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**A feedback loop links brownification and anoxia in a temperate, shallow lake**

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**Running head:** Brownification-anoxia feedback loop

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47 **Abstract**

48           This study examines a natural, rapid five-fold increase in dissolved organic  
49 carbon (DOC) concentrations in a temperate shallow lake, describing the processes by  
50 which increased DOC resulted in anoxic conditions and altered existing carbon cycling  
51 pathways. High precipitation for two consecutive years led to rising water levels and the  
52 flooding of adjacent degraded peatlands. Leaching from the flooded soils provided an  
53 initial increase in DOC concentrations (from a 2010 mean of  $12 \pm 1 \text{ mg L}^{-1}$  to a  
54 maximum concentration of  $55 \text{ mg L}^{-1}$  by June 2012). Increasing water levels, DOC, and  
55 phytoplankton concentrations reduced light reaching the sediment surface, eliminating  
56 most benthic primary production and promoting anoxia in the hypolimnion. From  
57 January to June 2012 there was a sudden increase in total phosphorus (from  $57 \mu\text{g L}^{-1}$  to  
58  $216 \mu\text{g L}^{-1}$ ), DOC (from  $24.6 \text{ mg L}^{-1}$  to  $51.5 \text{ mg L}^{-1}$ ), and iron (from  $0.12 \text{ mg L}^{-1}$  to  $1.07$   
59  $\text{mg L}^{-1}$ ) concentrations, without any further large fluxes in water levels. We suggest that  
60 anoxic conditions at the sediment surface and flooded soils produced a dramatic release  
61 of these chemicals that exacerbated brownification and eutrophication, creating anoxic  
62 conditions which persisted roughly six months below a water depth of 1 m, and extended  
63 periodically to the water surface. This anoxia resulted in a near-complete loss of  
64 macroinvertebrate and fish populations, and surface carbon dioxide ( $\text{CO}_2$ ) emissions  
65 increased by an order of magnitude relative to previous years. A brownification-anoxia  
66 feedback loop reduced benthic primary and secondary production and increased  $\text{CO}_2$   
67 emissions in a shallow lake.

68

69

70 **Introduction**

71 Many freshwater systems in mid- to high-latitudes of the northern hemisphere  
72 have experienced a gradual, long-term increase in dissolved organic carbon (DOC)  
73 concentrations in recent decades (Roulet and Moore 2006; Clark et al. 2010). This trend  
74 has been dubbed ‘brownification’ (Kritzberg and Ekström 2012). Despite a large number  
75 of recent studies, the ultimate causes and consequences of this phenomenon remain  
76 poorly understood, and strongly debated (Clark et al. 2010). In general, DOC strongly  
77 influences the light attenuation, nutrient supply, and metal toxicity of lakes, and can thus  
78 significantly alter the metabolic balance of an ecosystem (Williamson et al. 1999). For  
79 instance, it has long been recognized that DOC can boost ecosystem respiration rates  
80 (Williamson et al. 1999), while diminishing primary production by shading (Jones 1992;  
81 Carpenter et al. 1998). Furthermore, high concentrations of DOC can abiotically deplete  
82 oxygen (O<sub>2</sub>) by promoting photo-oxidation (Lindell et al. 2000), and can decrease the  
83 water mixing depth in shallow lakes (Fee et al. 1996), diminishing the supply of O<sub>2</sub>-rich  
84 waters to deeper layers during stratified periods. In terms of biodiversity, anoxia typically  
85 results in significant declines in the biological richness and diversity of a given ecosystem  
86 (Townsend et al. 1992). The full effects of DOC on aquatic ecosystems may be complex,  
87 and thus whole-lake studies of rising DOC concentrations (Sadro and Melack 2012) are  
88 important yet rare sources of information regarding the net metabolic responses of lakes  
89 to high DOC concentrations, and are necessary for the meaningful prediction of trends at  
90 broader temporal and spatial scales.

91 The frequently observed metabolic responses to increasing DOC concentrations  
92 (such as boosted microbial respiration and photo-oxidation rates, and suppressed primary

93 production) all potentially lead to the rapid depletion of dissolved O<sub>2</sub> in aquatic systems,  
94 and may thus promote anoxia. Anoxic conditions at the sediment surface are potentially  
95 exacerbated by intensified thermal stratification due to brownification. They have also  
96 been found to strongly influence geochemical fluxes, boosting the internal benthic release  
97 of DOC, phosphate, iron, and manganese (Skoog and Arias-Esquivel 2009). There thus  
98 exists a linkage between ecosystem metabolism (creating anoxic conditions) and  
99 geochemical responses, producing the potential for positive or negative feedback  
100 mechanisms. From a carbon cycling perspective, a sustained shift towards a greater  
101 heterotrophy (defined as respiration minus primary production) could theoretically turn a  
102 lake into a greater net carbon source to the atmosphere, with broad implications for  
103 regional carbon balances.

104         In this study, we analyzed changes in the abiotic and metabolic parameters of a  
105 shallow lake in northeast Germany over three consecutive years. During this time, our  
106 study lake experienced a pronounced natural brownification during two years, associated  
107 with a major increase in water levels during a period of high precipitation. We  
108 hypothesized that increasing DOC concentrations were due to leaching from the flooding  
109 of adjacent degraded peatlands, and that the severe anoxia eventually observed in this  
110 system was the result of a loss of benthic primary production by DOC shading as well as  
111 boosted ecosystem respiration rates. We furthermore hypothesize that the metabolic-  
112 geochemical linkage constitutes a feedback loop which will augment anoxic conditions.

113

## 114 **Methods**

### 115 *Study site*

116 Kleiner Gollinsee (53°01'N, 13°35'E, hereafter referred to as Gollinsee) is a small  
117 (surface area = 0.03 km<sup>2</sup>), shallow ( $Z_{\text{mean}} = 1.7$  m,  $Z_{\text{max}} = 2.9$  m; 2010 values), and  
118 eutrophic lake located in a low-lying rural region of northeastern Germany. It features no  
119 sizeable surface in- or outflows. The lake is completely encircled by alder trees (*Alnus*  
120 *glutinosa* L.). Primary production within the lake consists of phytoplankton (36%),  
121 epiphyton (2%), and epipelon (62%; 2010 values, Brothers et al. 2013a). Gollinsee  
122 features no submerged macrophytes, and the littoral zone is dominated by water lilies  
123 (primarily *Nymphaea alba* L.) and a shoreline reed belt (*Phragmites australis* Trin. ex  
124 Steud.).

125 Unusually high precipitation caused the water levels of Gollinsee to rise  
126 gradually, beginning in the summer of 2011. By the following summer (2012), lake water  
127 levels were approximately 1 m higher than in previous years, and the lake surface area  
128 had expanded to a point where it flooded an adjacent area of degraded peatlands. These  
129 peatlands are located directly beyond the alder belt, and extend to the north and south of  
130 the lake beyond the limits of the flooded lake area in 2012. They are fully degraded  
131 (decomposed) peatlands (H10 on the von Post scale, Puustjärvi 1970), featuring  
132 amorphous, unrecognizable plant structures, and lacking free water. The catchment area  
133 beyond the peatlands is dominated by rusty brown soils (dystric cambisols) with pine  
134 forests (*Pinus sylvestris*).

