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# Effects of Thinning on Dynamics and Drought Resistance of Aspen-White Spruce Mixtures: Results From Two Study Sites in Saskatchewan

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Drought stress associated with warm temperatures is causing increased mortality and reduced growth of trees in drier portions of the boreal forests of western Canada with both warming and drought expected to increase over the coming decades. While thinning is often shown to reduce drought stress, there is little information on its effects in stands comprised of mixtures of trembling aspen and white spruce that are common in the forests of this region. This study examined effects of pre-commercial thinning on aspen and spruce growth, response to drought stress, and stand dynamics for two study sites located in Saskatchewan, Canada. In unthinned plots aspen densities declined from initial densities of 40,000 to 200,000 trees ha<sup>-1</sup> to 2,639 trees ha<sup>-1</sup> at age 26. Twenty-one years after thinning (i.e., at age 26) diameter of aspen and spruce had increased, with largest trees being found at the lowest aspen densities (200 aspen  $ha^{-1}$  for aspen and 0 aspen ha<sup>-1</sup> for spruce). Aspen density affected average height but not height of aspen top height trees. Spruce height decreased significantly with increasing aspen density. Crown width and live crown ratio of both aspen and spruce declined with increasing aspen density. Data from cores collected from aspen and spruce indicate significant positive effects of tree size (basal area at beginning of the year), and CMI (Climate Moisture Index) on basal area increment of both aspen and spruce while increasing aspen basal area (m<sup>2</sup>ha<sup>-1</sup>) had negative effects on aspen and spruce growth. Increasing tree size (basal area) and aspen competition (basal area ha<sup>-1</sup>) both had negative effects on drought resistance and resilience for both aspen and spruce. Yield projections provided by the Mixedwood Growth Model (MGM) suggest that the mixture of 1,500 aspen ha<sup>-1</sup> and 1,000 spruce ha<sup>-1</sup> has the potential to provide a 23% increase in total mean annual increment (MAI) with a single harvest at age 100, compared to unthinned aspen stands, but with a 44% reduction in spruce MAI compared to pure spruce stands. Thinning of aspen to densities below 4,000 trees ha<sup>-1</sup> at age 5 resulted in reduced aspen yields but increased spruce yields.

Keywords: boreal mixedwoods, thinning, trembling aspen, white spruce, drought, resistance, resilience

# INTRODUCTION

Mixtures of trembling aspen (Populus tremuloides Michx.) and white spruce (Picea glauca (Moench) Voss) are a common natural stand type on upland sites in the western boreal forests of Canada. In this region mixedwood stands represent a range of early- to mid-seral successional stages (Chen and Popadiouk, 2002) and develop most commonly on mesic and subhygric sites (Lieffers et al., 1996). When aspen and white spruce regenerate at approximately the same time following disturbance, aspen will form an overstory above spruce for at least 50 to 60 years (Chen and Popadiouk, 2002; Bergeron et al., 2014). After that time, white spruce begins to grow through the canopy and aspen decreases in dominance and basal area. In the absence of disturbances such as fire or harvesting the mixedwood stand may become a spruce-dominated stand over the ensuing 100 to 200 years (Chen and Popadiouk, 2002; Bergeron et al., 2014). When there are seed trees nearby white spruce may also establish naturally in the understory of mature aspen stands and grow up through the aspen in a similar manner (Kabzems and Garcia, 2004).

Since mixedwoods contribute to improved species and structural diversity, wildlife habitat, and visual quality (Macdonald et al., 2010) managing these stands as mixedwood rather than as pure white spruce or aspen stands is desirable. Overstory aspen is also a nurse crop for young spruce through reducing the incidence of growing season frost, by providing protection from environmental extremes (Filipescu and Comeau, 2011), reducing the vigor of competing vegetation such as bluejoint reedgrass (*Calamagrostis canadensis* (Michx.) Beauv.) (Lieffers and Stadt, 1994) and reducing damage by white pine weevil (*Pissodes strobi* Peck) (Taylor et al., 1996). Mixedwoods can potentially provide greater diversity of products (MacDonald, 1996) and higher total yield than single-species stands (Man and Lieffers, 1999; Kabzems et al., 2016).

Historically, mixedwood stands have developed through natural regeneration of both species following fire or other severe disturbances. However, for much of the past four decades white spruce have been planted to regenerate spruce and mixedwood stands following harvesting while aspen is regenerated naturally. Following harvesting of upland mixedwood stands, aspen regenerates vigorously from root sprouts and dominates during early stages of stand development. When conditions are ideal, aspen can regenerate to very high densities, sometimes exceeding 100,000 trees ha<sup>-1</sup> at age 2 (Steneker, 1976; Bella, 1986; Frey et al., 2003). However, self-thinning generally results in dramatic reductions in aspen densities during the first 20 years (Peterson and Peterson, 1992; Bokalo et al., 2007).

Early juvenile spacing of aspen can accelerate the growth of residual trees (Bickerstaff, 1946; Steneker, 1976; Perala, 1978; Bokalo et al., 2007; Kabzems et al., 2016), accelerating achievement of merchantable diameter and improving the quality of the stand. Rice et al. (2001) found that, thinning of young aspen (5–15 years old) did not significantly change merchantable stand volume 15 years after treatment but did result in significant increases in diameter of the remaining trees. Reducing aspen densities also increases growth of spruce in mixed stands (Bokalo et al., 2007; Kabzems et al., 2016).

Recent periods of drought and large fires have highlighted potential effects of warm periods and long-term warming trends in Canada's western boreal region. While periodic shifts in temperature, precipitation, and drought have occurred historically, mean annual temperature has increased by up to 3.0°C between 1901 and 2000 (Price et al., 2013). Projections of future climate for the boreal plains of western Canada suggest continuing increases in temperature with increases in winter temperatures of up to 6.5°C and with increases in spring, summer and fall temperatures of up to 4.5°C between 1990 and 2100 (Price et al., 2013). Both growing season and annual precipitation are projected to continue to increase slightly over this period, however increased evapotranspiration is expected to result in reduced soil moisture. While temperature increases and longer growing seasons may be beneficial to forest productivity in Canada's western boreal forest, increasing drought could lead to reductions in growth and to reductions in forest cover in drier portions of the region (Peng et al., 2011; Price et al., 2013; Worrall et al., 2013). In addition, damage by insects such as forest tent caterpillar (Malacosoma disstria Hbn.) (Chen et al., 2017b; Cortini and Comeau, 2020), mountain pine beetle (Dendrochtonus ponderosae Hopkins) (Vore et al., 2020) and spruce beetle (Dendroctonus rufipennis Kirby) (Campbell et al., 2019) are expected to increase as a consequence of increases in both temperature and drought stress. Frequency and magnitude of fire damage (Vore et al., 2020) is also expected to increase due to warming and drought.

The southern extent of stands with more than 60% white spruce content in north America is near the 18°C July temperature isotherm (Burns and Honkala, 1990), suggesting that contraction in spruce distribution may occur in the boreal plains where projected July temperatures may reach 18.5 to 19.8 in 2100 (Price et al., 2013), as also reported by Gray and Hamann (2013). Hogg et al. (2017) report reductions in growth of white spruce in Saskatchewan and Alberta associated with severe drought in 2001-2003. Chen et al. (2017c) also indicate that temperature induced drought is the main climatic factor influencing growth of white spruce in central and northern Alberta. However, competition can also have significant effects on white spruce growth. Alam et al. (2017) report that growth of white spruce in this area is constrained largely by competition with minor but significant effects of drought while Cortini et al. (2012) indicate that competition from aspen can constrain potential benefits of increasing temperature on spruce growth.

