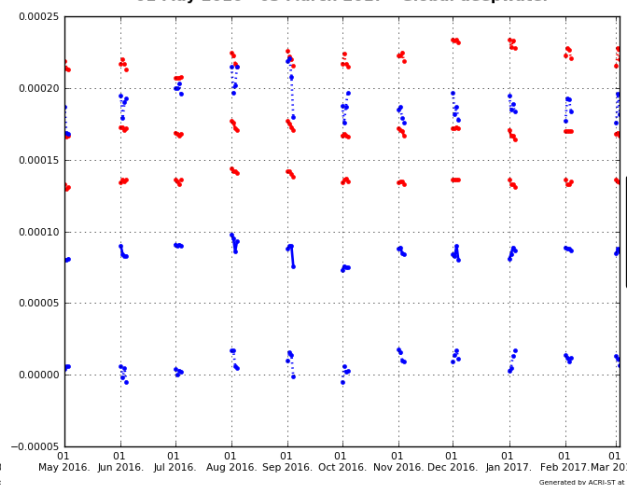
560

Median & Percentile (common pixels at daily basis)  
01 May 2016 - 03 March 2017 - Global deepwater



665/670

Median & Percentile (common pixels at daily basis)  
01 May 2016 - 03 March 2017 - Global deepwater



~11 months

- "Clmato-GC-R2016-19980101-20141231-mean-mean,GC-Climato,NRRS670,Perc(16)"
- "Clmato-GC-R2016-19980101-20141231-mean-mean,GC-Climato,NRRS670,Perc(84)"
- "Clmato-GC-R2016-19980101-20141231-mean-mean,GC-Climato,NRRS670,Median"
- "ESA-WRR-4km-V005TER,OLCI-A,NRRS670,Perc(16)"
- "ESA-WRR-4km-V005TER,OLCI-A,NRRS670,Perc(84)"
- "ESA-WRR-4km-V005TER,OLCI-A,NRRS670,Median"



510

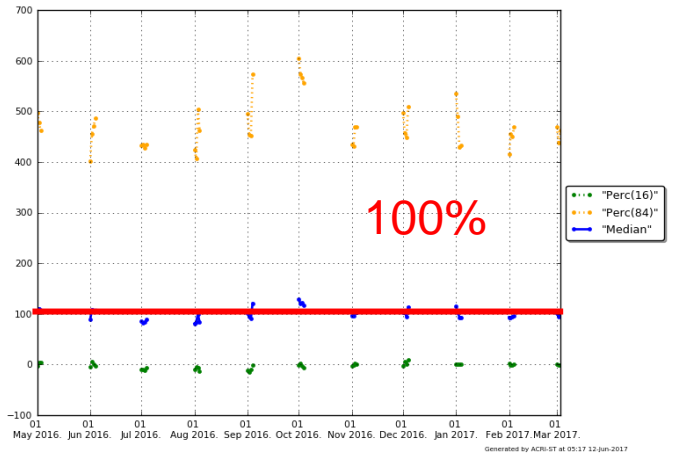
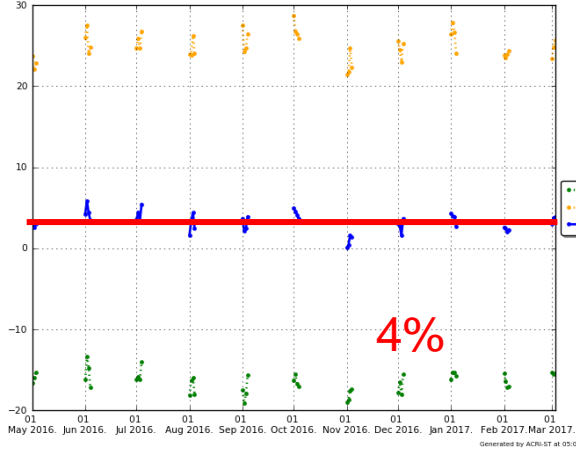
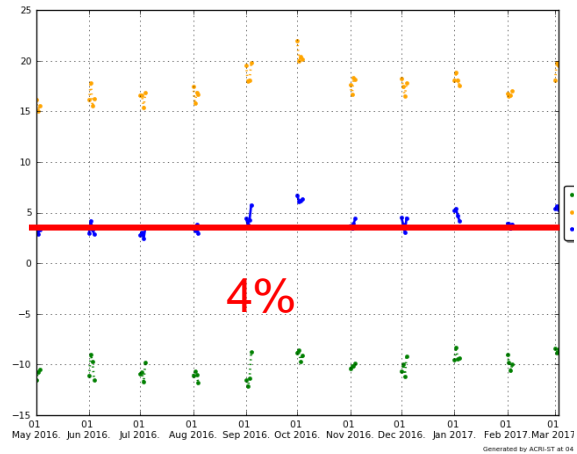
560

665/670

Relative Difference (%) (S1-S2)/S2  
S1=Climato-GC-R2016-19980101-20141231-mean-mean,GC-Climato,NRRS  
S2=ESA-WRR-4km-V005TER,OLCI-A,NRRS510  
01 May 2016 - 03 March 2017 - Global deepwater

Relative Difference (%) (S1-S2)/S2  
S1=Climato-GC-R2016-19980101-20141231-mean-mean,GC-Climato,NRRS5  
S2=ESA-WRR-4km-V005TER,OLCI-A,NRRS560  
01 May 2016 - 03 March 2017 - Global deepwater

Relative Difference (%) (S1-S2)/S2  
S1=Climato-GC-R2016-19980101-20141231-mean-mean,GC-Climato,NRRS670  
S2=ESA-WRR-4km-V005TER,OLCI-A,NRRS670  
01 May 2016 - 03 March 2017 - Global deepwater



~11 months





- Historical principles of ocean color sensors vicarious adjustment adapted to recently launched OLCI sensor
- NIR adjustment performed through an interband calibration (relative consistency of NIR bands wrt log-log spectral shape over clear waters)
- VIS adjustment performed over both IS data and climatological matchups to handle lack of temporal coverage of IS
- Validation on IS shows improvement of bias and standard deviation of water-leaving reflectance
- Validation on climatology shows bias removal on water-leaving reflectance
- L2 OLCI and SLSTR Products are available for public distribution since the 6th of July 2017, SVC used for production



Mission  
Performance  
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# THANKS FOR YOUR ATTENTION