135 In November 2010, prior to the increase in water levels, a plastic curtain had been  
136 installed and 2000 kg of maize leaves had been added to one side of the divided lake as a  
137 tracer for terrestrial particulate organic carbon with a distinct  $\delta^{13}\text{C}$  signature in an  
138 unrelated experiment (Attermeyer et al. 2013). This curtain remained undisturbed

139 throughout the 2011 to 2012 period of increasing water levels. Except when explicitly  
140 stated, we here present mean data for both lake halves.

#### 141 *Water sampling and analysis*

142 Pelagic water samples were taken every four weeks from April 2010 to March  
143 2012, and then again in June and July 2012. Samples included equal portions of water  
144 generally from 0.5 m, 1 m, and 2 m water depths (always above the thermocline, with  
145 precise sampling depths varying with mixing depths). Samples were transported to the  
146 laboratory in a dark cooler, and were analyzed within 12 to 24 hours of sampling. Light  
147 attenuation was measured along the entire water column from simultaneous light intensity  
148 values recorded by two Underwater Spherical Quantum Sensors (LI-193, LI-COR) fixed  
149 vertically at 50 cm apart. Secchi disk readings were also recorded for each sampling date,  
150 and were used to estimate light attenuation on dates when direct measurements were  
151 unavailable or unreliable. Vertical profiles of O<sub>2</sub> concentrations, pH, and temperature  
152 were made during each sampling visit using a Yellow Springs Instruments (YSI, Xylem)  
153 monitoring probe.

154 Water samples were analyzed for concentrations of total phosphorus (TP), soluble  
155 reactive phosphorus (SRP), dissolved nitrogen (DN), and dissolved inorganic carbon  
156 (DIC) following standard procedures. DOC concentrations in water samples were  
157 analyzed with a total organic carbon (TOC) Carbon-Analyser (TOC 5000, Shimadzu).  
158 Iron (Fe) concentrations were measured using an inductively coupled plasma optical  
159 emission spectrometer (ICP-OES) with an inductively coupled argon plasma (iCAP)  
160 6000-Duo (Thermo Fisher Scientific). Pelagic chlorophyll *a* (Chl *a*) concentrations were  
161 estimated by filtering lake water for pigment concentration analysis by high-performance

162 liquid chromatography (HPLC, Waters) following methods outlined by Shatwell et al.  
163 (2012). Temperature loggers (Thermistor) were suspended from buoys along depth  
164 intervals of 0.5 m from the water surface to the sediments. Each logger took continuous  
165 (every 30 s) measurements of water temperature. Temperature loggers were installed into  
166 the lake in 2011 from May to November, and in 2012 from June to November.

167         In order to assess the possible sources of DOC within the lake, its composition  
168 was compared between water samples from the lake center, flooded reed and alder belt  
169 (sampled separately in November and December 2011 and January, July, September,  
170 October, and December 2012), the groundwater near the lake (in August and September  
171 2012, taken from two wells located 4 to 6 m of the lake shore, with groundwater located 2  
172 to 3 m below ground level), and in the pore water from the adjacent degraded peatlands  
173 (taken in September and December 2012). Pore water from 1 m deep in the peatlands was  
174 collected by suction cups ( $n = 2$ ) and dialysis ‘peeper’ pore water samplers ( $n = 9$ ).  
175 Peatland pore water samples were taken from locations near the limit of the lake-flooded  
176 area, 4 to 10 cm below the water surface. Independent fluorophores were identified by a  
177 parallel factor analysis (PARAFAC) of three-dimensional fluorescence excitation-  
178 emission matrices following Stedmon et al. (2003), using a thirteen-component model  
179 according to Cory and McKnight (2005). Following the nomenclature of Cory and  
180 McKnight (2005), Strohmeier et al. (2013) identify component 1 (C1) as typical of  
181 peatland soils, and component 12 (an oxidized quinone, Q3) as typical of groundwater  
182 samples, and we thus focus specifically on these factors in our analyses.

183 *Lake metabolism*



184 Carbon dioxide (CO<sub>2</sub>) surface fluxes were calculated following methods outlined  
185 by Cole and Caraco (1998), and adjusted for chemical enhancement following Bade and  
186 Cole (2006). Wind speed data were recorded by ultrasound at lake-center monitoring  
187 stations every 10 minutes by a meteo multiprobe (ecoTech, Bonn, Germany). The  
188 concentration of P<sub>CO<sub>2</sub></sub> in surface waters was calculated from DIC concentrations, pH, and  
189 temperature, adjusting for the concentration of calcium ions (CaCO<sub>3</sub><sup>0</sup>, CaHCO<sub>3</sub><sup>+</sup>, and  
190 CaOH<sup>+</sup>) following Gelbrecht et al. (1998). Conservative P<sub>CO<sub>2</sub></sub> concentrations are  
191 calculated for each lake accounting for a possible measured pH bias of 0.2 from DOC  
192 effects (Herczeg et al. 1985).

193 Pelagic gross primary production (GPP) was estimated using diel (24 hour) O<sub>2</sub>  
194 curves calculated from O<sub>2</sub> concentrations measured at the lake-center monitoring station  
195 YSI probes (Z = ~ 1.2 m). Nighttime ecosystem respiration rates (R) were calculated as  
196 the mean decline in O<sub>2</sub> (per 10 minute period) from dusk until dawn, and were subtracted  
197 from daytime net production rates calculated by the same method for the following day.  
198 As diel O<sub>2</sub> curves from the lake center may be poor at representing full-lake GPP  
199 (Brothers et al. 2013a), we here consider these data to primarily reflect pelagic  
200 metabolism (and during periods of stable stratification, epilimnetic metabolism). GPP and  
201 R were corrected for surface O<sub>2</sub> fluxes following methods outlined in Gelda and Effler  
202 (2002). O<sub>2</sub> curve-derived metabolic rates are expressed as CO<sub>2</sub>, assuming a respiratory  
203 quotient of one. All statistical tests were made using the computer program JMP (version  
204 7, Statistical Analysis System Institute), and errors are presented as the standard error of  
205 the mean.

206 In order to examine changes in epipelon (benthic algae) production following an  
207 increase in mean water depth and decline in transparency, periphyton (attached algae)  
208 growth rates on plastic strips were compared between July 2010 (pre-brownification),  
209 July 2011, and July 2012. Plastic strips (2 cm x 22 cm) were installed at a depth of 1.2 m  
210 in the open-water zone for four week periods. Upon removal, care was taken to minimize  
211 any disturbance to the algae during transport. Strips were transported in open plastic  
212 cylinders in a humid insulated box to a laboratory, where they were washed and brushed  
213 with filtered lake water to remove periphyton. The remaining solution was filtered for  
214 HPLC pigment concentration analysis, following Shatwell et al. (2012).