Northward and elevational shifts in aspen habitat are expected under climate change (Gray and Hamann, 2013) with declines in aspen associated with severe drought being evident, particularly in marginal habitats (Worrall et al., 2013). Decreases in growth and increases in mortality of aspen have been reported in central Alberta and Saskatchewan associated with severe and prolonged drought events (Hogg et al., 2005, 2008; Chen et al., 2017a) most notably the 2001–2003 drought (Michaelian et al., 2011). Kweon and Comeau (2017) also report that increasing summer dryness appears to be associated with a decrease in the maximum densities that can be carried by aspen stands. Applying thinning treatments to reduce stand density may reduce drought stress and increase resistance and resilience of stands to drought (Spittlehouse and Stewart, 2003; D'Amato et al., 2013; Keenan, 2015; Sohn et al., 2016; Ammer, 2017; Bottero et al., 2017; del Rio et al., 2017; Holofsky et al., 2018; Andrews et al., 2020; Steckel et al., 2020) through reducing transpiration and rainfall interception and through increasing size of root systems of remaining trees. In aspen stands, thinning has been shown to reduce impacts of drought stress (Bell et al., 2014) and could also increase moisture availability to white spruce in mixed stands. Thinning also accelerates development of stands to merchantable sizes which could provide timber that could be harvested in advance of or salvaged immediately following mortality events.

While growing mixtures can improve ecosystem resistance, recovery and resilience and lead to better growth in response to drought and temperature stresses, outcomes depend on species, site, level of stress, and other factors (Ammer, 2017). Stand density may influence complementary effects, with facilitative effects being weak at very low densities and competitive effects dominating at very high densities (Forrester, 2014). The degree to which facilitation or reductions in intraspecific competition occur in mixtures determine whether mixtures lead to improved ability of stands to deal with drought (Forrester, 2015; Ammer, 2017). Consequently, there are cases where mixed stands may be negatively influenced by drought as well as cases where they are less affected by drought with this resulting from the combined effects of species identity, stand composition, stand structure, stand density, differences in species functional/structural traits, tree size, tree age, soil and site factors, both historical and current climate, drought intensity and drought frequency (Ammer, 2017). There is a lack of published quantitative information on effects of thinning on the dynamics of young aspen-spruce mixtures in the western Canadian boreal forests, including information on how thinning influences the growth of components species and there is little information on how thinning of these mixed stands may influence responses to increasing temperature and drought stress.

In this paper effects of pre-commercial thinning treatments applied at age 5 on aspen and spruce diameter and height, basal area and stand density are examined based on measurements collected at age 26 at two sites located ~30 km north of the town of Big River, Saskatchewan, Canada. In addition, effects of climate, tree size and aspen basal area on annual basal area growth and on resistance, resilience and recovery of the aspen and spruce to drought events in 2003 and 2013 are examined. Working hypotheses are: (1) height and diameter of aspen and white spruce will decline with increasing aspen density; (2) aspen and spruce growth will increase with increases in tree size and climate moisture index (CMI) and decline with increases in aspen density; (3) resistance, recovery and resilience will be increased by thinning, but with resistance, recovery and resilience of spruce being highest when spruce are grown with a low to moderate density of aspen; and, (4) stand yield estimated using the Mixedwood Growth Model (MGM) (assuming no effects of climate change) will be highest in mixed stands with moderate densities of aspen and white spruce while spruce yield will be highest without aspen.

### METHODS

### **Study Sites and Study Design**

The Western Boreal Growth and Yield (WESBOGY) [now the WESBOGY project of the Forest Growth Organization of Western Canada (FGrOW)] Long Term Study was initiated by the Western Boreal Growth and Yield Association in 1990 to advance knowledge of the dynamics of mixedwood stands and effects of aspen density on spruce and aspen growth (Bokalo et al., 2007). The study involved planting white spruce seedlings in recently clearcut areas where aspen regeneration had already been established. Aspen were thinned to create six densities [0, 200, 500, 1,500, and 4,000 trees ha<sup>-1</sup> plus unthinned (natural)] at age 5. Spruce were established at 1,000 and 2,000 trees ha<sup>-1</sup> and thinned at age 5 to 500 and 1,000 trees  $ha^{-1}$ , respectively in each of six plots in each replicate. Three additional pure aspen treatments with densities of 1,500 and 4,000 trees ha<sup>-1</sup> and unthinned were also established. Each installation consists of two replications of the 15 treatments. The study currently includes a total of 615 plots with 20 installations established and maintained in Alberta, British Columbia, Manitoba, Saskatchewan and the Northwest Territories.

The Big River, Saskatchewan installations used in this study were established by Weyerhaeuser Canada in  $1992 \sim 30$  km north of the town of Big River, Saskatchewan. The Median (54.09°N 107.07°W, elev. 515 m) and Superior (54.05°N 106.98°W, elev. 505 m) installations were harvested in June of 1992, with aspen allowed to regenerate naturally by root suckering and with spruce planted (at double the final treatment density) in September of 1992. Thinning to treatment target densities was completed in September of 1996. Both installations were level, with moderately well-drained Gray Luvisolic soils and with mesic to subhygric soil moisture regimes.

### **Data Collection**

Measurement of the Median installation was completed during August 2018 and measurement of the Superior was completed during May 2019. Measurement plots are 20 × 20m (0.04 ha) with a 5-m-wide treated buffer on each side of the measurement plot. In thinned plots all spruce and aspen within the 20 × 20 m measurement plot were measured (diameter at breast height (1.3 m) (DBH), height, height to base of live crown, and crown radius). In plots where aspen were not thinned, spruce were measured in the 20 × 20 m plot while aspen were measured in four 5 × 5 m subplots located within the main plot as well as in previously established 2 × 2 m subplots nested within the 5 × 5 m subplots. In unthinned plots previous aspen measurements had used 1 × 1 m (to age 5), and 2 × 2 m (ages 6–23) subplots.

### Dendrochronology

Cores were collected at 1.3 m height from two spruce and two aspen in the treated buffer of 13 plots (cores were not collected in plots where aspen density was reduced to 200 trees  $ha^{-1}$ )

using a 5 mm diameter increment corer. Healthy trees in the tallest size classes in each plot for each species were selected for coring. Cores were scanned and measured using WinDendro software (Regent Instruments Inc., Quebec) and a calibrated EPSON Perfection V700 Photo scanner. Cross dating was visually performed for trees in each plot and across plots guided by wide and narrow rings. Due to the young age and good vigor of the cored trees there were no missing rings and there was no evidence of white rings associated with insect damage in this area. DBH of each sampled tree was measured in the field and previous years tree basal area and basal area increment were calculated based on annual ring widths.

### **Meteorological Data**

Climate data [mean annual temperature, growing degree days above 5C and climate moisture index (CMI)] were obtained from ClimateNA version 6.31 (Wang et al., 2016) which extracts and downscales gridded monthly climate data for North America.