215 A previous study (Brothers et al. 2013b) found bacterial respiration to constitute  
216 the largest heterotrophic fraction of respiration rates in this lake. Consequently, we focus  
217 on bacteria as a primary potential candidate for increasing ecosystem heterotrophy (R –  
218 GPP). Monthly bacterial production data were available from April 2010 until December  
219 2011 (excluding a period of ice cover from December 2010 to March 2011). Bacterial  
220 production (BP) was measured separately in the water and in the sediment (upper first  
221 centimeter) by incorporating L-<sup>14</sup>C-leucine into the protein fraction using the protocols of  
222 Simon and Azam (1989) for water, and Buesing and Gessner (2003) for sediments. Mean  
223 values were calculated from samples collected in the littoral as well as open zones of the  
224 lake, and samples were processed following procedures described in Attermeyer et al.  
225 (2013). Net disintegrations per minute (dpm) were converted to pmol L<sup>-1</sup> d<sup>-1</sup> following  
226 Simon and Azam (1989), applying an isotope dilution factor of two. The conversion from  
227 volume (L) to gram dry weight (g dry wt) for bacterial production was carried out  
228 following standard dry wt determination at 105°C.

229 In 2011, the biomass of macroinvertebrates was estimated from eight sampling  
230 campaigns from April to November, and fish biomass estimates were obtained in October  
231 using a mark-recapture approach (Brothers et al. 2013b). As in 2011, kick nets were  
232 deployed in September 2012 to estimate the biomass of macroinvertebrates. Fishing  
233 campaigns carried out in October 2011 and late September 2012 were standardized, using  
234 a combined approach of multi-mesh gillnetting and electrofishing (Brothers et al. 2013b).

235

## 236 **Results**

### 237 *Abiotic transformations*

238 Initial (2010) DOC concentrations were approximately  $12 \text{ mg L}^{-1}$ , began to rise  
239 steadily in May 2011, and then with the onset of severely anoxic conditions rose rapidly  
240 to approximately  $55 \text{ mg L}^{-1}$  by June 2012 (Figs. 1A, 2A), Concentrations of TP and Fe  
241 had also increased dramatically by 2012 (Fig. 1B, C). Furthermore, temperature loggers  
242 and profiles revealed a strengthening in summertime thermal stratification (Fig. 1D), and  
243 thus a diminished mixing depth between each consecutive study year. Water temperatures  
244 in 2010 had remained relatively consistent throughout the water column, but by 2012  
245 temperatures dropped sharply below a depth of 1 m, providing an  $11^\circ\text{C}$  thermal gradient  
246 across the 3 m water column. Comparing these data to vertical YSI profiles from 2010,  
247 we record a significant decrease in the mean summertime (June to August) benthic  
248 temperatures (measured by profiles at the sediment surface; *t*-test,  $t = -7.8287$ , degrees of  
249 freedom (df) = 6,  $p < 0.001$ ), while mean surface temperatures over the same periods  
250 were not significantly different between years (Fig. 1D; *t*-test,  $t = -0.7188$ , df = 5,  $p =$   
251 0.50). These changes in lake characteristics coincided with maximum recorded water

252 levels, with DOC, TP, and Fe all increasing most strongly during the winter of 2011 to  
253 2012 (Fig. 2A, B). Dramatic increases in the mean concentrations of dissolved nitrogen  
254 ( $1.3 \pm 0.1 \text{ mg L}^{-1}$  in 2011 to  $2.9 \pm 0.1 \text{ mg L}^{-1}$  in 2012) and SRP ( $5.6 \pm 4.0 \mu\text{g L}^{-1}$  in 2011  
255 to  $39.0 \pm 5.6 \mu\text{g L}^{-1}$  in 2012) were also observed during this same period.

256 In 2010, anoxic conditions (considered to be when  $\text{O}_2$  concentrations were below  
257  $1 \text{ mg L}^{-1}$ ) were measured during brief summer periods, and only near the sediment  
258 surface of the deepest parts of the lake. In 2011, such anoxic conditions were  
259 occasionally observed in the water column below approximately 1 to 2 m, and with  
260 increasing frequency (observed in late June and late August, with oxygenated waters  
261 reaching the sediments in July). In 2012, anoxia became a defining characteristic of  
262 Gollinsee, lasting from April to November and occasionally extending to the water  
263 surface (Fig. 3).

264 Regarding PARAFAC analyses of DOC, component names C1 and Q3 (Cory and  
265 McKnight 2005) were used to separate DOC of groundwater and terrigenous peatland  
266 origin. In 2011, C1 and Q3 values from lake water and water in the alder and reed belts  
267 related most closely with those values from water originating from the surrounding  
268 flooded peatlands (Fig. 4). By 2012 PARAFAC analyses identified a significant increase  
269 in the fluorescence component Q3 in lake waters ( $t$ -test,  $t = 3.9387$ ,  $df = 13$ ,  $p = 0.002$ ).

### 270 *Lake metabolism*

271 The euphotic zone, calculated as the theoretical depth at which 1% of surface light  
272 would remain available to primary producers, diminished sharply from 2010 to 2011, and  
273 by 2012 was lower than the mean lake depth (Fig. 5). This corresponded to decreasing  
274 Secchi depths from 2010 to 2012 (Fig. 6A), as well as increasing DOC (Fig. 1A) and

275 pelagic Chl *a* concentrations (Fig. 6B). These changes were further associated with a  
276 decrease in periphyton (attached algae) growth (Fig. 6C) and pH (Fig. 6D). Mean spring  
277 and summer (considered 1 March to 31 August, excluding anoxic periods and negative  
278 values) pelagic gross primary production (GPP) rates measured from diel O<sub>2</sub> curves  
279 increased slightly from 2010 ( $1.0 \pm 0.1 \text{ g C m}^{-2} \text{ d}^{-1}$ ) to 2011 ( $1.3 \pm 0.1 \text{ g C m}^{-2} \text{ d}^{-1}$ ; *t*-test, *t*  
280 = 1.6387, *df* = 340, *p* = 0.10), but significantly from 2011 to 2012 ( $2.0 \pm 0.1 \text{ g C m}^{-2} \text{ d}^{-1}$ ;  
281 *t*-test, *t* = 4.0510, *df* = 340, *p* < 0.001, adjusting areal estimates to a mean measured  
282 euphotic zone depth of 1.7 m) (Fig. 6E). Gross respiration rates followed a similar  
283 pattern, increasing slightly from 2010 ( $1.2 \pm 0.2 \text{ g C m}^{-2} \text{ d}^{-1}$ ) to 2011 ( $1.6 \pm 0.1 \text{ g C m}^{-2} \text{ d}^{-1}$ ;  
284 *t*-test, *t* = 1.1794, *df* = 452, *p* = 0.24), and then significantly from 2011 to 2012 ( $2.9 \pm$   
285  $0.2 \text{ g C m}^{-2} \text{ d}^{-1}$ ; *t*-test, *t* = 5.6957, *df* = 452, *p* < 0.0001) (Fig. 6F). Summertime surface  
286 CO<sub>2</sub> emissions also increased significantly from 2011 to 2012 (Fig. 6G; *t*-test, *t* = 5.9695,  
287 *df* = 14, *p* < 0.0001), despite an apparent decline in pelagic DIC concentrations by 2012  
288 (Fig. 6H).

289         Mirroring the results of our O<sub>2</sub> curves from 2010 and 2011, pelagic bacterial  
290 production increased from  $36 \pm 8 \text{ g C m}^{-2} \text{ yr}^{-1}$  in 2010 (given a mean depth of 1.72 m) to  
291  $62 \pm 6 \text{ g C m}^{-2} \text{ yr}^{-1}$  in 2011 (given a mean depth of 2 m; log<sub>10</sub> transformations, *t*-test, *t* =  
292 2.4862, *df* = 53, *p* = 0.02). Along with a likely decline in benthic primary production,  
293 bacterial production in the surface sediments decreased significantly from 2010 to 2011  
294 (*t*-test, *t* = -2.3114, *df* = 50, *p* = 0.025).

295         The biomass of macroinvertebrates in 2011 was estimated to be  $1.6 \text{ g dry wt m}^{-2}$   
296 across six classes. In 2012, too few individuals were found to reliably estimate the whole-  
297 lake population. The macroinvertebrate community became dominated by Diptera, and

298 chironomids in particular. In 2011, Gollinsee contained approximately 2.5 g dry wt m<sup>-2</sup> of  
299 fish (across five species). In 2012, multi-mesh gill nets installed from dusk till dawn  
300 remained empty. Following a standardized sampling effort using electrofishing, fish  
301 catches remained at zero. A substantial additional effort of electrofishing secured several  
302 sunbleak individuals (*Leucaspius delineatus*, Heckel), but no other fish species could be  
303 caught. In total, approximately 1 to 5% of the previous year's numbers of sunbleak  
304 individuals were captured.

305

## 306 **Discussion**

307       Our results support the hypothesis that strong DOC fluxes from terrestrial  
308 surroundings could increase lake heterotrophy by fueling pelagic respiration rates while  
309 restricting hypolimnetic primary production. As a result, O<sub>2</sub> consumption surpassed O<sub>2</sub>  
310 availability, rendering the system anoxic. In our study lake, anoxic conditions appeared to  
311 lead to an internal brownification via the reductive dissolution of iron-bound DOC in the  
312 sediments (following Skoog and Arias-Esquivel 2009). We suggest that this process  
313 resulted in a positive feedback loop which sustained O<sub>2</sub> depletion in the lake (Fig. 7).

### 314 ***Causes of initial DOC increase***

315       The summers of 2011 and 2012 featured unusually high precipitation (201 to  
316 250% above the 1961 to 1990 average for this region), resulting in rising groundwater  
317 and lake levels across many of the groundwater-fed lakes in the glacial landscape of  
318 northeastern Central Europe (Kaiser et al. 2014). Significant rises in DOC concentrations  
319 were subsequently observed in many northeastern German lakes which featured water  
320 levels rises between 0.5 and 1 m, and which were surrounded by degraded peatlands (J.

321 Gelbrecht pers. comm.). High DOC concentrations in pore water samples had also been  
322 previously observed during fen rewetting in this area (Zak and Gelbrecht 2007). A large  
323 survey of roughly 1,000 lakes in Finland found that the proportion of catchment area  
324 occupied by peatlands was the most significant catchment variable in predicting lake  
325 TOC concentrations (Kortelainen 1993). Similar trends between long-term precipitation  
326 patterns and catchment exports of DOC in North American lakes associated with  
327 peatlands (Dillon and Molot 2005) suggest that such processes may be widespread.

328         As Gollinsee has no surface in- or outflows, we suggest that the flooding of  
329 adjacent degraded peatlands was the primary source of the initial (2011) increase in DOC  
330 concentrations. This was supported by our PARAFAC analyses, which showed that in  
331 2011 the optical properties of DOC samples taken from the flooded peatlands overlapped  
332 with those of DOC taken from the alder and reed belts, which were linked to lake water  
333 DOC. A potential limitation of the PARAFAC approach is that while it can provide a  
334 valuable insight into the changing characteristics of DOC, it does not measure the  
335 concentrations of different DOC components. Instead, PARAFAC analyses represent  
336 integral fluorescence signals of DOC samples, which may vary with concentration, molar  
337 absorptivity, and quantum efficiency, which are unknown (Stedmon and Bro 2008).  
338 Experimental maize additions in late 2010 were not responsible for the observed DOC  
339 increase, as the increase occurred in both sides of the divided lake, with no significant  
340 differences in 2012 DOC concentrations ( $t$ -test,  $t = 1.3622$ ,  $df = 11$ ,  $p = 0.20$ ).

#### 341 ***Initial effects of brownification on lake metabolism***

342         Water levels, DOC, and nitrogen concentrations all increased from 2010 to 2011.  
343 Although there was no apparent increase in TP concentrations, mean pelagic Chl  $a$

344 concentrations doubled, and pelagic diel O<sub>2</sub> curves indicated a slight increase in GPP.  
345 Since diel O<sub>2</sub> curves measured a comparable increase in both respiration and GPP rates  
346 over the same period, it is likely that the high respiration rates in 2011 were  
347 predominantly fueled by the increased phytoplankton production, although the slight  
348 increase in pelagic bacterial production could also be driven by high DOC concentrations.

349 Elevated DOC and phytoplankton concentrations both contributed to diminished  
350 Secchi depths and an overall decrease in the size of the euphotic zone. This reduced  
351 transparency would have negatively affected primary production in the hypolimnion. A  
352 net decline in sediment bacterial production and benthic temperatures suggests that  
353 sediment respiration rates did not increase during this period. This notion is supported by  
354 Ask et al. (2012), who observed a negative relationship between benthic respiration and  
355 DOC concentrations in boreal Swedish lakes. It is, however, possible that changing  
356 bacterial growth efficiencies left sediment respiration rates unchanged (Schwaerter et al.  
357 1988). The anoxic conditions observed in the hypolimnion in 2011 therefore appear to  
358 reflect a decline in hypolimnetic GPP relative to community respiration, rather than an  
359 increase in sediment respiration due to an increased sedimentation of phytoplankton  
360 biomass.

### 361 *Anoxia leads to dystrophication*

362 In 2012, PARAFAC analyses identified an increase in the DOC fluorescence  
363 component Q3 in lake water samples corresponding to the apparent loss of benthic  
364 primary production and measured increase in epilimnetic phytoplankton production. Cory  
365 and McKnight (2005) describe a significant positive relationship between component Q3  
366 and aliphatic carbon content, which has further been linked to algal carbohydrates



367 (McKnight et al. 1994). It thus appeared possible that benthic algal breakdown and/or  
368 phytoplankton-released DOC contributed to the character of the DOC pool in 2012.  
369 However, rough estimates derived from full-year periphyton exposures in 2010 (S.  
370 Brothers unpubl.) coupled with the benthic surface area below the mean euphotic zone  
371 depth in 2012 (1.7 m) indicated that even a full dissociation of periphyton below this  
372 depth could only account for ~ 5% or less of the observed increase in DOC  
373 concentrations from 2011 to 2012. McKnight et al. (1992) found that aromatic carbon  
374 may be adsorbed to hydrous iron oxides, which could also result in a measured higher  
375 proportion of Q3 in the DOC. This is a possibility in our study lake, as the proportional  
376 increase in Q3 coincided with the onset of anoxic conditions and major increases in Fe  
377 and nutrient concentrations in the water column (Fig. 1).