CMI, calculated as the difference between monthly precipitation and monthly evapotranspiration, was used as a measure of drought stress. CMI was calculated based on methods presented by Hogg et al. (2013) using the Penman-Monteith method to calculate evapotranspiration with the exception that CMI calculated by ClimateNA version 6.31 for each calendar year was used. All analysis used CMI averaged over a 3-year period (current year plus the previous 2 years) in order to account for lags associated with water storage in the root zone (Hogg et al., 2005). A 3 year period was used in this study following results from preliminary analysis which indicated that this resulted in better description of growth variation than using the 4 year period suggested by Hogg et al. (2005).

#### **Data Analysis**

Mixed models were used to examine effects of prescribed aspen and spruce density on DBH, quadratic mean diameter (QMD), height, slenderness, crown width and live crown ratio at age 26 for this randomized complete block design, using SAS9.4 proc Mixed. Installation, replicate and plot were included as nested random effects in the mixed models.

Annual basal area increment from 1998 to 2018 was calculated using the tree ring data obtained for individual spruce and aspen. A linear mixed model, with repeated measures, was used to examine effects of tree size (basal area at the beginning of each year), aspen basal area, and CMI (CMI3 - which was calculated as average CMI for the current year and for 2 preceeding years) using SAS 9.4 proc Mixed. Aspen basal area (determined at the plot level using the measurement closest in time to the growth vear and expressed as  $m^2 ha^{-1}$ ) was used as a measure of aspen competition. Plot level spruce basal area could not be included in the models since it had a strong and significant negative linear relationship with aspen basal area (i.e., strong collinearity). Linear and quadratic terms for mean annual temperature (MAT) and CMI (CMI3) and interactions of these climate variables with aspen basal area were included in the initial models. Backward selection was used to select a parsimonious model which included only significant variables. Installation, replicate, plot and tree were included as nested random effects in the mixed models. Denominator degrees of freedom (DDFM) were calculated using the "Kenward-Rogers" option and a heterogenous autoregressive [ARH(1)] covariance structure was applied. Due to strong and significant (p < 0.001) collinearity with CMI3, mean annual temperature and growing degree days were excluded from these models. Since spruce did not reach 2.0 m height in several plots with aspen densities above 1,500 until 2006, only data from 2007 to 2018 were used for model development. Effects of the severe multi-year drought in 2001–2003 created poor model fits for aspen, consequently data for the 2007–2018 period were also used for aspen.

Short-term growth responses of cored aspen and spruce trees to multiyear drought events in 2003 and 2013 were assessed using Lloret et al. (2011) drought response indices.

Resistance (Rt) indicates a trees' ability to maintain growth during a drought event:

$$Rt = G_{\rm dr}/G_{\rm pre;} \tag{1}$$

Recovery (Rc) represents a trees' ability to recover to a higher level of growth after drought:

$$Rc = G_{\text{post}}/G_{\text{dr}}$$
 (2)

Resilience (Rs) indicates a trees' ability to recover to predrought levels:

$$Rs = G_{\rm post}/G_{\rm pre;} \tag{3}$$

Where:

 $G_{dr}$  = growth during the year;

 $G_{pre}$  = average annual growth during the 3 preceeding years;  $G_{post}$  = average annual growth during the 3 subsequent years. To accommodate nesting of plots within replicates and installations, linear mixed-effects models were used to examine effects of initial tree size and aspen basal area on resistance, recovery and resilience. Denominator degrees of freedom

### RESULTS

### Temporal Trends of Aspen Density in Unthinned Plots

(DDFM) were calculated using the "BETWITHIN" option.

Aspen densities have declined substantially in the unthinned plots from initial values of between 40,000 and 200,000 trees  $ha^{-1}$  (averaging 97,000 trees  $ha^{-1}$ ) in 1992 (age 0) to values of between 1,400 and 4,500 trees  $ha^{-1}$  (averaging 2,639 trees  $ha^{-1}$  in 2018 (age 26) (**Figure 1**). Self-thinning was particularly dramatic during the first 12 years with densities at age 12 ranging between 3,125 and 18,750 trees  $ha^{-1}$ .

### **Treatment Effects on Densities**

While the ranking of treatments in terms of stand densities at age 26 follows treatment order, treatment densities have declined below target densities over the 21 year period following thinning





TABLE 1 | Effects of thinning treatments applied at age 5 on stand density and stand basal area at age 26.

Aw treatment density (trees ha <sup>-1</sup> )	y Sw treatment density (trees ha⁻¹)	Aw density (trees ha <sup>-1</sup> )	Aw Basal Area (m²ha <sup>-1</sup> )	Sw density (trees ha <sup>-1</sup> )	Sw Basal Are	ea/ha (m²ha <sup>-1</sup> )
					SwTDen = 500	SwTDen = 1000
0					4.7a	11.4ab
200		159.5c	5.5c		3.3ab	12.6a
500		386.0bc	9.8c		3.5a	4.9abc
1500		1067.2b	15.7b		1.5bc	5.1abc
4000		1978.8a	21.2a		1.3c	2.0bc
Unthinned		2638.7a	19.4ab		0.4c	1.6c
	500			306.4b	2.4	16b
	1000			709.1a	6.2	24a
P AwTDen		<0.0001	<0.0001	ns	<0.0001	<0.0081
P SwTDen		ns	ns	0.0031	<0.0	0001

For aspen (Aw) treatment density (AwTDen) and spruce (Sw) treatment density (SwTDen) means followed by different letters were found to differ significantly ( $\alpha = 0.05$ ) based on Tukey's HSD test.

(**Table 1**). Aspen basal area in the unthinned at age 26 did not differ significantly from basal area in the 4,000 or 1,500 trees  $ha^{-1}$  treatments. Spruce basal area in the treatment with 500 trees  $ha^{-1}$  of spruce was about 40% of that found in the 1,000 spruce trees  $ha^{-1}$  at age 26.

### **Temporal Trends of Aspen and Spruce Size**

**Figure 2** illustrates trends in aspen and spruce average height and DBH. Thinning of the aspen at age 5 has caused a persistent increase in average aspen height (**Figure 2A**) over the untreated, although differences between aspen thinning levels are diminishing after age 18. Thinning of the aspen has also resulted in an increase in white spruce height (**Figure 2B**), with the difference between low aspen densities (0, 200 and 500 trees ha<sup>-1</sup>) and unthinned increasing over time.

Average DBH of aspen has consistently decreased with increasing aspen density over the 21 years since thinning (**Figure 2C**). After age 16, average spruce DBH declined with increasing aspen density, with differentiation between aspen densities increasing with age (**Figure 2D**).