378         A qualitative comparison of the DOC pool and rising water levels in 2010 and  
379 2011 yielded a steady positive relationship. In 2012, this relationship was decoupled, with  
380 DOC concentrations rising dramatically without an associated increase in water levels  
381 (Fig. 2A). We thus conclude that anoxic conditions in 2011 were most likely driven by a  
382 decline in benthic primary production due to the increased input of DOC leached from  
383 the flooded degraded peatlands. Previous studies have established that the loss of benthic  
384 algae can boost internal nutrient loading (Zhang et al. 2013). By 2012, the prevailing lack  
385 of O<sub>2</sub> would have altered redox conditions at the sediment surface, increasing the internal  
386 loading of DOC and nutrients (following Zak and Gelbrecht 2007), and adding  
387 substantially to the externally-loaded DOC concentrations from 2011. Such internal DOC  
388 and nutrient loading mechanisms have also been previously described by Skoog and  
389 Arias-Esquivel (2009). In that study, anoxia at the sediment surface resulted in the

390 reductive dissolution of FeOOH and release of associated organic carbon. Similar  
391 processes are assumed to have occurred in our study lake. A large increase in Fe  
392 concentrations observed during the winter of 2011 to 2012 indicates a change in sediment  
393 redox conditions (Knorr 2013; Riedel et al. 2013), which has been correlated to the  
394 flooding of peatlands (Zak and Gelbrecht 2007) and brownification in lakes (Kritzberg  
395 and Ekström 2012). Since changes in these redox-dependent processes are related to  
396 certain thresholds in redox potential, and since they are a well-established occurrence in  
397 this region (Zak and Gelbrecht 2007) they represent the most likely explanation for the  
398 sudden increase in DOC and nutrient concentrations during January and February 2012,  
399 more than six months after the initial increase in water levels and leached DOC  
400 concentrations. Although we do not have direct measurements of benthic primary  
401 production, our measured decline in periphyton growth is supported by rough estimates  
402 of the percentage of sediment surface area which would receive below 1% of the surface  
403 global radiation (thus making benthic primary production impossible). In 2010, our data  
404 suggest that  $0.1 \pm 4\%$  of the sediments would be out of the range of sufficient light for  
405 photosynthesis, but by 2012, benthic primary production would have been impossible at  
406  $57 \pm 5\%$  of the lake's sediment surface area (data not shown).

407         Phytoplankton production was boosted by the release of nutrients from the  
408 sediments and by a compressed mixing depth. The increase in pelagic Chl *a* ( $\sim 80 \mu\text{g L}^{-1}$ ,  
409 Fig. 6B) contributed to about 50% of the increase in vertical light attenuation from 2010  
410 to 2012 (assuming a specific absorption of  $10 \text{ m}^2 (\text{g Chl } a)^{-1}$ ). Regarding the proposed  
411 establishment of a brownification-anoxia feedback loop (Fig. 7), the effect of nutrients  
412 and DOC thus appeared to be equally important in our study lake. The mean theoretical

413 euphotic zone depth in 2010 ( $6.8 \pm 0.5$  m) was deep enough that the water level rise alone  
414 was not enough to shade out benthic primary production at the mean lake depth. This  
415 suggests that the decrease in light penetration due to DOC and phytoplankton was the  
416 primary cause for the loss of benthic GPP. Furthermore, high DOC concentrations  
417 increased thermal stratification, providing benthic temperatures approximately  $10^{\circ}\text{C}$   
418 cooler than in 2010 and thus likely negatively affecting sediment respiration rates. These  
419 results support earlier findings on the effects of DOC on thermal stratification in shallow  
420 lakes (Fee et al. 1996). To test whether an increased water depth also contributed to a  
421 greater stratification, we applied a one-dimensional model (FLake; [www.flake.igb-](http://www.flake.igb-berlin.de)  
422 [berlin.de](http://www.flake.igb-berlin.de)). Model runs were based on the mean measured annual courses of  
423 meteorological conditions (wind speed, air temperature, global radiation, and humidity,  
424 all recorded every 10 minutes at a lake-center monitoring station by a meteo multiprobe),  
425 but differed in maximum lake depths (2.9 m in 2010, 3.9 m in 2012) and vertical light  
426 attenuation ( $1.18\text{ m}^{-1}$  in 2010,  $2.77\text{ m}^{-1}$  in 2012). This model calculated a temperature  
427 difference between near-surface and near-bottom water layers of  $0.3^{\circ}\text{C}$  in 2010 and  
428  $14.4^{\circ}\text{C}$  in 2012 (average from April to September). A loss of transparency alone (with no  
429 rise in water levels) would have caused a mean temperature difference of  $13.9^{\circ}\text{C}$ ,  
430 whereas an exclusive increase in water levels would have resulted in a difference of only  
431  $4.3^{\circ}\text{C}$ . This suggests that the decreasing light penetration due to DOC and phytoplankton  
432 played a much larger role than changing water levels in establishing the stronger thermal  
433 stratification.

434 *Effects of a brownification-anoxia feedback on metabolism and carbon cycling*

435 By 2012, the increase in ecosystem respiration rates was greater than the increase  
436 in GPP, suggesting that both phytoplankton production and elevated DOC concentrations  
437 fueled the high pelagic respiration rates. Additionally, since our measurements of  
438 respiration rates were derived from nighttime O<sub>2</sub> consumption rates, they do not include  
439 other factors such as the photo-oxidation of DOC to CO<sub>2</sub> by solar ultraviolet radiation,  
440 which could increase eight-fold upon doubling humic DOC concentrations (Lindell et al.  
441 2000). Nevertheless, the ecological consequences of the changes in Gollinsee by 2012  
442 were severe. The brownification-anoxia feedback loop facilitated a persistent state of  
443 anoxia which occasionally extended to the water surface, resulting in the near-complete  
444 loss of macroinvertebrate and fish populations. These reductions in population size and  
445 species richness could be attributed to the severe summer anoxia, in line with the results  
446 of other studies (Townsend et al. 1992). These results suggest that the positive effects of  
447 DOC on resource availability and the fertilization of autochthonous production by TP  
448 may be outweighed by the negative influence of DOC shading on hypolimnetic primary  
449 production and of reduced mixing (as suggested in model simulations by Jones et al.  
450 2012).

451 The relatively high pH in 2010 (mean =  $8.1 \pm 0.1$ ) declined in following years  
452 with values eventually as low as 7.0 at the surface and 6.6 in the hypolimnion. Although a  
453 decline in pH may have been related to humic substances leached from the surrounding  
454 flooded peatlands or to a decline in groundwater pH from 2010 to 2011 (data not shown),  
455 a high degree of variability between measurements in 2011 and 2012 indicated a strong  
456 effect of carbon metabolism (i.e., respired CO<sub>2</sub>) on lake pH values. A loss of benthic  
457 primary production and net increase in epilimnetic respiration rates would both reduce

458 pH levels. The lowered pH resulted in a roughly 20% larger fraction of the DIC pool as  
459  $P_{CO_2}$ , increasing surface emissions. Diminishing pelagic DIC concentrations in 2012  
460 furthermore indicate that  $CO_2$  losses to the atmosphere during this period were greater  
461 than could be supported by the net heterotrophy within the lake. By making this lake a  
462 greater source of  $CO_2$  to the atmosphere, these changes represent a large shift in the  
463 carbon cycling characteristics of this ecosystem.

464         Previous studies have linked increasing  $CO_2$  emissions from lakes to high  
465 precipitation, and associated this increase with the mineralization of loaded DOC  
466 (Rantakari and Kortelainen 2005). Our study suggests that internal DOC and nutrient  
467 loading may follow brownification events, facilitating higher  $CO_2$  emissions to the  
468 atmosphere via a decreased buffer capacity (as the ability of primary producers in the  
469 hypolimnion to capture this carbon). Furthermore, methane emissions are frequently  
470 exacerbated by anoxic conditions (Bastviken et al. 2004), and although not included in  
471 this study, they likely increased as well. We therefore suggest that a widespread  
472 occurrence of such feedback loops could have significant implications to the global  
473 carbon cycle and food webs of shallow lakes. As climate change is expected to increase  
474 precipitation events and watershed DOC loading (Clair et al. 1999), it is possible that the  
475 process described in this study could occur even in lakes far from direct anthropogenic  
476 effects. This study thus extends our understanding of an important and potentially  
477 widespread internal driver in establishing anoxic conditions in lakes. Typically, the onset  
478 and duration of summer anoxia is positively linked to phytoplankton production, which in  
479 turn is often driven by high total phosphorus (TP) concentrations (Nürnberg 1995).  
480 Previous studies of anoxia, however, have focused on a period of significant

481 eutrophication, yet the current brownification phenomenon along with regional decreases  
482 in nutrient loading may increase the importance of terrigenous DOC as a driver of anoxia  
483 in many lakes. This increasing significance of brownification as a driver of anoxia would  
484 be especially true for shallow lakes whose benthic primary production represents a larger  
485 fraction of the whole-lake GPP, making these systems more susceptible to heavy losses  
486 of primary production by shading. Regional variations in watershed and lake  
487 characteristics would thus strongly influence the likelihood and severity of our observed  
488 process occurring in another lake. However, the underlying mechanisms linking anoxia to  
489 internal brownification via the loss of benthic primary production are biogeochemical  
490 processes which may feasibly occur in any shallow lakes where light supply to the  
491 benthic zone may be limited by brownification events. We thus suggest that the current  
492 global brownification phenomenon should be considered an increasing source of concern  
493 as a potential driver of O<sub>2</sub> depletion and anoxia in aquatic systems.

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Table 1. General lake characteristics (May 2010 to April 2011), providing standard error of the mean.

	Gollinsee
Surface area (m <sup>2</sup> )	33,000
Z <sub>mean</sub> (m)	1.7
Z <sub>max</sub> (m)	2.9
Z <sub>secchi mean</sub> (m)	1.4 ± 0.1 ( <i>n</i> = 17)
pH	7.9 ± 0.1 ( <i>n</i> = 20)
Chlorophyll <i>a</i> (µg L <sup>-1</sup> )	23 ± 3 ( <i>n</i> = 21)
Total phosphorus (µg L <sup>-1</sup> ) <sup>†</sup>	42 ± 3 ( <i>n</i> = 20)
Soluble reactive phosphorus (µg L <sup>-1</sup> ) <sup>† ‡</sup>	4.7 ± 0.6 ( <i>n</i> = 13)
Dissolved nitrogen (mg L <sup>-1</sup> ) <sup>†</sup>	0.97 ± 0.06 ( <i>n</i> = 15)
Dissolved organic carbon (mg L <sup>-1</sup> ) <sup>†</sup>	12.3 ± 0.3 ( <i>n</i> = 16)
Dissolved inorganic carbon (mg L <sup>-1</sup> ) <sup>†</sup>	32.2 ± 0.4 ( <i>n</i> = 20)

<sup>†</sup> Epilimnetic, pelagic means.

<sup>‡</sup> One high outlier excluded.

638 **Figure Legends**

639 Figure 1. Abiotic lake characteristics for 2010 to 2012, with standard error of the mean.

640 All values are spring and summer means (March to August), except D, which are summer  
641 (June to August) values.

642 Figure 2. Time course of (A) dissolved organic carbon and changing lake water levels,  
643 and (B) total phosphorus and iron in Gollinsee.

644 Figure 3. Lake oxyc status from 2010 to 2012, from vertical oxygen concentration profiles  
645 and daily monitoring station measurements. The top represents the maximum lake surface  
646 level, and the bottom white area reflects the sediment surface (i.e., the lower limit of  
647 oxygen profiles made at different profiling locations and dates within the lake).

648 Figure 4. Parallel factor (PARAFAC) analysis of dissolved organic carbon origins in  
649 Lake Gollinsee.

650 Figure 5. Change in euphotic zone depth (as 1% PAR limit) divided by the estimated  
651 mean basin depth. Boxes represent the upper quartile, median, and lower quartile, and  
652 whiskers represent the 5<sup>th</sup> and 95<sup>th</sup> percentiles. Centered squares represent the mean  
653 value, and crosses designate minimum and maximum dataset values. A dotted line  
654 represents a ratio of one, below which the mean basin depth is beyond the euphotic zone.

655 Figure 6. Metabolic and biological lake characteristics for 2010 to 2012, with standard  
656 error of the mean. All values are spring and summer means, except C, representing  
657 summer values.

658 Figure 7. Processes leading to anoxia in a shallow lake dominated by benthic primary  
659 production (PP), showing a brownification-anoxia feedback loop. 'BP + R' represents  
660 bacterial production and respiration.

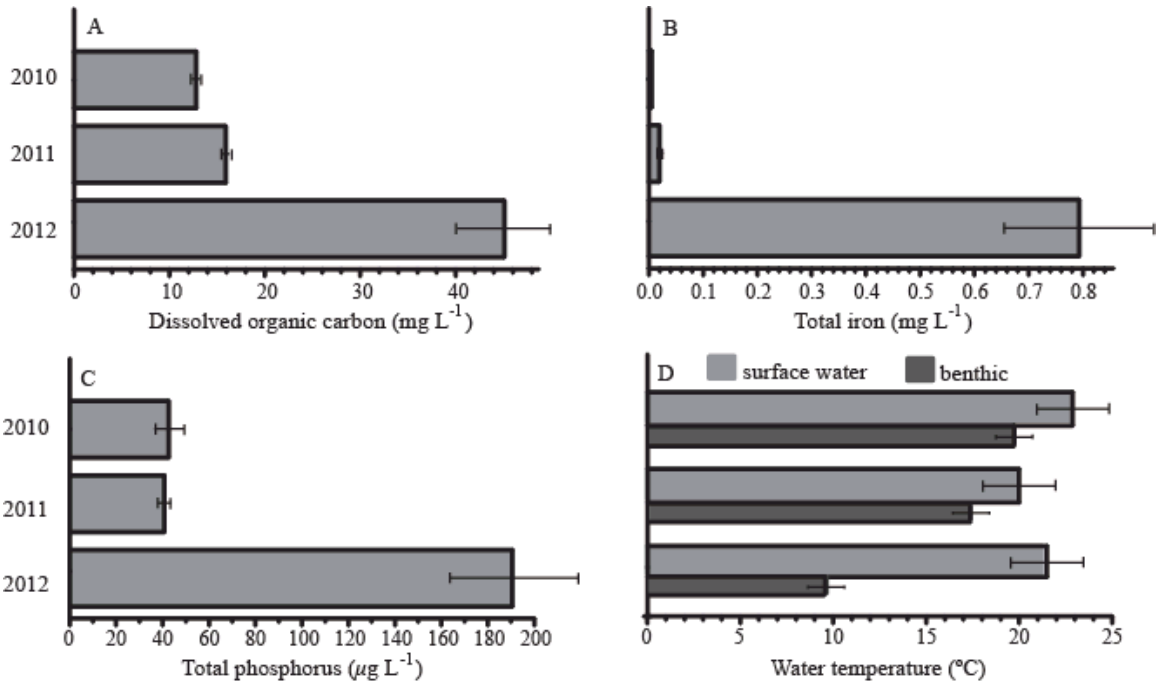


Fig. 1.