# Treatment Effects on Aspen and Spruce Sizes at Age 26

Analysis of data from age 26 indicate significant ( $\alpha < 0.05$ ) effects of aspen density on average height, DBH, QMD, slenderness (ratio of height: DBH), crown width and live crown ratio (ratio of crown length to height) of aspen (**Table 2**). Thinning to 200 trees ha<sup>-1</sup> resulted in a significant increase in average aspen height over the unthinned, while intermediate densities have intermediate height values. Average DBH of aspen declined with increasing aspen density, with 200 trees ha<sup>-1</sup> being larger than 1,500, 4,000, and unthinned, and with 1,500 trees ha<sup>-1</sup> being larger than unthinned. QMD shows a similar outcome, although with greater differentiation between individual treatments. Slenderness increased with increasing aspen density with 200, 500 < 1,500 < 4,000 < unthinned. Crown width decreased with increasing density with 200 trees ha<sup>-1</sup> being larger than 1,500, 4,000, and unthinned, and with 1,500 trees ha<sup>-1</sup> being larger than 1,500, unthinned. Live crown ratio decreased with increasing aspen density with 200, 500 > 1,500 > 4,000 > unthinned. To remove the influence of the "chain-saw effect," where thinning from below causes an increase in average height and diameter due to the removal of small trees, it is common to examine treatment effects for a subsample of dominant trees when analyzing data from thinning experiments. Analysis based on the use of the four top height trees in each plot (**Table 2**) shows no significant effect of treatment on height of top height trees at age 26. Results from analysis of top height trees for other variables (DBH, slenderness, crown width and live crown ratio) show significant effects of thinning treatments and are similar to results from analysis of overall averages.

Aspen density, but not spruce density, had significant ( $\alpha < 0.05$ ) effects on spruce height, DBH, QMD, Slenderness, crown width and live crown ratio (**Table 3**). Slenderness increased with increasing aspen density while other variables decreased with increasing aspen density. Although values for height, DBH, crown width and live crown ratio are higher and values for slenderness are lower, similar trends were obtained from analysis using only the four largest DBH spruce in each plot.

# Effects of Climate on Basal Area Increment and Interactions With Treatment

Analysis of effects of climate focused on effects of mean annual temperature and climate moisture index (CMI) on basal area increment of cored trees. Variation in key climate variables between 1995 and 2018 is shown in **Figure 3**. Data for the 2007 to 2017 period included CMI values ranging between -6.36 in 2011 and 12.89 in 2010 and 3 year average CMI (CMI3) values ranging between -1.85 in 2013 and 4.61 in 2010. MAT was tested but found to be non-significant in these models. Tree size (basal area) at the beginning of each year was used as a covariate to account directly for effects of initial tree size on growth and to remove the need for standardization to account for tree size effects. Basal area increment of cored aspen and spruce increased with increasing 3 year average CMI (CMI3) and with increasing size



FIGURE 2 | Trends in average height (A,B) and DBH (C,D) for aspen and white spruce for the six aspen densities (AwTDen; values are in trees ha<sup>-1</sup>).

but decreased with increasing aspen basal area  $ha^{-1}$  across the 11 years (2007–2017) included in the analysis presented in **Table 4**. **Figures 4**, **5** show growth trends predicted by these models for aspen and spruce.

# Treatment Effects on Resistance, Recovery and Resilience to Drought

As a result of 3 years of drought, with severe drought in 2001 and 2003, 3 year average CMI (CMI3) for the 2001 to 2003 period was -13.2 for the median installation and -13.7 for the superior installation (**Figure 3**). A mild drought event associated with low CMI in 2011 and 2013 resulted in 3 year average CMI for 2011-2013 of -2.2 for the median installation and -1.8 for the superior installation. Examination of resistance, recovery and resilience of aspen was conducted for 2003 and 2013.

For the 2003 drought event, aspen resistance was 1.358 at minimum aspen basal area, with tree size and aspen basal area

having significant negative effects (**Table 5**, **Figures 6A,B**). Aspen recovery was 1.255 and resilience was 1.692 at minimum aspen basal area. While tree size and aspen basal area had significant negative effects on aspen resilience, their effects on recovery were not significant. **Figure 6** illustrates the interactions between tree size and aspen density, with resistance dropping below 1.0 when initial tree basal area exceeds 145 cm<sup>2</sup> and aspen basal area is 3.28 m<sup>2</sup> ha<sup>-1</sup>, when tree basal area exceeds 100 cm<sup>2</sup> and aspen basal area is 17.09 m<sup>2</sup> ha<sup>-1</sup>, and when initial tree basal area exceeds 65 cm<sup>2</sup> when aspen basal area is 27.94 m<sup>2</sup> ha<sup>-1</sup>. Resilience remains above 1.0 across the full range of tree sizes when aspen basal area is 3.28 m<sup>2</sup> ha<sup>-1</sup>, but when aspen basal area is 17.09 m<sup>2</sup> ha<sup>-1</sup> resilience drops below 1.0 when tree basal area exceeds 160 cm<sup>2</sup> and when aspen basal area is 27.94 m<sup>2</sup>ha<sup>-1</sup> Rs drops below 1.0 when tree basal area exceeds 140 cm<sup>2</sup>.

In response to the milder 2013 drought, resistance of aspen was slightly positive but not influenced by aspen basal area or

#### **TABLE 2** | Treatment effects on aspen at age 26.

	Aw treatment density (trees ha <sup>-1</sup> )	Height (m)	DBH (cm)	QMD (cm)	Slenderness	Crown width (m)	Live crown ratio
All trees	200	8.89a	11.87a	21.10a	0.811d	3.63a	0.769a
	500	8.07ab	9.81ab	17.70b	0.881d	3.02ab	0.723a
	1500	7.76ab	7.81bc	13.61c	1.053c	2.46bc	0.673b
	4000	7.37ab	6.23cd	11.75cd	1.269b	1.95cd	0.589c
	Unthinned	6.47b	4.37d	9.75d	1.682a	1.34d	0.463d
	p	0.0097	<0.0001	<0.0001	<0.0001	<0.0001	<0.0001
Top Height Trees	200	15.05	22.46a		0.677c	6.43a	0.671a
	500	15.08	20.23ab		0.747c	5.27ab	0.575b
	1500	15.81	18.35b		0.864b	4.25bc	0.548bc
	4000	16.20	18.17b		0.896b	4.07bc	0.491cd
	Unthinned	15.79	14.68c		1.096a	3.58c	0.454d
	p	0.4124	<0.0001		<0.0001	0.001	<0.0001

All trees indicates means for all trees within each plot and treatment. Top height trees represent the four largest diameter trees in each  $20 \times 20$  m plot, or the largest 100 trees per hectare. Within each column, groupings based on Tukey's HSD ( $\alpha = 0.05$ ) are indicated using letters. Significant p values are shown in bold.

TABLE 3 | Treatment effects on spruce at age 26.

	Aw treatment density (trees ha <sup>-1</sup> )	Height (m)	DBH (cm)	QMD (cm)	Slenderness	Crown width (m)	Live crown ratio
All trees	0	3.03a	4.32a	13.53a	1.274b	1.66a	0.813a
	200	3.07a	3.85ab	12.25a	1.364b	1.61ab	0.780a
	500	3.26a	3.80ab	10.65ab	1.321b	1.65a	0.806a
	1500	3.09a	3.30bc	8.73bc	1.456ab	1.63ab	0.789a
	4000	2.86ab	2.51cd	6.54cd	1.763a	1.36bc	0.771a
	Unthinned	2.41b	2.16d	5.30d	1.781a	1.28c	0.701b
	p	0.0069	<0.0001	<0.0001	0.0002	0.0009	<0.0001
Top Height Trees	0	8.04ab	17.30a		0.471b	3.92a	0.891a
	200	8.29ab	16.90ab		0.566ab	3.64a	0.826b
	500	8.10ab	12.91abc		0.670ab	3.56a	0.885a
	1500	8.05ab	11.53bcd		0.708ab	3.28ab	0.889a
	4000	8.98a	8.10cd		1.169a	2.60bc	0.879a
	Unthinned	5.40b	6.90d		0.793ab	2.23c	0.818b
	p	0.0489	<0.0001		0.0435	0.0001	0.0313

All trees indicates means for all trees within each plot and treatment. Top height trees represent the four largest diameter trees in each  $20 \times 20$  m plot, or the largest 100 trees per hectare. Within each column, groupings based on Tukey's HSD ( $\alpha = 0.05$ ) are indicated using letters. Significant p values are shown in bold.

treatments. Recovery and resilience are low (0.449 and 0.466, respectively) which is likely associated with drought stress in 2015 and 2016 (as indicated by low annual CMI and high MAT for these years), but are not influenced by tree size or aspen basal area.