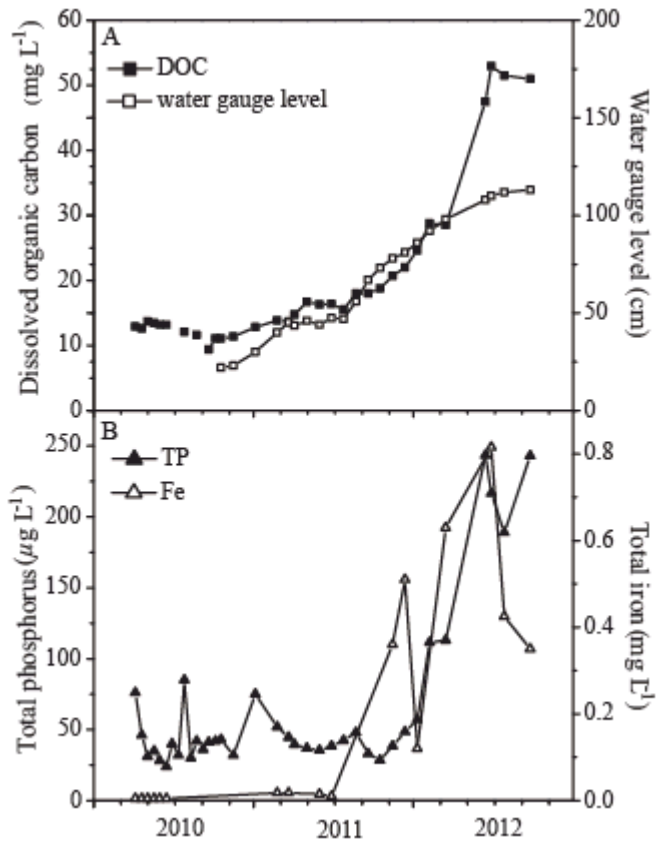


Fig. 2.



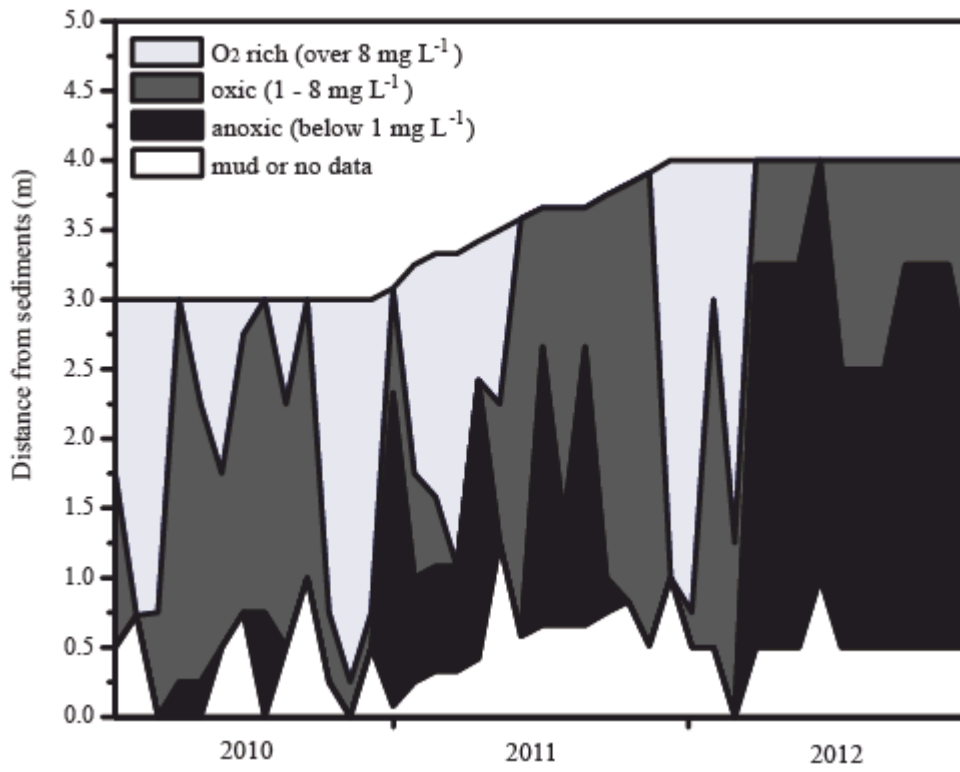


Fig. 3.

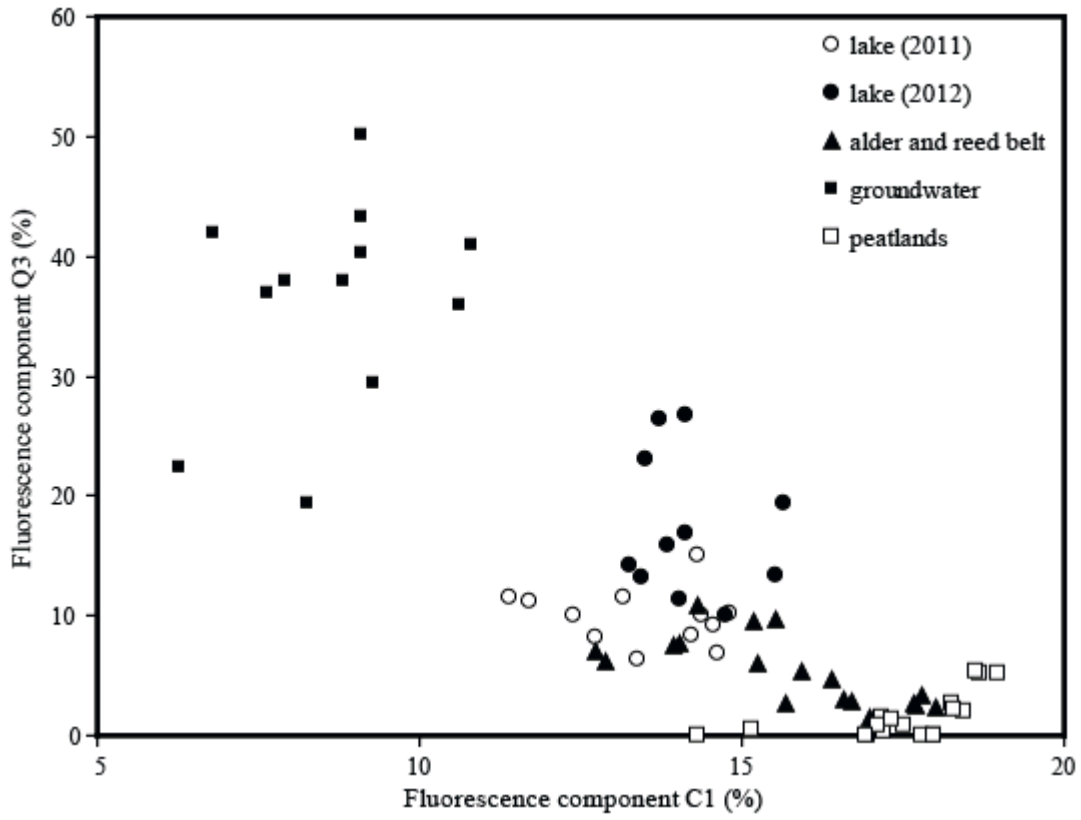


Fig. 4.