Spruce data were not sufficient to allow analysis for 2003 since <50% of cored spruce had reached 1.3 m height by 2000 and the trees that had passed 1.3 m height by 2003 were growing in plots with very low aspen basal area. Spruce resistance, recovery and resilience to the 2013 drought were all above 1 when aspen

basal area was zero (**Table 5**) with resistance and resilience (but not recovery) showing significant negative effects of tree size and aspen basal area. Interactions between spruce size and aspen density are evident (**Figure 7**), with resistance dropping below 1.0 when initial tree basal area exceeds 120 cm<sup>2</sup> and aspen basal area is 0 m<sup>2</sup> ha<sup>-1</sup>, when spruce basal area exceeds 20 cm<sup>2</sup> and aspen basal area is 12.68 m<sup>2</sup> ha<sup>-1</sup>, and is below 1.0 across the full range of spruce sizes when aspen basal area is 27.90 m<sup>2</sup> ha<sup>-1</sup>. Resilience drops below 1.0 when spruce size exceeds 120 cm<sup>2</sup> when aspen basal area is 0 m<sup>2</sup> ha<sup>-1</sup>, but when aspen basal



mean summer precipitation (MSP) and 3 year running average of mean summer precipitation (MSP3), and (**D**) climate moisture index (CMI) and 3 year running average CMI (CMI3) for the two Big River installations (med, median; sup, superior).

TABLE 4   Results for models describing variation in annual basal area increme	nt
of cored aspen and spruce trees for the 2007–2017 period.	

		Asp	en	Spruce		
	Effect	Estimate	р	Estimate	р	
a	Intercept	1.2467	<0.001	0.497	<0.001	
b	ln(ba_b)	0.3551	< 0.001	0.5165	< 0.001	
С	Aw_baha	-0.01031	< 0.001	-0.02755	<0.001	
d	CMI3	0.02745	< 0.001	0.01475	0.006	
е	CMI3sq	0.00064	< 0.001	-0.00401	0.002	
f	ln(ba_b)*CMI3	-0.00221	< 0.001	0.00121	<0.001	
	# of Observations	1756		979		

Model: In(bi)=a+b\*In(ba\_b)+c\*Aw\_baha+d\*CMI3+e\*CMI3sq+f\*In(ba\_b)\*CMI3.

 $ln(bi) = natural log of annual basal area increment; <math>ln(ba_b) = natural log of tree basal area at start of each year; Aw_baha = plot level aspen basal area (m<sup>2</sup> ha<sup>-1</sup>); MAT = mean annual temperature for that year (°C); CMI3 = 3 year average for CMI (current year and preceeding 2 years); CMI3sq = square of CMI3; <math>ln(ba_b)$ \*CMI3 = term for interaction of  $ln(ba_b)$  with CMI3.

area is 12.68 m<sup>2</sup> ha<sup>-1</sup> Rs drops below 1.0 when spruce basal area exceeds 20 cm<sup>2</sup> and when aspen basal area is 27.94 m<sup>2</sup> ha<sup>-1</sup> Rs drops below 1.0 across the full range of spruce size. The scatter plot (**Figures 7B,D**) shows points associated with aspen

basal areas of between 3 and 8 m<sup>2</sup> ha<sup>-1</sup> having higher resistance and resilience than points associated with zero aspen basal area which suggests the possibility of some beneficial effects at these low aspen densities. However, a quadratic term was tested in this model but was not significant (p > 0.4).

# MGM Forecasts of Effects of Spruce and Aspen Densities on Yield

The Mixedwood Growth Model (MGM18\_VS1\_2\_18\_37\_ Rev6115, March 2020; https://mgm.ualberta.ca/) was used to examine effects of treatments on growth and yield. MGM was initialized for each plot using treelists that included diameter, height, and tree factor (number of trees ha<sup>-1</sup> represented by each tree calculated based on the size of the measurement plot) created from measurements collected in 2018/2019. Site Index was calculated using Alberta Site Index curves (Huang et al., 2009) for each installation based on average spruce top height in replicates of plots 1 and 7 (zero aspen density) and aspen top height in replicates of plots 6, 12, and 15 (natural aspen densities) measured in 2018/2019 (age 26). Site index for the Median installation was calculated to be 17.9 m@age50 for white spruce and 23.1 m@age50 for trembling aspen and site index for the Superior installation was calculated to be 16.8 m@age50 for white spruce and 23.2 m@age50 for trembling aspen.



Figure 8 shows volume-age curves for aspen and spruce in each treatment from age 30 to 150 based on Chapman-Richards curves fit to data from the plot level MGM predictions (Supplementary Figures 1, 2 show volume-age curves for individual plots). Aspen volume increases with increasing aspen density, with the largest aspen volumes being found in plots where aspen were not thinned (plots 6, 12, and 15) or in plots where aspen was thinned to 4,000 trees  $ha^{-1}$  (plots 5, 11, and 14) (Figure 8A, Table 6). At low densities maximum aspen stand volumes are achieved at earlier ages due to accelerated diameter growth of aspen and earlier mortality (DBH is a major driver of aspen mortality in MGM). For plots 2 and 8, where aspen had been thinned to 200 trees ha<sup>-1</sup>, maximum deciduous volumes of 90 to 105  $m^3ha^{-1}$  occur at ages 50 to 60, while plots where aspen was thinned to 500 trees  $ha^{-1}$  (plots 3 and 9) have maximum deciduous volumes of 175 to 190 m<sup>3</sup>ha<sup>-1</sup> at ages 60 to 70, plots thinned to 1500 aspen ha<sup>-1</sup> (plots 4 and 10) have maximum deciduous volumes of 247 to 300 m<sup>3</sup>ha<sup>-1</sup> at age 80, plots thinned to 4,000 aspen ha<sup>-1</sup> (plots 5, 11, and 14) reach maximum deciduous volumes of 300 to 330 at age 80 and unthinned (plots 6, 12, and 15) reach maximum deciduous volumes (290 to 325  $m^3ha^{-1}$ ) at age 90. Spruce volume at any stand age (**Figure 8B**) declines with both decreasing spruce density and increasing aspen density. With the exception of plot 1 (1000 Sw/0Aw), stand level spruce volumes do not appear to reach a maximum by age 150. Variability in spruce and aspen densities, as well as variation in tree sizes at age 26 (i.e., in the plot data used to initialize MGM) are the primary sources of variation in plot level projections in each treatment.

Spruce DBH at age 100, as predicted by MGM declines with increases in either aspen or spruce density (**Table 6**). For aspen, DBH at age 100 is reduced primarily by aspen density, with the largest diameters being evident in plots 2 and 8 which were both thinned to 200 aspen  $ha^{-1}$  at age 5.

Aspen yields (gross total volume) at age 100 and aspen MAI range up to a maximum of  $312 \text{ m}^3\text{ha}^{-1}$  and  $3.1 \text{ m}^3\text{ha}^{-1}\text{y}^{-1}$ , respectively. Yield and MAI of aspen generally increase with increases in initial aspen density (**Table 6**). However, in some



cases the 4,000 aspen ha<sup>-1</sup> treatment has slightly higher aspen yield and MAI than the unthinned. Spruce yield at age 100 shows effects of both aspen and spruce density and decreases with increasing aspen density while increasing with increased spruce density (**Table 6**). Spruce MAI was 2.5  $m^3ha^{-1}v^{-1}$  with 0 aspen and 1,000 spruce (plot 1), 1.2  $m^3ha^{-1}y^{-1}$  with 0 aspen and 500 spruce (plot 7), while with natural aspen densities (unthinned) 1000 spruce (plot 6) had a spruce MAI of 0.7  $m^3ha^{-1}y^{-1}$  and 500 spruce (plot 12) spruce MAI was 0.2  $m^3ha^{-1}y^{-1}$  (Table 6). Total, aspen + spruce, MAI was higher in mixed than in pure stands, with the highest MAI  $(3.73 \text{ m}^3 \text{ha}^{-1} \text{y}^{-1})$  evident in plot 4 with 1,500 aspen and 1,000 spruce ha<sup>-1</sup>. This contrasts with pure spruce plots, plots 1 and 7, with MAI's of 2.5 and 1.2  $m^{3}ha^{-1}y^{-1}$ , respectively, and with pure aspen plots, plots 14 and 15, with MAI of 3.1  $m^3ha^{-1}y^{-1}$ . MAI of these stands is at the higher end of values observed for natural stands and reflects selection of good aspen sites, with good initial stocking for the study as well as planting of spruce.

Culmination age increases with increasing aspen density. In addition, volume at culmination increases with increasing density. Small effects of increasing spruce density on aspen volumes are evident for the two lowest aspen densities (200 and 500 aspen ha<sup>-1</sup>). Both spruce and aspen densities influence spruce yields, with culmination of spruce occurring later with increasing aspen density.

# DISCUSSION

## Do Thinning Treatments Influence Aspen and Spruce Growth?

Effects of aspen and spruce densities on aspen and spruce size at age 26 at Big River are consistent with other published studies which show that thinning in young, healthy aspen stands can accelerate the growth of residual trees (Bickerstaff, 1946; Steneker, 1976; Perala, 1978; Peterson and Peterson, 1992; Rice et al., 2001), accelerating achievement of merchantable diameter and improving the quality of the stand. These results support hypothesis 1 (height and diameter of aspen and white spruce decline with increasing aspen density). Height and height growth of aspen were increased by thinning in three of the seven stands that Bella and Yang (1991) studied. In contrast, Penner et al. (2001) found no benefit to thinning a stand with 4000 to 5000 trees ha<sup>-1</sup> at age 20, due to the fact that unspaced plots had selfthinned to densities similar to those of thinned plots by age 36. Results for Big River also indicate that substantial self-thinning has occurred in the unthinned plots, with aspen densities in the unthinned plots averaging 2,639 trees ha<sup>-1</sup> at age 26.

Positive effects of thinning of aspen on spruce growth (Bokalo et al., 2007; Kabzems et al., 2016) are also evident for Big River. Reducing aspen densities using manual, mechanical, or chemical spacing treatments or removal of aspen around Year

2003

Response

variable

Rt-Resistance

Mean

1.1795

Statistic

Estimate

				SE	0.1093	0.001009	0.004876			
				p	<0.0001	0.0002	0.0114			
		Rc-Recovery	1.3580	Estimate	1.2297	-0.00015	0.008034	59.72	3.28	1.255
				SE	0.1259	0.001188	0.005550			
				р	<0.0001	0.9003	0.1552			
		Rs-Resilience	1.5537	Estimate	2.0543	-0.00551	-0.01004	59.72	3.28	1.692
				SE	0.1103	0.001057	0.004818			
				p	<0.0001	<0.0001	0.0434			
Aspen	2013	Rt-Resistance	1.0519	Estimate	1.1689	-0.00058	-0.00025	194.28	6.89	1.054
				SE	0.1265	0.000467	0.00413			
				p	<0.0001	0.2220	0.9515			
		Rc-Recovery	0.4499	Estimate	0.4320	0.000084	0.000089	194.28	6.89	0.449
				SE	0.08081	0.000297	0.002670			
				p	<0.0001	0.7792	0.9737			
		Rs-Resilience	0.4685	Estimate	0.5094	-0.00023	0.000203	194.28	6.89	0.466
				SE	0.1002	0.000362	0.003404			
				p	<0.0001	0.5314	0.9527			
White spruce	2013	Rt-Resistance	0.9408	Estimate	1.3147	-0.00259	-0.01928	49.86	0	1.186
				SE	0.09304	0.001017	0.003865			
				p	<0.0001	0.0151	<0.0001			
		Rc-Recovery	1.6838	Estimate	1.7590	0.003971	-0.00252	49.86	0	1.957
				SE	0.1629	0.006753	0.001784			
				p	<0.0001	0.5601	0.1666			
		Rs-Resilience	1.5550	Estimate	2.2737	-0.00694	-0.02942	49.86	0	1.928
				SE	0.1857	0.002010	0.007845			
				p	<0.0001	0.0014	0.0006			

TABLE 5 | Results from linear mixed-effects model examining effects of tree size (basal area) and aspen stand level basal area on tree drought responses in 2003 and 2013 for trembling aspen and white spruce.

ba\_b

-0.00417

Aw\_baha

-0.01291

Mean ba\_b

59.72

Minimum

Aw\_baha

3.28

Response

variable value at mean ba\_b, min Aw\_baha

1.358

Intercept

1.6492

For aspen n = 88 in 2003 and n = 86 in 2013, for spruce n = 34 in 2003 and n = 77 in 2013. Interaction between ba\_b and Aw\_baha was tested but found to be non-significant in all models. Significant independent variables are shown in bold.



crop conifers, gives consistent improvements in growing conditions for white spruce when aspen has established at high densities.

Both intra- and inter- specific competition have immediate effects on diameter growth of trees, while impacts on height growth do not generally appear until severe competition levels are reached or competition remains at sufficient intensity for a period of time. As a result, the ratio of height to root collar diameter (HDR) or height to DBH (slenderness) increase in response to increases in competition (Bokalo et al., 2007; Kabzems et al., 2016), as also found for the Big River installations. In addition, crown width and live crown ratio are strongly influenced by social status of a tree and by both intra- and interspecific competition (Groot and Schneider, 2011; Kabzems et al., 2016). At Big River, increases in aspen density led to increases in slenderness and decreases in crown width and live crown ratio of white spruce, with the strongest differences being evident between the 0 aspen and unthinned treatments. Variation in plots where aspen had been thinned reflects variation in degree and duration of competition reduction. As spruce crown closure develops in some treatments, effects of intraspecific competition on crown width and live crown ratio are expected. In addition, self-shading is expected to influence crown width and live-crown ratio as spruce become larger (Kershaw et al., 1990).