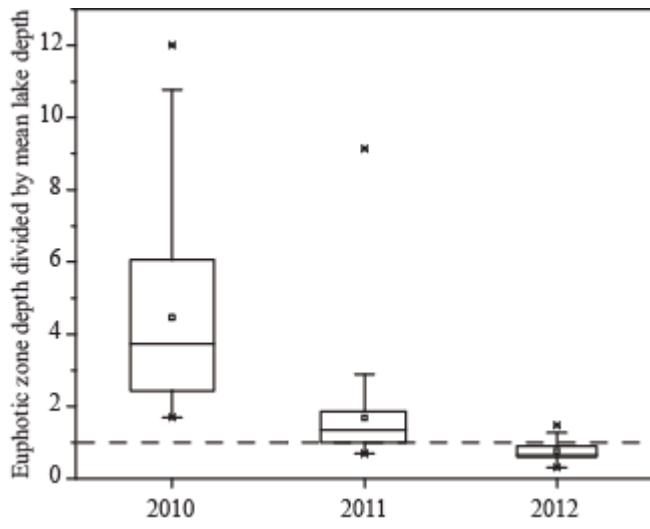


Fig. 5.

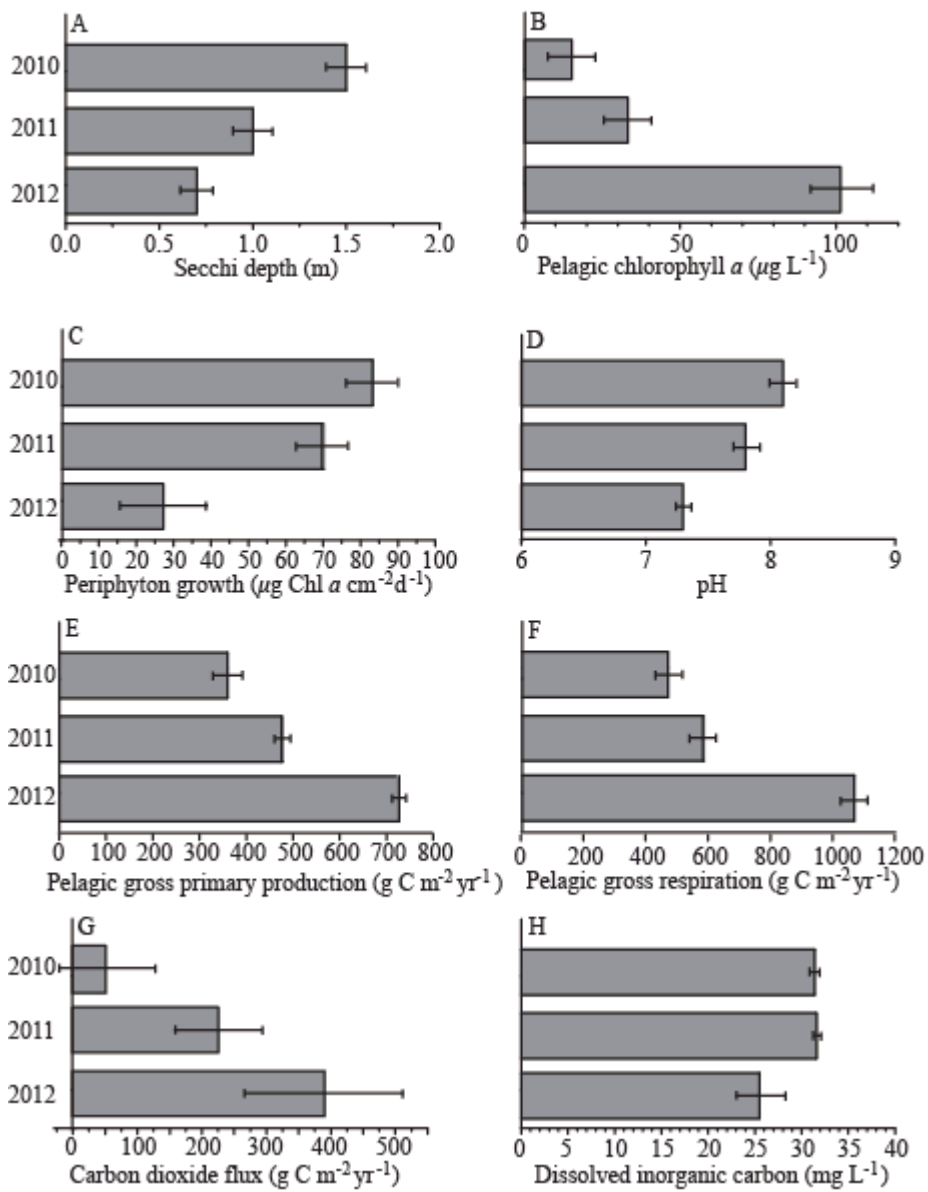


Fig. 6.

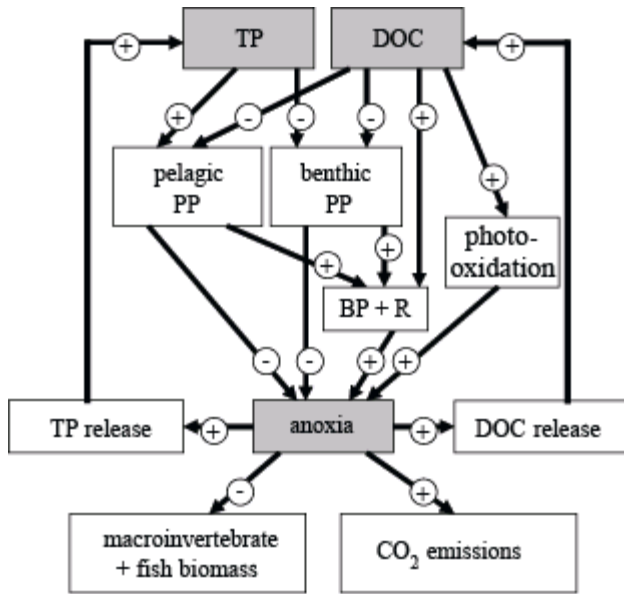


Fig 7.