# How Do Tree Size, Competition and Climate Influence Growth of Spruce and Aspen?

Results from analysis of effects of tree size, aspen basal area, and CMI on annual growth of cored aspen and spruce are consistent with observations from other studies. Similar increases in growth with size, particularly within the range of sizes encountered in these young stands, have been reported by other studies (Comeau et al., 2003; Filipescu and Comeau, 2007). Positive effects of increasing CMI and negative effects of competition on growth of these species in western Canada have also been reported by other studies (Alam et al., 2017; Chen et al., 2017b,c; Jiang et al., 2018). Despite expectations to the contrary, the regression models fit to the annual basal area growth data at these sites highlight competitive effects of aspen on spruce growth over facilitative effects and support hypothesis 2.

# Does Thinning Influence Resistance, Recovery and Resilience of These Stands to Drought?

Tree size, species, competition, and magnitude and duration of drought influence resistance, recovery, and resilience of stands to drought (Sohn et al., 2016; Schmitt et al., 2020; Steckel et al.,



FIGURE 7 | Effects of tree size (A,C) and aspen basal area (B,D) on white spruce resistance (Rt) and resilience (Rs) in 2013. Aw\_baha = aspen basal area (m<sup>2</sup> ha<sup>-1</sup>) and ba\_b = initial tree size (m<sup>2</sup>).



**FIGURE 8** | Treatment level volume-age curves for (A) aspen and (B) white spruce based on MGM forecasts of aspen gross stand volumes for each treatment. [Treatments (trees ha<sup>-1</sup> at age 5): Plot 1 = 1000Sw/0Aw; plot 2 = 1000Sw/200Aw; plot 3 = 1000Sw/500Aw; plot 4 = 1000Sw/1500Aw; plot 5 = 1000Sw/4000Aw; plot 6 = 1000Sw/unthinned Aw; plot 7 = 500Sw/0Aw; plot 8 = 500Sw/200Aw; plot 9 = 500Sw/500Aw; plot 10 = 500Sw/1500Aw; plot 11 = 500Sw/4000Aw; plot 12 = 500Sw/unthinned Aw; plot 13 = 0Sw/1500Aw; plot 14 = 0Sw/4000Aw; plot 15 = 0Aw/unthinned Aw].

2020). In this study, conducted in young mixtures of trembling aspen and white spruce, tree size and aspen basal area have negative effects on resistance and resilience of both trembling aspen and white spruce and support hypothesis 3 (resistance, recovery and resilience will be increased by thinning) in relation to resistance and resilience but not recovery. Data were not

sufficient to allow detailed analysis of effects of magnitude or duration of drought, although outcomes for aspen differ between the severe 2001–2003 drought and the milder 2011–2013 drought and suggest that aspen may be well-equipped for handling mild short-term drought events on these two sites.

Results indicate that resistance and resilience of spruce was best at low aspen basal areas  $(3 \text{ to 7 m}^2\text{ha}^{-1})$  although these could not be shown to be significantly better than at an aspen basal area of 0 m<sup>2</sup>ha<sup>-1</sup>. Resistance and resilience of aspen was also highest at the lowest stand basal area and declined with increasing basal area, as reported for other species (e.g., Sohn et al., 2016). Benefits of thinning are still evident 21 years after treatment, due to the long duration of their effects on aspen basal area, consistent with Giuggiola et al. (2013). In their meta-analysis Sohn et al. (2016) found that benefits of thinning decrease with time since thinning, highlighting a need for continuing measurement of these stands to document whether the benefits of thinning tree size has been reported for some, but not all, previous studies (Ammer, 2017; Andrews et al., 2020).

Acceleration of growth and associated increases in tree size and water demand following thinning can result in older thinned stands having lower resistance and resilience than unthinned stands (D'Amato et al., 2013) which could be due to drought sensitivity increasing with tree size due to increased leaf area (Ammer, 2017). Other studies indicate longterm benefits of thinning to drought resistance (Giuggiola et al., 2013; Ammer, 2017) due to reductions in stand level transpiration and increases in soil water availability. Further examination of effects of thinning of these mixed stands on resistance, recovery, and resilience including data covering a longer time period, severe drought events, and including multiple sites with a range of drought stress is needed to improve our understanding of consequences of drought, and effects of drought severity and duration. Examination of effects of a broader range of spruce densities, and of key processes would also be useful.

# How Does Thinning Influence Stand Volume and MAI?

Yield outcomes for Big River are similar to results reported by Kabzems et al. (2016), with increasing aspen densities leading to increased aspen yield and decreased spruce yield and delayed culmination age for spruce volume. These findings are in general agreement with hypothesis 4 (stand yield will be highest in mixed stands with moderate densities of aspen and white spruce while spruce yield will be highest without aspen). Thinning of aspen to densities below 4,000 trees ha<sup>-1</sup> resulted in reduced volumes at harvest as reported by Bella and Yang (1991). Total (aspen plus spruce) mean annual increment (MAI) for the two Big River installations averaged  $2.52 \text{ m}^3\text{ha}^{-1}\text{y}^{-1}$  for the 1,000 spruce ha<sup>-1</sup> treatment,  $3.15 \text{ m}^3\text{ha}^{-1}\text{y}^{-1}$  in the stands with 4,000 aspen ha<sup>-1</sup> or unthinned, and  $3.73 \text{ m}^3\text{ha}^{-1}\text{y}^{-1}$  for the mixture with 1,500 aspen ha<sup>-1</sup> and 1,000 spruce ha<sup>-1</sup>. The 1,500 aspen ha<sup>-1</sup> and 1,000 spruce ha<sup>-2</sup> mixture provided a 23% increase

in total (aspen plus spruce) MAI over the pure aspen stands, with little reduction in aspen MAI and with a 44% reduction in spruce MAI. Kabzems et al. (2016) indicate, based on results from MGM, that the highest total stand yields were achieved with an aspen density of 2,000 to 5,000 trees ha<sup>-1</sup> and a spruce density of 1,300 trees ha<sup>-1</sup>. Differences between Kabzems et al. (2016) and this study reflect differences in treatments, site, climate and other factors.

Potential overyielding at Big River is indicated by estimated total stand MAI being higher in mixtures with 1,500 or 4,000 aspen ha<sup>-1</sup> and with either 500 or 1,000 spruce ha<sup>-1</sup>. Consistent with the evidence of overyielding indicated by MGM estimates of higher MAI of mixed stands with aspen density above 1,500 trees ha<sup>-1</sup> compared to pure stands. Kweon and Comeau (2019) also found that overyielding was strongest in plots with 1,500 aspen ha<sup>-1</sup>, based on analysis of data from seven similar WESBOGY installations in Alberta. Overyielding is thought to result from differences in growth rates and shade tolerance of aspen and white spruce which leads to niche separation, and the ability of the mixed stand to use more light and carry a larger total number of trees than is the case for pure stands (Kabzems et al., 2016; Kweon and Comeau, 2019). Alternative management strategies could see removal of aspen at earlier ages while leaving spruce to grow to culmination. The application of this "understory protection" approach has the potential to increase yields of both aspen and spruce.

## **Study Limitations**

Analysis of effects of climate on growth and on resistance, recovery and resilience to drought stress was limited by sampling of only the largest trees of each species across the plots used in this study, and by the short time period over which useable tree ring data were available (most notably for white spruce). Collection of cores from or annual diameter measurement for a larger sample of trees representing the full range of tree sized and spanning a longer time period, would be beneficial. Using average CMI over a 3-year period to examine effects of drought stress requires further testing based on the dynamics of soil water storage on these and on other sites. Data from sites covering a broader range of climatic conditions are needed to provide more general inferences regarding effects of stand density and composition on responses of mixedwood stands to drought. In addition, supplemental studies are required to improve understanding of the mechanisms associated with these responses. Since MGM18 does not consider potential effects of changing climate on vield, the yield forecasts need to be interpreted cautiously.

Ongoing remeasurement of this study at 5-year intervals is planned and will provide valuable long-term information on treatment effects and stand dynamics. Coring and/or annual measurement of diameter increment, coupled with field measurements of soil moisture across several sites with similar treatments over a 20 year or longer time period would contribute substantially to understanding of the effects of thinning treatments on resilience of boreal aspen-white spruce mixtures to drought and increasing temperatures.

Plot	AwTDen	SwTDen	D_AvDbh	C_AvDbh	D_TVol	C_TVol	TVol	D_Mai	C_MAI	St_MAI
	(Trees ha <sup>-1</sup> )	(Trees ha <sup>-1</sup> )	(cm)	(cm)	(m³ha⁻¹)	(m³ha⁻¹)	(m <sup>3</sup> ha <sup>-1</sup> )	(m <sup>3</sup> ha <sup>-1</sup> y <sup>-1</sup> )	(m <sup>3</sup> ha <sup>-1</sup> y <sup>-1</sup> )	(m <sup>3</sup> ha <sup>-1</sup> y <sup>-1</sup> )
1	0	1000	0.00 (0.00)	28.03 (1.60)	0.00 (0.00)	251.92 (22.54)	251.92 (22.54)	0.00 (0.00)	2.52 (0.23)	2.52 (0.23)
2	200	1000	51.16 (1.65)	26.17 (1.06)	54.54 (17.30)	195.25 (32.44)	249.79 (16.51)	0.55 (0.17)	1.95 (0.32)	2.50 (0.17)
3	500	1000	44.37 (0.77)	25.69 (2.20)	155.36 (47.73)	116.80 (63.76)	272.15 (111.28)	1.55 (0.48)	1.17 (0.64)	2.72 (1.11)
4	1500	1000	34.85 (1.33)	23.38 (1.26)	237.65 (58.70)	135.08 (22.42)	372.73 (39.58)	2.38 (0.59)	1.35 (0.22)	3.73 (0.40)
5	4000	1000	29.19 (0.41)	21.77 (0.72)	297.26 (17.38)	66.53 (20.85)	363.79 (13.06)	2.97 (0.17)	0.67 (0.21)	3.64 (0.13)
6	Unthinned	1000	29.62 (3.11)	21.56 (1.36)	281.81 (44.80)	67.19 (20.30)	349.00 (46.55)	2.82 (0.45)	0.67 (0.20)	3.49 (0.47)
7	0	500	0.00 (0.00)	30.66 (2.76)	0.00 (0.00)	121.97 (17.69)	121.97 (17.69)	0.00 (0.00)	1.22 (0.18)	1.22 (0.18)
8	200	500	49.28 (4.30)	27.78 (0.88)	50.27 (16.37)	98.82 (23.55)	149.09 (21.50)	0.50 (0.16)	0.99 (0.24)	1.49 (0.22)
9	500	500	45.46 (2.24)	27.57 (1.17)	158.98 (29.86)	93.07 (12.10)	252.05 (25.72)	1.59 (0.30)	0.93 (0.12)	2.52 (0.26)
10	1500	500	34.23 (0.90)	24.81 (2.40)	283.17 (29.67)	46.36 (28.79)	329.54 (42.37)	2.83 (0.30)	0.46 (0.29)	3.30 (0.42)
11	4000	500	31.36 (2.63)	21.92 (1.10)	295.49 (35.74)	35.62 (21.66)	331.11 (56.92)	2.95 (0.36)	0.36 (0.22)	3.31 (0.57)
12	Unthinned	500	27.78 (0.98)	21.07 (1.59)	309.33 (17.87)	23.74 (12.84)	333.06 (7.41)	3.09 (0.18)	0.24 (0.13)	3.33 (0.07)
13	1500	0	35.52 (1.06)	0.00 (0.00)	287.19 (28.37)	0.00 (0.00)	287.19 (28.37)	2.87 (0.28)	0.00 (0.00)	2.87 (0.28)
14	4000	0	31.16 (1.00)	0.00 (0.00)	312.06 (12.81)	0.00 (0.00)	312.06 (12.81)	3.12 (0.13)	0.00 (0.00)	3.12 (0.13)
15	Unthinned	0	27.69 (1.54)	0.00 (0.00)	309.14 (32.91)	0.00 (0.00)	309.14 (32.91)	3.09 (0.33)	0.00 (0.00)	3.09 (0.33)

TABLE 6 | MGM forecasts of aspen and spruce diameters, yields and MAI at age 100 for the 15 treatments.

Means and (STD) for the four plots in each treatment are included. [AwTDEN, Aspen treatment density; SwTDen, Spruce treatment density; D\_AvDbh, deciduous (aspen) average DBH at age 100; C\_AvDbh, conifer (spruce) average DBH at age 100; D\_TVol, deciduous (aspen) gross total volume at age 100; C\_TVol, conifer (spruce) gross total volume at age 100; TVol, total (deciduous+conifer) gross total volume at age 100; D\_MAI, deciduous (aspen) mean annual increment; C\_MAI, conifer (spruce) mean annual increment].

# CONCLUSIONS

Results from analysis of data collected at age 26 (21 years after pre-commercial thinning) for the Big River WESBOGY LTS installations indicate:

- 1. Thinning lead to significant increases in aspen average height, average and top DBH and reductions in average and top slenderness but did not alter top height at age 26;
- 2. Spruce height and diameter at age 26 increased and spruce slenderness decreased with reductions in aspen density;
- 3. Crown width and live crown ratio of both aspen and spruce at age 26 increased following thinning, with values being highest at the lowest aspen densities;
- 4. Tree size and CMI had significant positive effects while aspen basal area had significant negative effects on the growth of individual trees cored in 10 (spruce) or 11 (aspen) plots in each of the two installations;
- 5. Resistance and resilience of aspen and spruce to drought events were negatively affected by increases in tree size (basal area) and aspen density (basal area). Results suggest that thinning of the aspen had enduring effects on growth, and on drought resistance and resilience of both species;
- 6. Aspen yield (at age 100) is potentially reduced but aspen DBH at age 100 is increased by thinning of the aspen while spruce yield was increased by thinning the aspen. Spruce yield was higher for 1,000 trees ha<sup>-1</sup> than for 500 trees ha<sup>-1</sup> initial densities.
- 7. Yield projections suggest that the mixture of 1,500 aspen and 1,000 spruce per hectare has the potential to provide a 23% increase in total MAI with a single harvest at age 100, compared to unthinned aspen stands, but with a 44% reduction in spruce MAI compared to pure spruce stands.

## DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

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# AUTHOR CONTRIBUTIONS

PC analyzed data and wrote this paper.

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### SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/ffgc.2020. 621752/full#supplementary-material

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**Conflict of Interest:** The author declares that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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